

Evidence for single top quark production at DØ

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Outline

- 1 Tevatron accelerator and $D\emptyset$ detector
- 2 Single top quark production — Should you care?
- 3 Preparing for the measurement
 - Event selection
 - Signal and background samples
 - b tagging
- 4 Multivariate analysis techniques
- 5 Expected sensitivity
- 6 Cross sections and significance
- 7 First direct measurement of $|V_{tb}|$
- 8 Conclusion



The Tevatron at Fermilab

- Located outside Chicago, Illinois
- The world's highest-energy accelerator
- $p\bar{p}$ collider, centre-of-mass energy 1.96 TeV
- Run I: 1992-1996 at 1.8 TeV
- Started operating for Run II in March 2001
- Upgraded for Run II
 - 396 ns bunch spacing
 - new Main Injector and Recycler
⇒ increased antiproton intensity

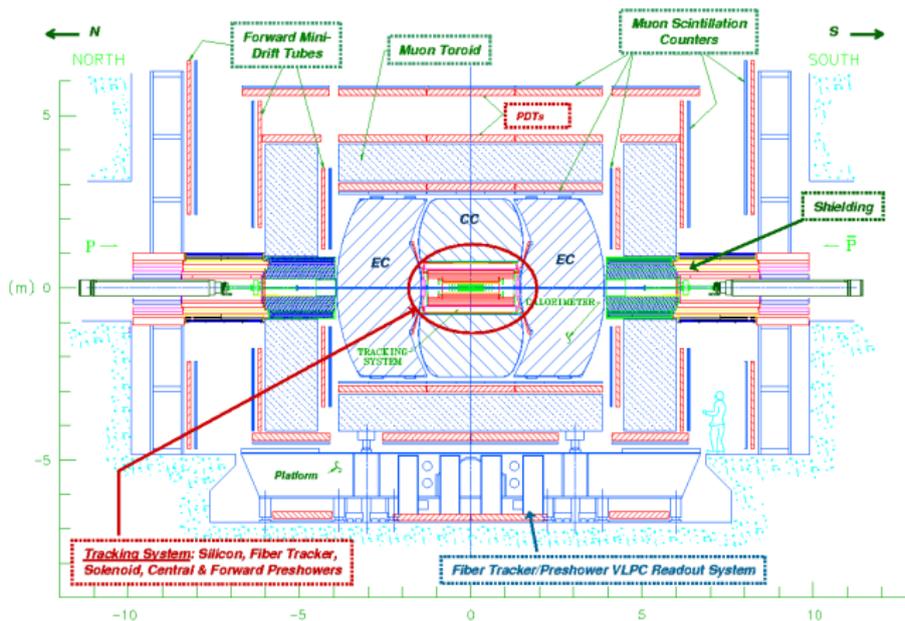


- Peak luminosity
 $> 2.5 \cdot 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$



The DØ detector upgrade

- 2 T superconducting solenoid
- silicon detector
- fiber tracker
- preshower detector
- upgraded muon system
- new calorimeter electronics
- upgraded trigger and DAQ



The collaboration

- 670+ physicists, 90 institutes, 19 countries



AZ U. of Arizona
 CA U. of California, Berkeley
 U. of California, Riverside
 Cal. State U., Fresno
 Lawrence Berkeley Nat. Lab.

FL Florida State U.

IL Fermilab
 U. of Illinois, Chicago
 Northern Illinois U.
 Northwestern U.

IN Indiana U.
 U. of Notre Dame
 Purdue U. Calumet

IA Iowa State U.

KS U. of Kansas
 Kansas State U.

LA Louisiana Tech U.

MD U. of Maryland

MA Boston U.

Northeastern U.

MI U. of Michigan

Michigan State U.

MS U. of Mississippi

NE U. of Nebraska

NJ Princeton U.

NY Columbia U.

U. of Rochester
 SUNY, Buffalo
 SUNY, Stony Brook
 Brookhaven Nat. Lab.

OK Langston U.

U. of Oklahoma
 Oklahoma State U.

RI Brown U.

TX Southern Methodist U.
 U. of Texas at Arlington
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VA U. of Virginia

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LPC, Clermont-Ferrand
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 IRaS, Strasbourg
 IPN, IN2P3, Villeurbanne



U. of Aachen
 Bonn U.
 U. of Freiburg
 U. of Mainz
 Ludwig-Maximilians U., Munich
 U. of Wuppertal

The DØ Collaboration



Panjab U. Chandigarh
 Delhi U., Delhi
 Tata Institute, Mumbai



University College, Dublin



KDL, Korea U., Seoul
 SungKyunKwan U., Suwan



CINVESTAV, Mexico City



FOM-NIKHEF, Amsterdam
 U. of Amsterdam / NIKHEF
 U. of Nijmegen / NIKHEF



JINR, Dubna
 ITEP, Moscow
 Moscow State U.
 IHEP, Prutvino
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 RIT, Stockholm
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 Uppsala U.



PI of the U. of Zurich



Lancaster U.
 Imperial College, London
 U. of Manchester

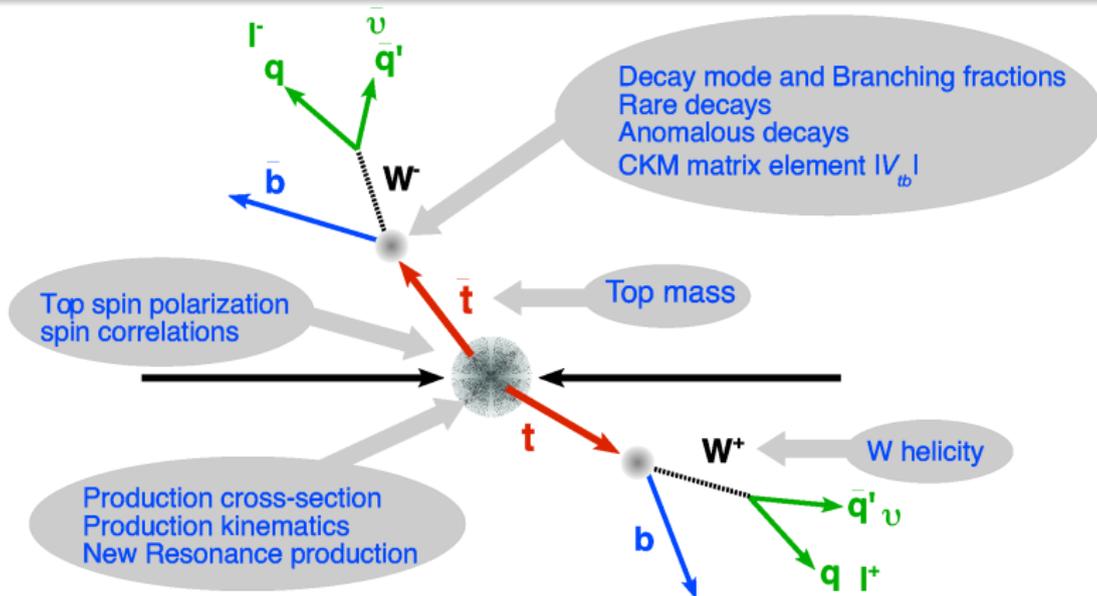
Ann Heinson, UC Riverside



Top quark physics

- top quark discovered in 1995 by CDF and DØ at the Tevatron
- Heaviest of all fermions

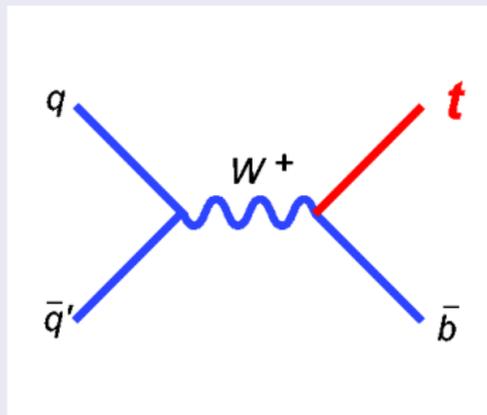
- Couples strongly to Higgs boson
- So far only observed in pairs, only at the Tevatron



Single top quark production

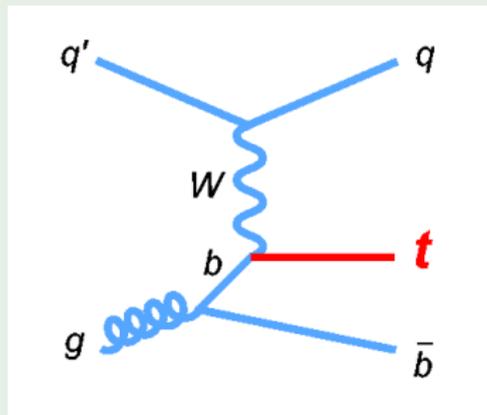
- Never observed before: electroweak production

s -channel (tb)



- $\sigma_{NLO} = 0.88 \pm 0.11$ pb (*)
- previous limits (95% C.L.):
Run II DØ: < 5.0 pb (370 pb^{-1})
Run II CDF: < 3.1 pb (700 pb^{-1})

t -channel (tqb)

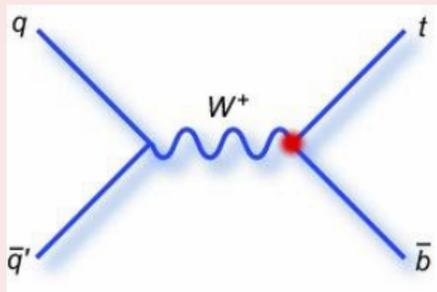


- $\sigma_{NLO} = 1.98 \pm 0.25$ pb(*)
- previous limits (95% C.L.):
Run II DØ: < 4.4 pb (370 pb^{-1})
Run II CDF: < 3.2 pb (700 pb^{-1})

(*) $m_t = 175$ GeV, Phys.Rev. D70 (2004) 114012

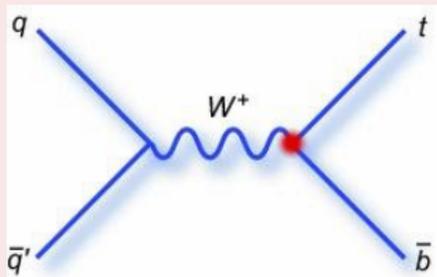


- Has never been observed before!
- It should happen (if SM is right)
- The value of the cross section is a SM test and the first measurement of $|V_{tb}|$



Why do we care? — $|V_{tb}|$

- Has never been observed before!
- It should happen (if SM is right)
- The value of the cross section is a SM test and the first measurement of $|V_{tb}|$



Direct access to $|V_{tb}|$

$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

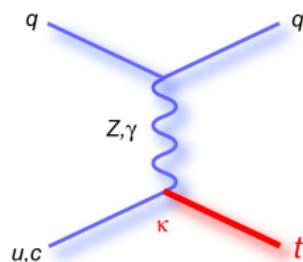
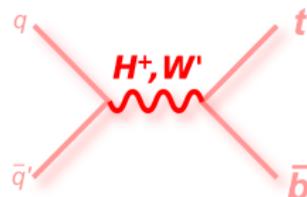
- Weak interaction eigenstates are not mass eigenstates
- In SM: top must decay to a W and d , s or b quark
 - $V_{td}^2 + V_{ts}^2 + V_{tb}^2 = 1$
 - constraints on V_{td} and V_{ts} :
 $|V_{tb}| = 0.9991_{-0.000004}^{+0.000034}$
- New physics:
 - $V_{td}^2 + V_{ts}^2 + V_{tb}^2 < 1$
 - no constraint on V_{tb}
 - e.g. 4th generation:
 $0.07 < |V_{tb}| < 0.9993$

Why do we care? — New physics

- s and t cross sections differently sensitive to new physics

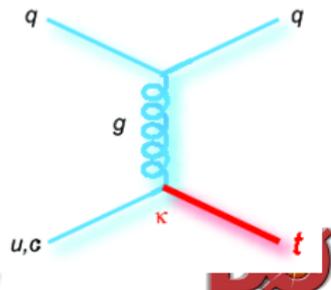
s-channel: charged resonances

- heavy W' boson in topflavour model (separate interaction for 3rd family)
- charged Higgs boson H^\pm in models with extra Higgs doublets (e.g. MSSM)
- charged top pion in topcolor-assisted technicolor
- 4th generation (reduced cross section from $|V_{tb}| < 1$)
- Kaluza-Klein excited W_{KK} , etc...



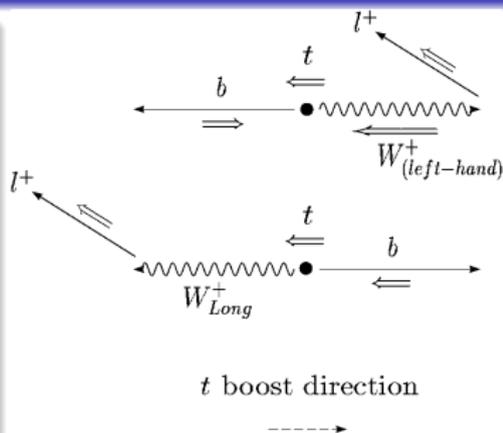
t-channel: new interactions

- flavour-changing neutral currents ($t - Z/\gamma/g - c$ and/or $t - Z/\gamma/g - u$ couplings)
- 4th generation (potentially strong enhancement from large V_{ts})



Why do we care? — Top quark spin

- Large mass \Rightarrow top quark decays before it can hadronize (no top jets)
- First chance to study a bare quark!
- Top polarization reflected in angular distributions of decay products
- SM predicts high degree of left-handed tops \Rightarrow possible sign of new physics, or help pin down what new physics



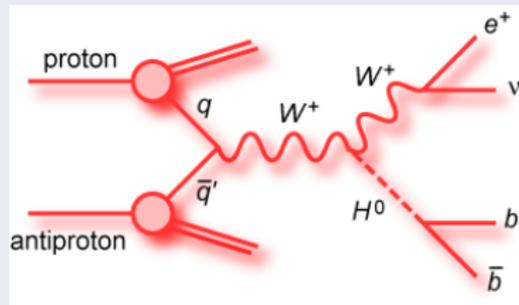
Helicity basis

- \hat{z} -axis along top quark direction of motion
- $\sim 80(97)\%$ polarization for $s(t)$ channel at parton level
- $\sim 68(88)\%$ polarization for $s(t)$ channel after reconstruction

Optimal basis

- \hat{z} -axis along direction of d -type quark: mostly antiproton beamline for s -channel, spectator jet for t -channel
- $\sim 96(98)\%$ polarization for $s(t)$ channel at parton level
- $\sim 71(89)\%$ polarization for $s(t)$ channel after reconstruction

Higgs searches



- Important background to WH associated Higgs production
- As soon as we discover it, somebody will try to get rid of it....

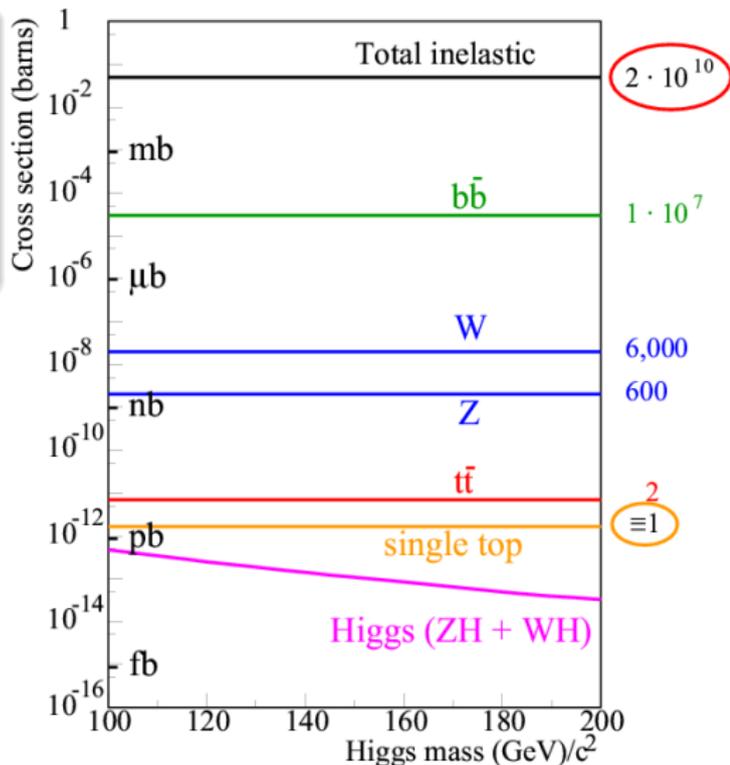
Advanced analysis techniques

- Test of techniques to extract small signal out of large background
- If tools don't work for single top, forget about the Higgs and other small signals
- If tools don't work at Tevatron, not much hope for LHC

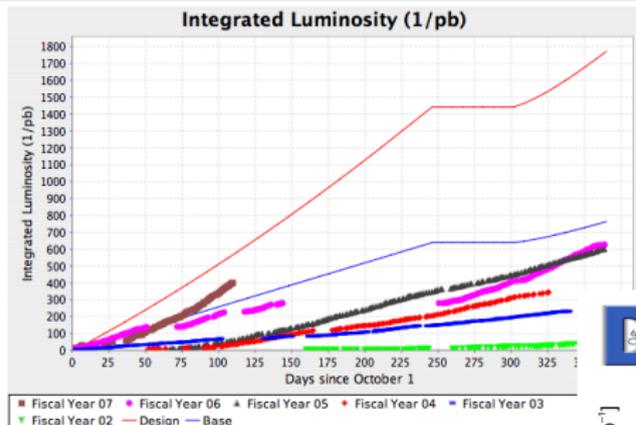


It has been challenging for years...

- Several publications since Run I by DØ and CDF
- 7 DØ and 6 CDF PhDs
- $\sigma_{t\bar{t}}$ only $\sim 2 \times \sigma_{single\ top}$, but has striking signature



Tevatron and DØ luminosities



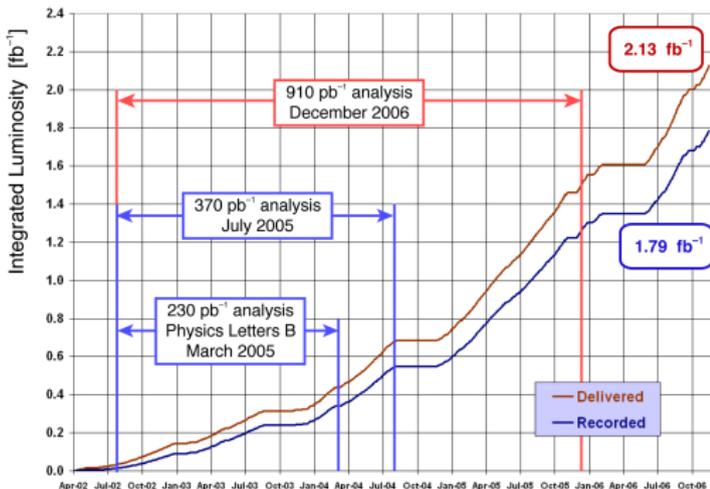
- Interesting physics only a tiny fraction of collisions
⇒ increase the number of collisions



Run II Integrated Luminosity

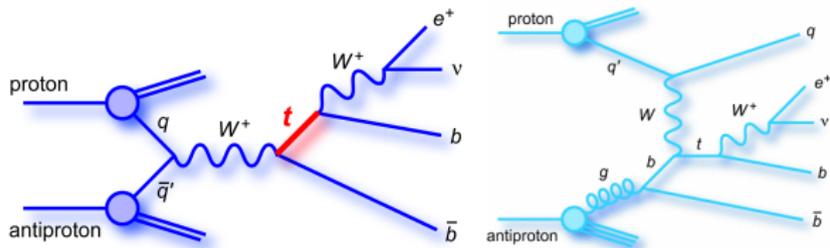
Apr 2002 – Dec 2006

- Trigger required to select events at 50 Hz from 2.5 MHz collisions
- Large amount of data, reprocessed on computing grid
- Lots of Monte Carlo events produced on the grid



Many thanks to the Accelerator Division

Event selection



Signature

- isolated lepton
- \cancel{E}_T
- jets
- at least 1 b-jet

Event selection

- Only one tight (no loose) lepton
 - electron: $p_T > 15 \text{ GeV}$, $|\eta_{det}| < 1.1$
 - muon: $p_T > 18 \text{ GeV}$, $|\eta_{det}| < 2$
- $15 < \cancel{E}_T < 200 \text{ GeV}$
- 2-4 jets: $p_T > 15 \text{ GeV}$, $|\eta| < 3.4$
 - Leading jet: $p_T > 25 \text{ GeV}$, $|\eta_{det}| < 2.5$
 - Second leading jet: $p_T > 20 \text{ GeV}$
- Mis-reconstructed events: require \cancel{E}_T direction not aligned or anti-aligned in azimuth with lepton or jet
- One or two *b*-tagged jets

Signal and backgrounds

Single top signal ($m_t = 175$ GeV)

- CompHEP-SingleTop + Pythia

W+jets

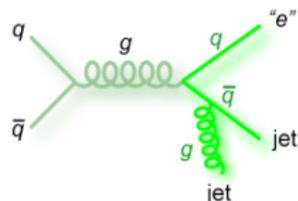
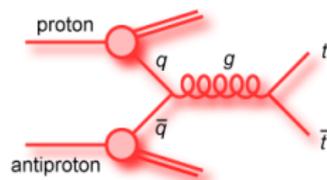
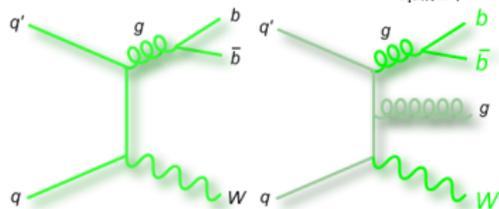
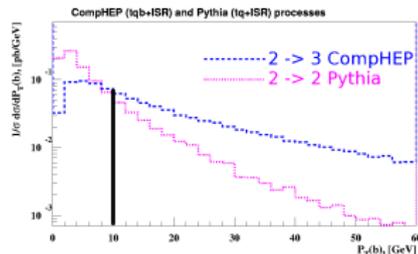
- Most difficult background
- Alpgen+Pythia (MLM matching between matrix elements and parton shower)
- Heavy flavour fraction and normalization from data

$t\bar{t}$ ($m_t = 175$ GeV)

- Alpgen+Pythia (MLM)
- Normalized to $\sigma_{NNLO} = 6.8$ pb

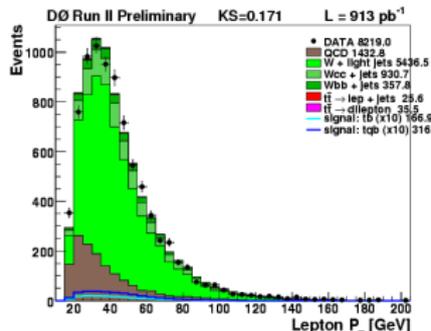
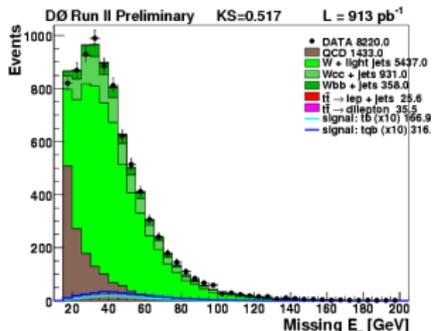
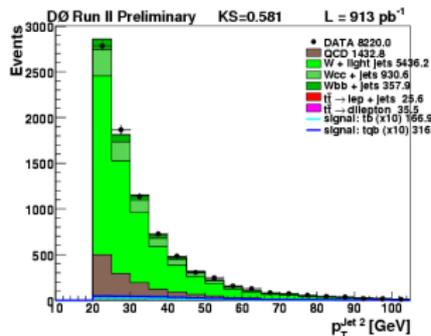
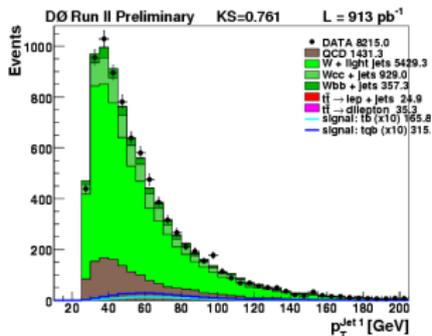
Multijet events

- misidentified lepton, from data



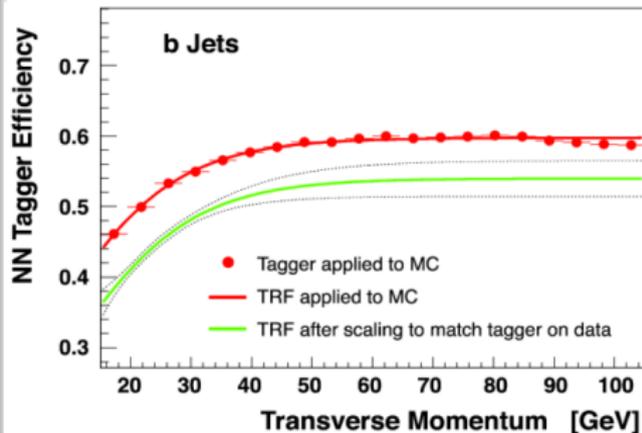
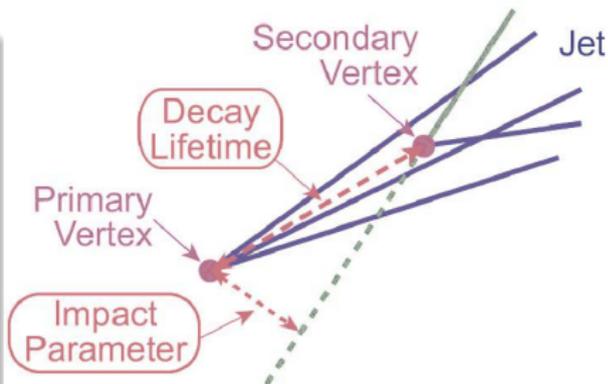
Event selection — Agreement before tagging

- Normalize W +jets and multijet to data before tagging
- Checked 90 variables, 4 jet multiplicities, electron + muon
- Good description of data



b-jet tagger

- NN trained on 7 input variables from existing taggers.
 - secondary vertices
 - impact parameter
- **Much improved performance!**
 - fake rate reduced by 1/3 for same b efficiency relative to previous tagger
 - smaller systematic uncertainties
- Tag Rate Functions (TRFs) in η , p_T , z -PV applied to MC
- Operating point:
 - b -jet efficiency $\sim 50\%$
 - c -jet efficiency $\sim 10\%$
 - light jet efficiency $\sim 0.5\%$



Event selection — Splitting by S:B

Percentage of single top *tb+tb* selected events
and S:B ratio (white squares = no plans to analyze)

Electron + Muon	1 jet	2 jets	3 jets	4 jets	≥ 5 jets
0 tags	10% 1 : 3,200	25% 1 : 390	12% 1 : 300	3% 1 : 270	1% 1 : 230
1 tag	6% 1 : 100	21% 1 : 20	11% 1 : 25	3% 1 : 40	1% 1 : 53
2 tags		3% 1 : 11	2% 1 : 15	1% 1 : 38	0% 1 : 43



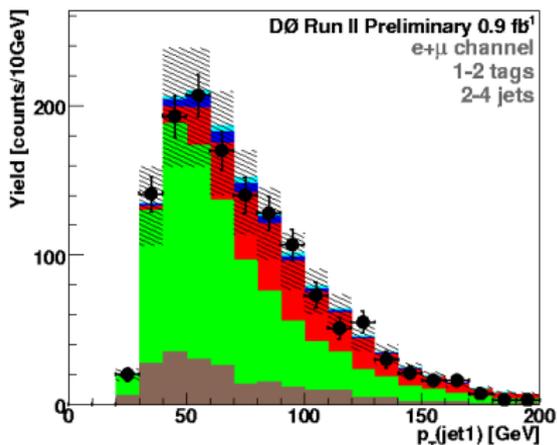
Systematic uncertainties

- Assigned per background, jet multiplicity, lepton flavour and number of tags
- Uncertainties that affect both normalisation and shapes: jet energy scale and tag rate functions (b -tagging parameterisation)
- All uncertainties sampled during limit-setting phase

Relative systematic uncertainties

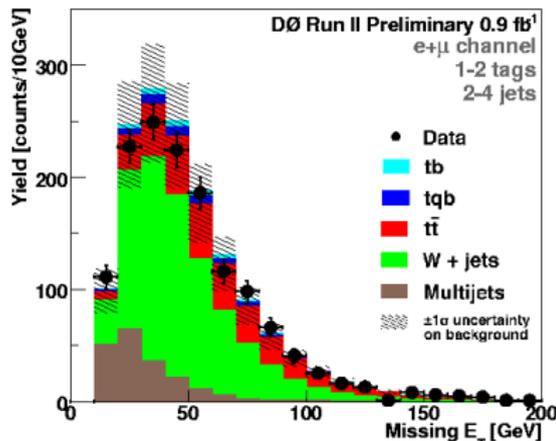
$t\bar{t}$ cross section	18%	Primary vertex	3%
Luminosity	6%	e reco * ID	2%
Electron trigger	3%	e trackmatch & likelihood	5%
Muon trigger	6%	μ reco * ID	7%
Jet energy scale	wide range	μ trackmatch & isolation	2%
Jet efficiency	2%	$\epsilon_{\text{real}-e}$	2%
Jet fragmentation	5-7%	$\epsilon_{\text{real}-\mu}$	2%
Heavy flavor ratio	30%	$\epsilon_{\text{fake}-e}$	3-40%
Tag-rate functions	2-16%	$\epsilon_{\text{fake}-\mu}$	2-15%

Agreement after tagging



Sample	# of Events
s&t-channel Signal	62
Wjj	174
tt \rightarrow l+jets	266
Wbb & Wcc	675
Mis-ID's leptons	201
Diboson, tt \rightarrow dileptons	82

Totals	2 Jets	3 Jets	4 Jets
Data	697	455	246
Total Background	685	460	253
Signal	36	20	6



Multivariate analysis techniques

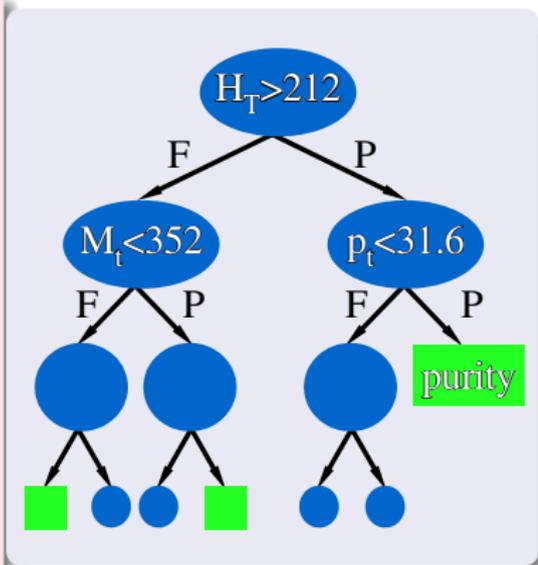
- Boosted decision trees
- Matrix element
- Bayesian neural networks



Decision trees

- Machine-learning technique, widely used in social sciences
- Idea: recover events that fail criteria in cut-based analysis

- Start with all events = first node
 - sort all events by each variable
 - for each variable, find splitting value with best separation between two children (mostly signal in one, mostly background in the other)
 - select variable and splitting value with best separation, produce two branches with corresponding events ((F)ailed and (P)assed cut)
- Repeat recursively on each node
- Splitting stops: terminal node = leaf



- DT output = leaf purity, close to 1 (0) for signal (bkg)

Splitting a node

Impurity $i(t)$

- maximum for equal mix of signal and background
- symmetric in p_{signal} and $p_{\text{background}}$
- minimal for node with either signal only or background only
- strictly concave \Rightarrow reward purer nodes

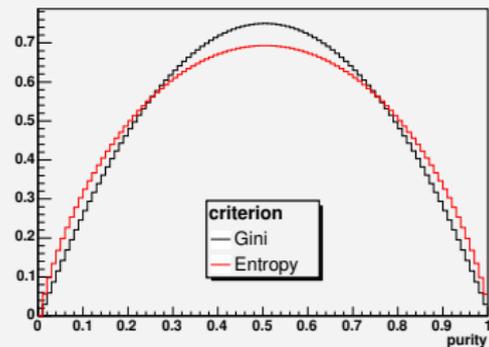
- Decrease of impurity for split s of node t into children t_L and t_R (goodness of split):
$$\Delta i(s, t) = i(t) - p_L \cdot i(t_L) - p_R \cdot i(t_R)$$
- Aim: find split s^* such that:

$$\Delta i(s^*, t) = \max_{s \in \{\text{splits}\}} \Delta i(s, t)$$

- Maximizing $\Delta i(s, t) \equiv$ minimizing overall tree impurity

Examples

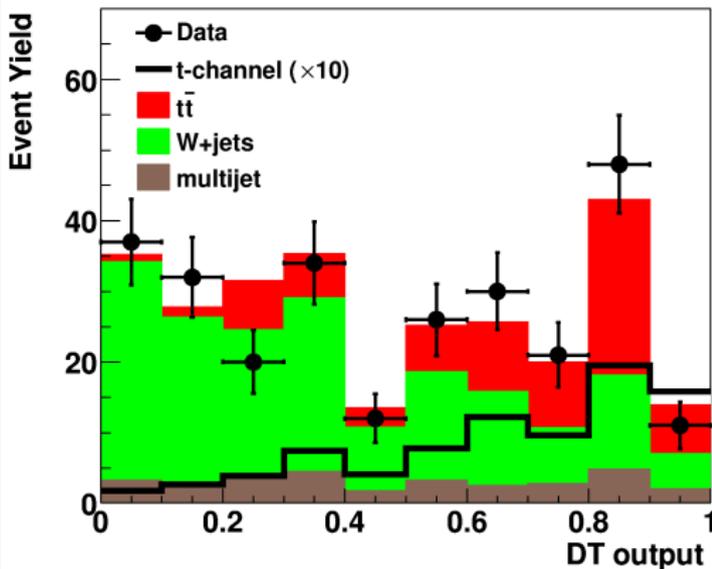
$$\text{Gini} = 1 - \sum_{i=s,b} p_i^2 = \frac{2sb}{(s+b)^2}$$
$$\text{entropy} = - \sum_{i=s,b} p_i \log p_i$$



Decision tree output

Measure and apply

- Take trained tree and run on independent pseudo-data sample, determine purities
- Apply to data
- Should see enhanced separation (signal right, background left)
- Could cut on output and measure, or use whole distribution to measure



Boosting a decision tree

Boosting

- Recent technique to improve performance of a weak classifier
- Recently used on decision trees by GLAST and MiniBooNE
- Basic principal on DT:
 - train a tree T_k
 - $T_{k+1} = \text{modify}(T_k)$

AdaBoost algorithm

- Adaptive boosting
- Check which events are misclassified by T_k
- Derive tree weight α_k
- Increase weight of misclassified events by e^{α_k}
- Train again to build T_{k+1}
- Boosted result of event i :
$$T(i) = \sum_{n=1}^{N_{\text{tree}}} \alpha_n T_n(i)$$

- Averaging \Rightarrow dilutes piecewise nature of DT
- Usually improves performance

Ref: Freund and Schapire, "Experiments with a new boosting algorithm", in *Machine Learning: Proceedings of the Thirteenth International Conference*, pp 148-156 (1996)



Decision tree parameters

DT choices

- 1/3 of MC for training
- AdaBoost parameter $\beta = 0.2$
- 20 boosting cycles
- Signal leaf if purity > 0.5
- Minimum leaf size = 100 events
- Same total weight to signal and background to start
- Goodness of split - Gini factor

Analysis strategy

- Train 36 separate trees:
 - 3 signals ($s, t, s + t$)
 - 2 leptons (e, μ)
 - 3 jet multiplicities (2,3,4 jets)
 - 2 b -tag multiplicities (1,2 tags)
- For each signal train against the sum of backgrounds



Decision Trees - 49 input variables

Object Kinematics

$p_T(\text{jet1})$
 $p_T(\text{jet2})$
 $p_T(\text{jet3})$
 $p_T(\text{jet4})$
 $p_T(\text{best1})$
 $p_T(\text{notbest1})$
 $p_T(\text{notbest2})$
 $p_T(\text{tag1})$
 $p_T(\text{untag1})$
 $p_T(\text{untag2})$

Angular Correlations

$\Delta R(\text{jet1}, \text{jet2})$
 $\cos(\text{best1}, \text{lepton})_{\text{besttop}}$
 $\cos(\text{best1}, \text{notbest1})_{\text{besttop}}$
 $\cos(\text{tag1}, \text{alljets})_{\text{alljets}}$
 $\cos(\text{tag1}, \text{lepton})_{\text{btaggedtop}}$
 $\cos(\text{jet1}, \text{alljets})_{\text{alljets}}$
 $\cos(\text{jet1}, \text{lepton})_{\text{btaggedtop}}$
 $\cos(\text{jet2}, \text{alljets})_{\text{alljets}}$
 $\cos(\text{jet2}, \text{lepton})_{\text{btaggedtop}}$
 $\cos(\text{lepton}, Q(\text{lepton}) \times z)_{\text{besttop}}$
 $\cos(\text{lepton}_{\text{besttop}}, \text{besttop}_{\text{CMframe}})$
 $\cos(\text{lepton}_{\text{btaggedtop}}, \text{btaggedtop}_{\text{CMframe}})$
 $\cos(\text{notbest}, \text{alljets})_{\text{alljets}}$
 $\cos(\text{notbest}, \text{lepton})_{\text{besttop}}$
 $\cos(\text{untag1}, \text{alljets})_{\text{alljets}}$
 $\cos(\text{untag1}, \text{lepton})_{\text{btaggedtop}}$

Event Kinematics

Aplanarity(alljets, W)
 $M(W, \text{best1})$ ("best" top mass)
 $M(W, \text{tag1})$ ("b-tagged" top mass)
 $H_T(\text{alljets})$
 $H_T(\text{alljets} - \text{best1})$
 $H_T(\text{alljets} - \text{tag1})$
 $H_T(\text{alljets}, W)$
 $H_T(\text{jet1}, \text{jet2})$
 $H_T(\text{jet1}, \text{jet2}, W)$
 $M(\text{alljets})$
 $M(\text{alljets} - \text{best1})$
 $M(\text{alljets} - \text{tag1})$
 $M(\text{jet1}, \text{jet2})$
 $M(\text{jet1}, \text{jet2}, W)$
 $M_T(\text{jet1}, \text{jet2})$
 $M_T(W)$
Missing E_T
 $p_T(\text{alljets} - \text{best1})$
 $p_T(\text{alljets} - \text{tag1})$
 $p_T(\text{jet1}, \text{jet2})$
 $Q(\text{lepton}) \times \eta(\text{untag1})$
 \sqrt{s}
Sphericity(alljets, W)

- Adding variables does not degrade performance
- Tested shorter lists, lost some sensitivity
- Same list used for all channels



Matrix element method

- Pioneered by DØ top mass analysis. Now used in search
- Use the 4-vectors of all reconstructed leptons and jets
- Use matrix elements of main signal and bkgd diagrams to compute event probability density for signal and bkgd hypotheses
- Goal: calculate a discriminant:

$$D_s(\vec{x}) = P(S|\vec{x}) = \frac{P_{signal}(\vec{x})}{P_{signal}(\vec{x}) + P_{bkg}(\vec{x})}$$

- Encoded in properly normalized differential cross section for process S :

$$P_S(\vec{x}) = \frac{1}{\sigma_S} d\sigma_S(\vec{x}), \quad \sigma_S = \int d\sigma_S(\vec{x})$$

- Used only limited number of Feynman diagrams. Sensitivity would increase (but so does computation time) if more diagrams were included. In particular, no $t\bar{t}$ diagrams are computed (serious limitation for >2 jets)

- To verify that all of this machinery is working properly we test with many sets of pseudo-data
- Wonderful tool to test analysis methods! Run $D\bar{0}$ experiment 1000s of times!
- Generated ensembles:
 - 0-signal ensemble ($s + t \sigma = 0$ pb)
 - SM ensemble ($s + t \sigma = 2.9$ pb)
 - “Mystery” ensembles to test analyzers ($s + t \sigma = ??$ pb)
 - Ensembles at measured cross section ($s + t \sigma = \text{measured}$)
 - A high luminosity ensemble



Ensemble Testing - Details

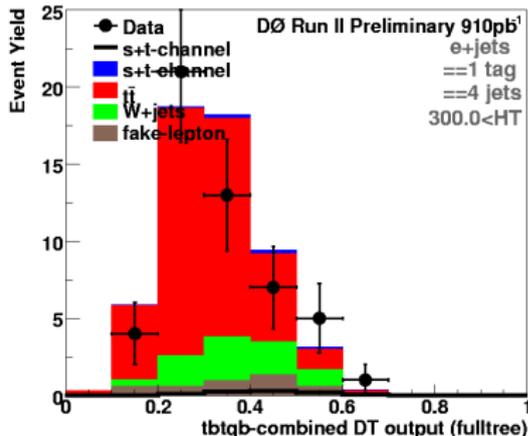
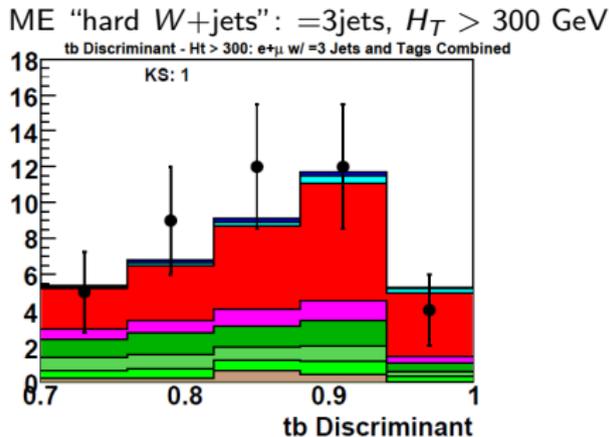
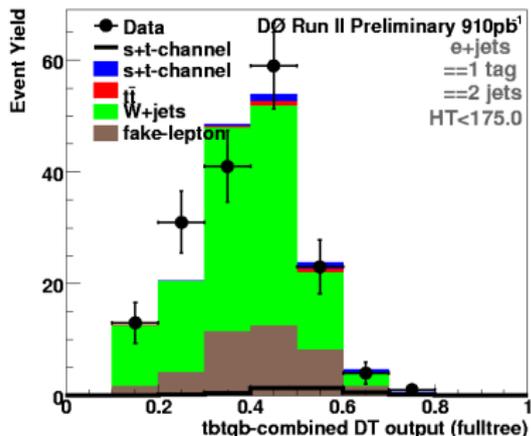
- Use a pool of weighted signal + background events (about 850k in each of electron and muon)
- Fluctuate relative and total yields in proportion to systematic errors, reproducing correlations
- Randomly sample from a Poisson distribution about the total yield to simulate statistical fluctuations
- Generate a set of pseudo-data (a member of the ensemble)
- Pass the pseudo-data through the full analysis chain (including systematic uncertainties)

All analyses achieved linear response to varying input cross sections



Cross-check samples

- Validate methods on data in no-signal region
- “ W +jets”: =2jets, $H_T(\text{lepton}, \cancel{E}_T, \text{alljets}) < 175$ GeV
- “ $t\bar{t}$ bar”: =4jets, $H_T(\text{lepton}, \cancel{E}_T, \text{alljets}) > 300$ GeV
- Good agreement



Measuring cross sections

Probability to observe data distribution D , expecting y :

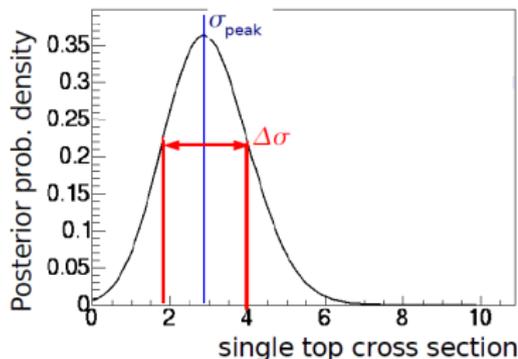
$$y = \alpha\sigma + \sum_{s=1}^N b_s \equiv a\sigma + \sum_{s=1}^N b_s$$

$$P(D|y) \equiv P(D|\sigma, a, b) = \prod_{i=1}^{nbins} P(D_i|y_i)$$

The cross section is obtained

$$Post(\sigma|D) \equiv P(\sigma|D) \propto \int_a \int_b P(D|\sigma, a, b) Prior(\sigma) Prior(a, b)$$

- Bayesian posterior probability density
- Shape and normalization systematics treated as nuisance parameters
- Correlations between uncertainties properly accounted for
- Flat positive prior in signal cross section



Sensitivity determination

- Use the 0-signal ensemble

Expected p-value

Fraction of 0-signal pseudo-datasets in which we measure at least 2.9 pb (SM single top cross section)

Observed p-value

Fraction of 0-signal pseudo-datasets in which we measure at least the observed cross section.

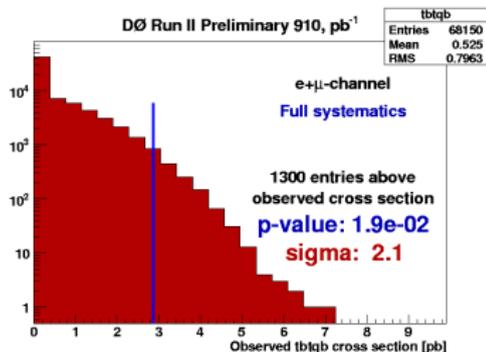
- Also use the SM ensemble to check compatibility of observed result with SM prediction



Expected sensitivity $s+t$

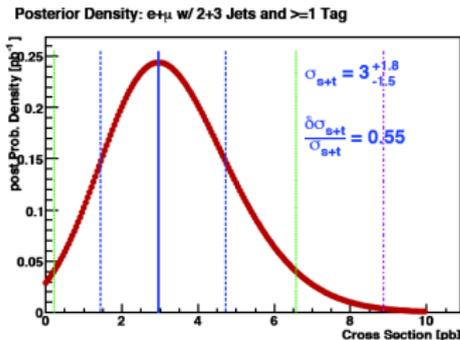
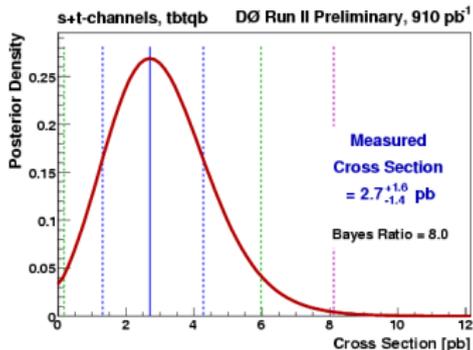
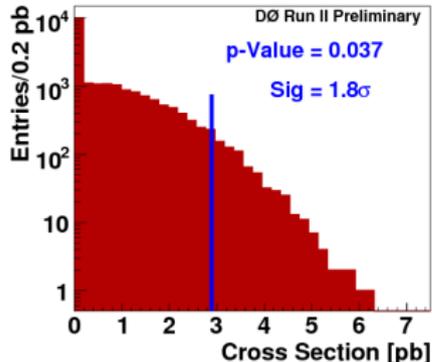
Decision Trees

p-value **1.9%** (2.1σ)



Matrix Elements

p-value **3.7%** (1.8σ)

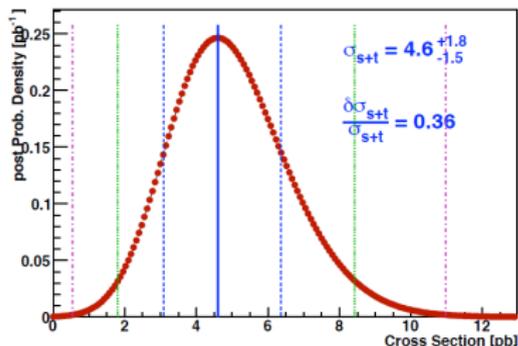


Matrix element s+t observed results

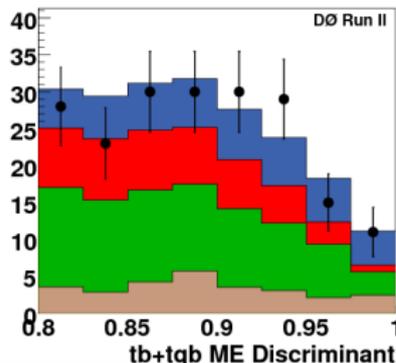
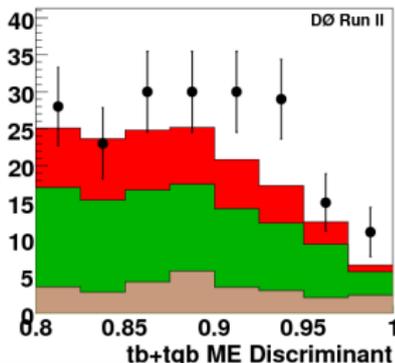
Matrix element

$\sigma = 4.6^{+1.8}_{-1.5}$ pb
p-value = 0.21% (2.9σ)
SM compatibility 21%

Posterior Density: e+tt w/ 2+3 Jets and ≥ 1 Tag

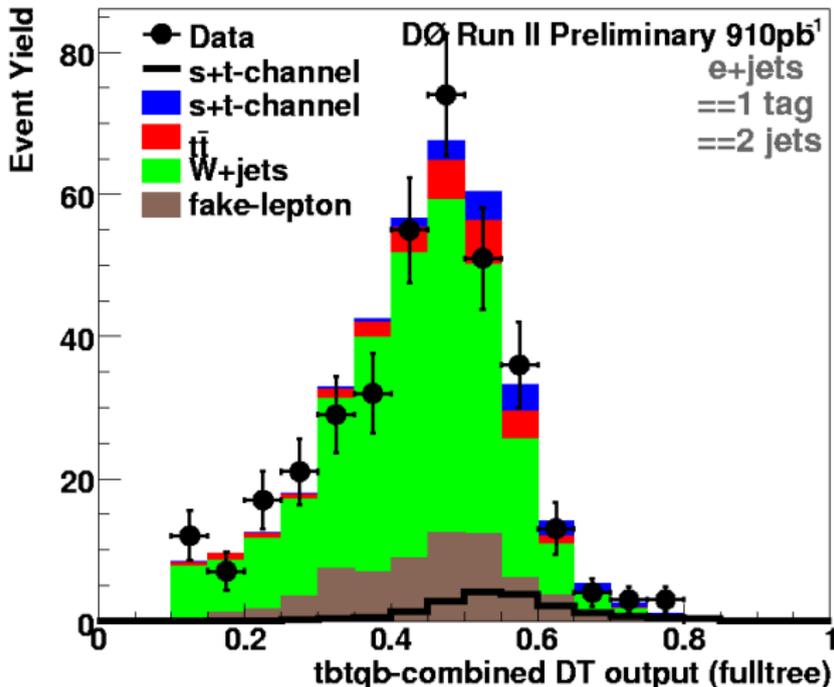


- ME discriminant output, with and without signal content (all channels combined)



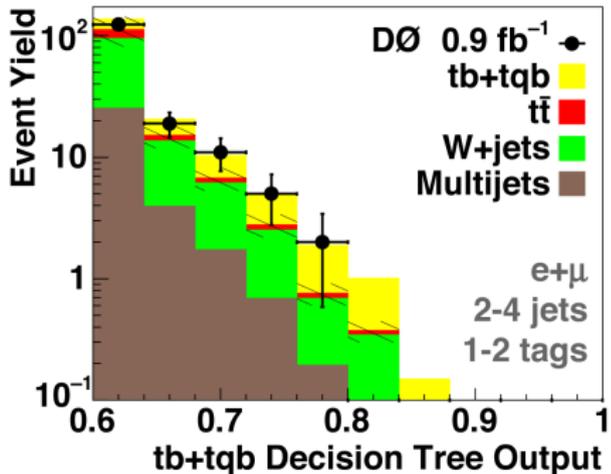
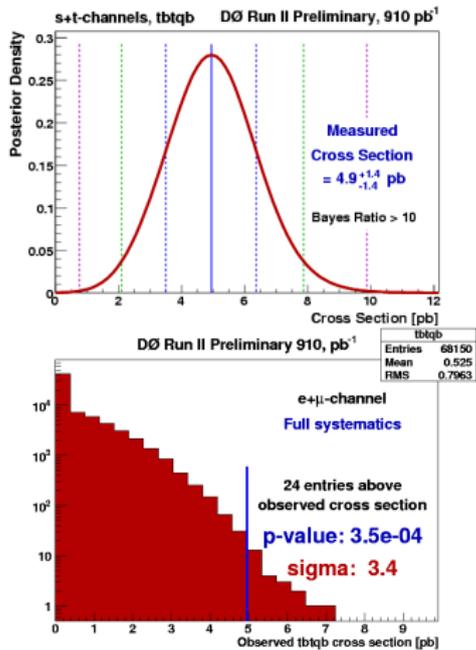
Decision trees on data

- We have 36 different Decision Trees
- Example: electron, 2 jet, 1 tag



Boosted decision tree observed results

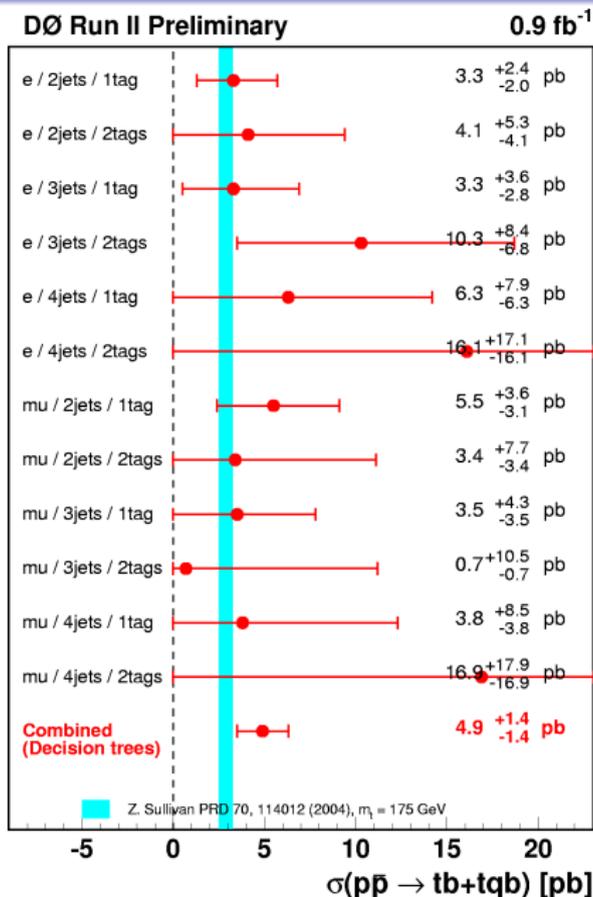
$\sigma_{s+t} = 4.9 \pm 1.4 \text{ pb}$
 $p\text{-value} = 0.035\% (3.4\sigma)$
 SM compatibility: 11% (1.3σ)



$\sigma_s = 1.0 \pm 0.9 \text{ pb}$
 $\sigma_t = 4.2^{+1.8}_{-1.4} \text{ pb}$

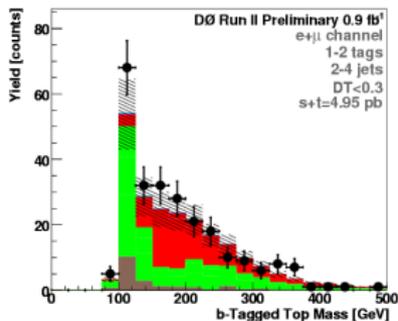


Decision trees — Summary

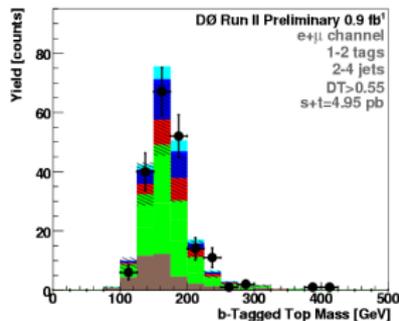


Boosted decision tree event characteristics

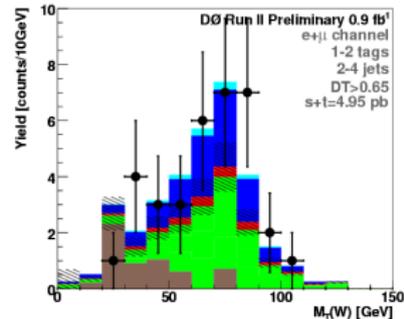
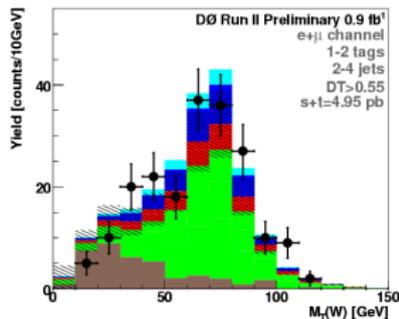
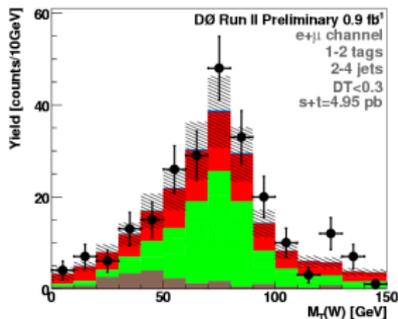
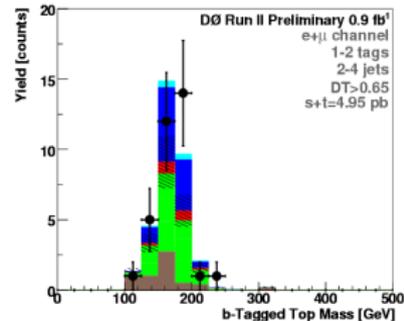
$DT < 0.3$



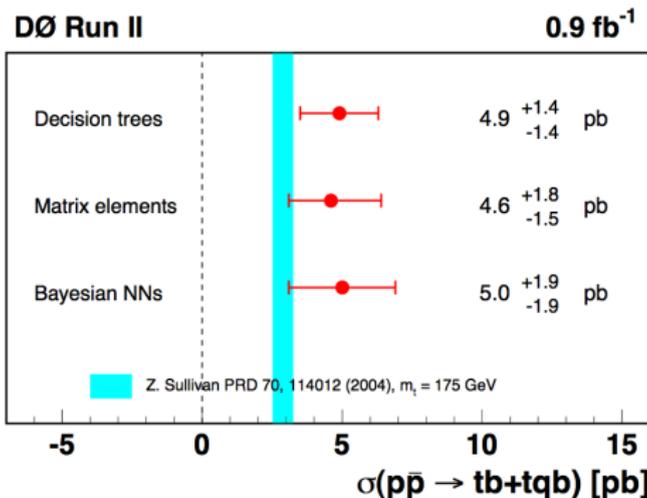
$DT > 0.55$



$DT > 0.65$



s+t summary — Correlations



High discriminant correlation

Choose the 50 highest events in each discriminant and look for overlap

	Electron	Muon
DT vs ME	52%	58%
DT vs BNN	56%	48%
ME vs BNN	46%	52%

Linear correlation

Measured cross section in 400 members of SM ensemble with all three techniques and calculated the linear correlation between each pair

	DT	ME	BNN
DT	100%	39%	57%
ME		100%	29%
BNN			100%

Measuring $|V_{tb}|$

- Now that we have a cross section measurement, we can make the first direct measurement of $|V_{tb}|$
- Use the same infrastructure as for cross section measurement but make a posterior in $|V_{tb}|^2$

Additional theoretical errors (hep-ph/0408049)

	s	t
top mass	13%	8.5%
scale	5.4%	4.0%
PDF	4.3%	10.0%
α_s	1.4%	0.01%

- Most general Wtb coupling ($P_{L,R} = (1 \mp \gamma_5)/2$):

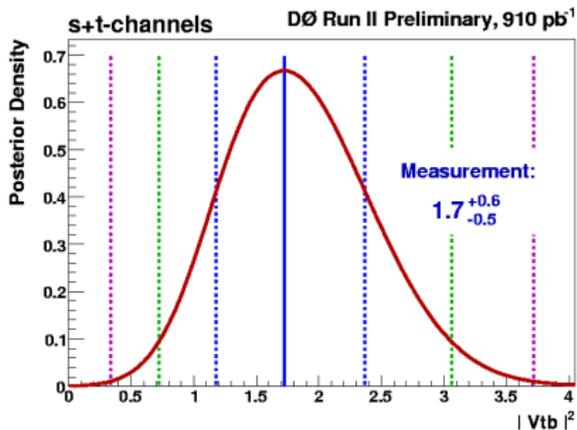
$$\Gamma_{tbW}^\mu = -\frac{g}{\sqrt{2}} V_{tb} \bar{u}(p_b) \left[\gamma^\mu (f_1^L P_L + f_1^R P_R) - \frac{i\sigma^{\mu\nu}}{M_W} (f_2^L P_L + f_2^R P_R) \right] u(p_t)$$

- SM: $f_1^L = 1$, $f_1^R = f_2^L = f_2^R = 0$
- Effectively measuring strength of $V-A$ coupling $|V_{tb} f_1^L|$, can be > 1

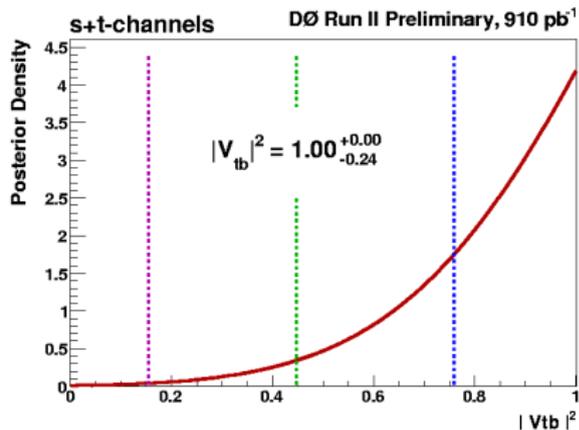


First direct measurement of $|V_{tb}|$

- Assuming $V_{td}^2 + V_{ts}^2 \ll V_{tb}^2$ and pure $V-A$ and CP-conserving Wtb interaction



$$|V_{tb}f_1^L| = 1.3 \pm 0.2$$



$$0.68 < |V_{tb}| \leq 1 @ 95\% \text{ CL}$$

(assuming $f_1^L = 1$, flat prior in $[0, 1]$)

- No assumption about number of quark families or CKM matrix unitarity

Conclusion

First evidence for single top quark production (DØ decision trees)

$$\sigma(p\bar{p} \rightarrow tb + X, tqb + X) = 4.9 \pm 1.4 \text{ pb}$$

3.4 σ significance

First direct measurement of $|V_{tb}|$ (DØ decision trees)

$$|V_{tb}f_1^L| = 1.3 \pm 0.2$$

assuming $f_1^L = 1$: $0.68 < |V_{tb}| \leq 1$ @ 95% CL

(Always assuming $V_{td}^2 + V_{ts}^2 \ll V_{tb}^2$ and pure V-A and CP-conserving Wtb interaction)

hep-ex/0612052, submitted to PRL

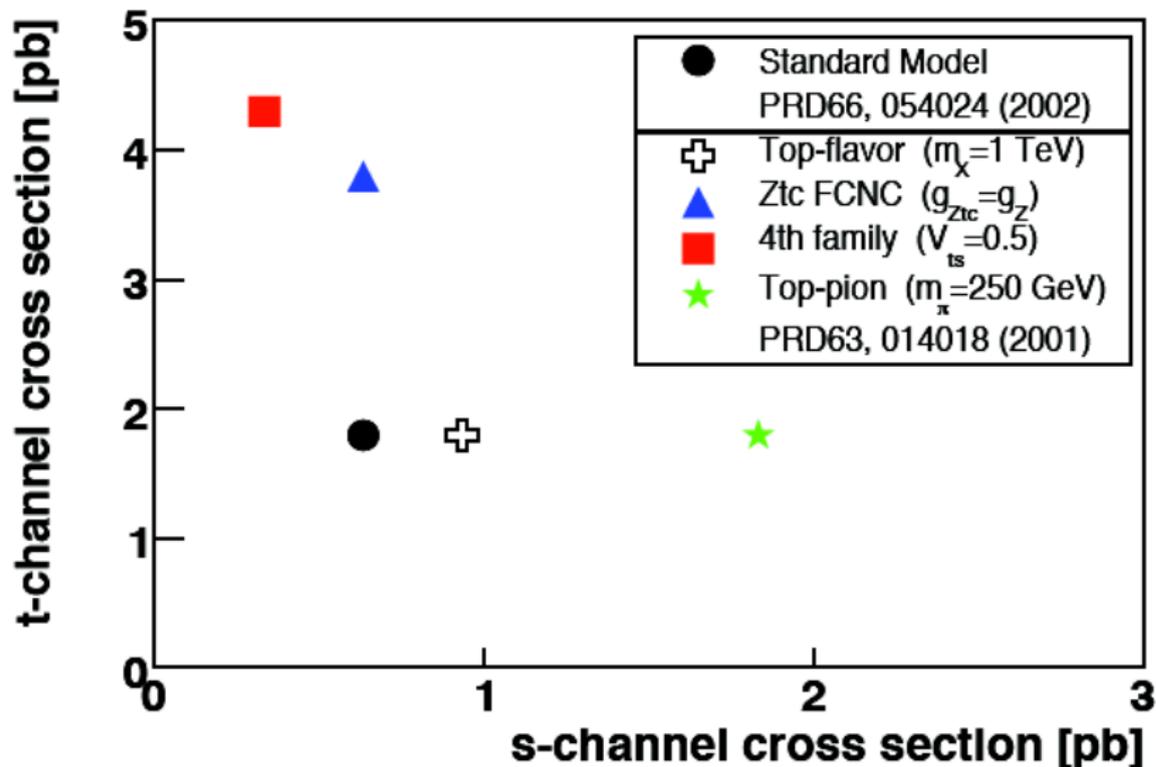
- Working on understanding correlations and on combinations
- A lot more data already at hand



Backup slides

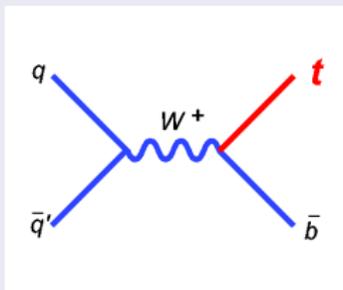


Motivation - New Physics



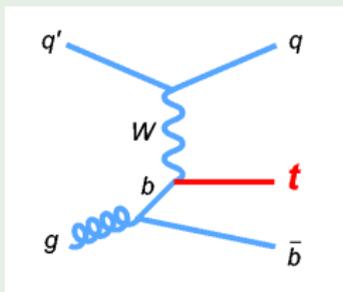
Top quark spin

s-channel: $u\bar{d} \rightarrow t\bar{b}$



p	\bar{p}	fraction
u	\bar{d}	98%
\bar{d}	u	2%

t-channel: $ug \rightarrow t\bar{b}d, \bar{d}g \rightarrow t\bar{b}u$

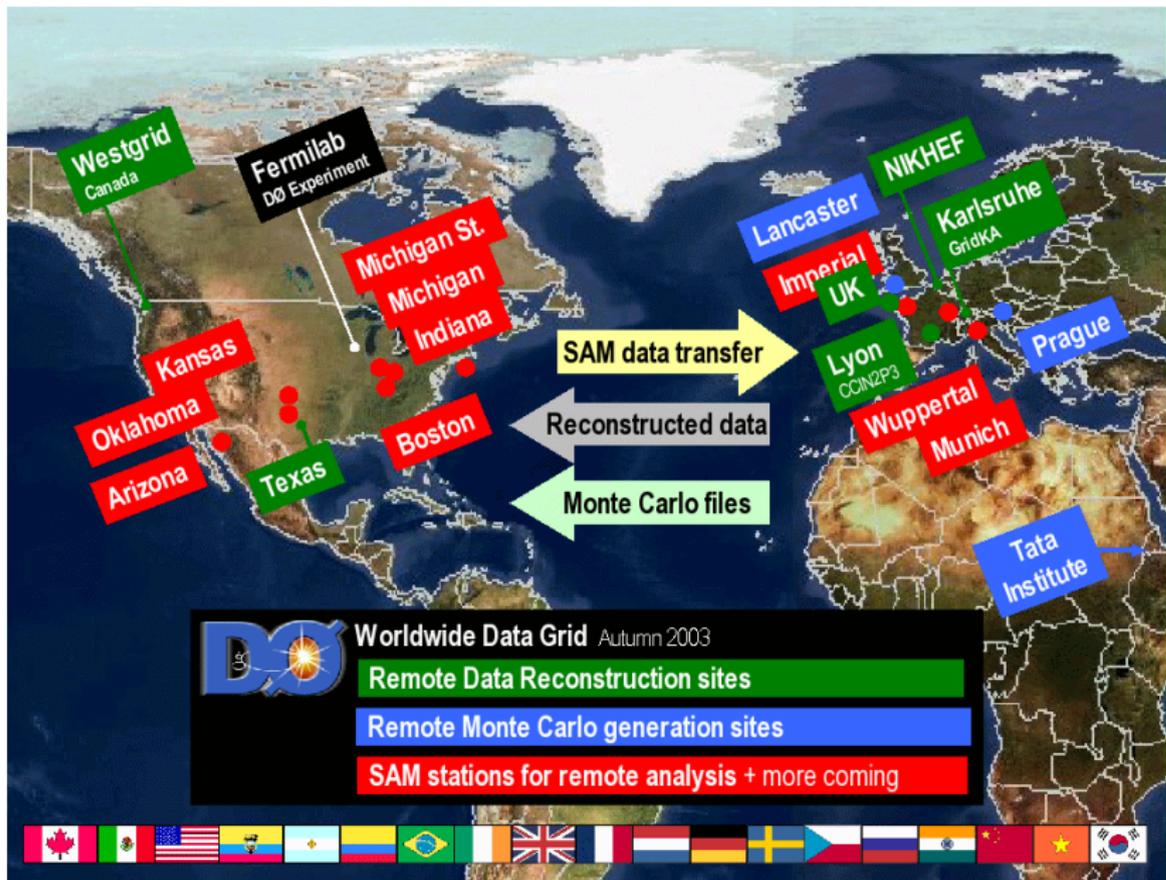


p	\bar{p}	fraction
u	\bar{g}	74%
g	\bar{d}	20%
g	u	3%
\bar{d}	g	3%

G. Mahlon, S. Parke, PRD55(1997)7249-7254



Data reprocessing - Monte Carlo production



W+jets normalization

- Find fractions of real and fake isolated lepton in data before b -tagging. Split samples in loose and tight isolation:

$$N^{loose} = N_{fake}^{loose} + N_{real}^{loose}$$

$$N^{tight} = \epsilon_{fake} N_{fake}^{loose} + \epsilon_{real} N_{real}^{loose}$$

Solve for N_{fake}^{loose} and N_{real}^{loose}

- Normalize MC W+jets samples to real lepton yield found in data, accounting for presence of $t\bar{t}$:

$$\epsilon_{real} N_{real}^{loose} = SF \times (W_{jj} + W_{cc} + W_{bb}) + t\bar{t}, \quad SF \sim 1.4$$

- $(W_{bb} + W_{cc})/W_{jj}$ found in zero-tag sample: 1.5 ± 0.5
- Then apply b -tagging
 - reduces W+jets background
 - changes flavour composition and kinematic distributions



W+jets heavy flavour fraction

$$\alpha(Wb\bar{b} + Wc\bar{c}) + Wjj + t\bar{t} + \text{QCD} = \text{Data}$$

Scale Factor α to Match Heavy Flavor Fraction to Data

	1 jet	2 jets	3 jets	4 jets
Electron Channel				
0 tags	1.53 ± 0.10	1.48 ± 0.10	1.50 ± 0.20	1.72 ± 0.40
1 tag	1.29 ± 0.10	1.58 ± 0.10	1.40 ± 0.20	0.69 ± 0.60
2 tags	—	1.71 ± 0.40	2.92 ± 1.20	-2.91 ± 3.50
Muon Channel				
0 tags	1.54 ± 0.10	1.50 ± 0.10	1.52 ± 0.10	1.38 ± 0.20
1 tag	1.11 ± 0.10	1.52 ± 0.10	1.32 ± 0.20	1.86 ± 0.50
2 tags	—	1.40 ± 0.40	2.46 ± 0.90	3.78 ± 2.80



Event Selection - Yields

Source	Event Yields in 0.9 fb^{-1} Data		
	Electron+muon, 1tag+2tags combined		
	2 jets	3 jets	4 jets
<i>tb</i>	16 ± 3	8 ± 2	2 ± 1
<i>tqb</i>	20 ± 4	12 ± 3	4 ± 1
$t\bar{t} \rightarrow ll$	39 ± 9	32 ± 7	11 ± 3
$t\bar{t} \rightarrow l+jets$	20 ± 5	103 ± 25	143 ± 33
$W+b\bar{b}$	261 ± 55	120 ± 24	35 ± 7
$W+c\bar{c}$	151 ± 31	85 ± 17	23 ± 5
$W+jj$	119 ± 25	43 ± 9	12 ± 2
Multijets	95 ± 19	77 ± 15	29 ± 6
Total background	686 ± 41	460 ± 39	253 ± 38
Data	697	455	246

- Expected single top signal is smaller than background uncertainty!
⇒ No counting experiment, requires advanced analysis techniques

$D\emptyset$ discriminants

$$D_s(\vec{x}) = P(S|\vec{x}) = \frac{P_{signal}(\vec{x})}{P_{signal}(\vec{x}) + P_{bkg}(\vec{x})}$$

$$P_{bkg}^{2jets}(\vec{x}) = c_{Wbb}P_{Wbb}(\vec{x}) + c_{Wcg}P_{Wcg}(\vec{x}) + c_{Wgg}P_{Wgg}(\vec{x})$$

$$P_{bkg}^{3jets}(\vec{x}) = P_{Wbbg}(\vec{x})$$

- c_{Wbb} , c_{Wcg} and c_{Wgg} are in principle the relative fractions of each background
- optimized for each channel to increase sensitivity

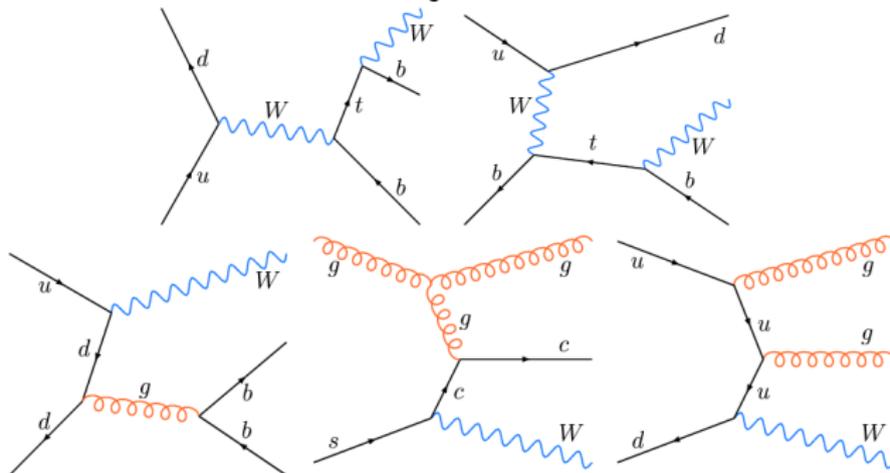
CDF discriminant

$$EPD = \frac{b \cdot P_{signal}}{b \cdot P_{signal} + b \cdot P_{Wbb} + (1 - b)P_{Wcc} + (1 - b)P_{Wcj}}$$

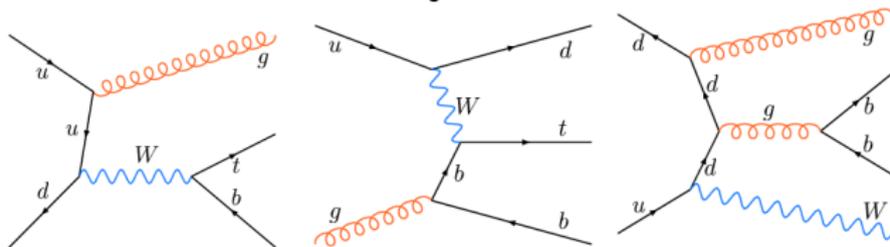
- b is the neural network b -tagger output converted to probability

Matrix element method - D0 diagrams

2-jets:



3-jets:



A different sort of neural network

- Instead of choosing one set of weights, find posterior probability density over all possible weights
- Averaging over many networks weighted by the probability of each network given the training data
- Less prone to overtraining
- For details see:
<http://www.cs.toronto.edu/~radford/fbm.software.html>
- Use 24 variables (subset of DT variables)

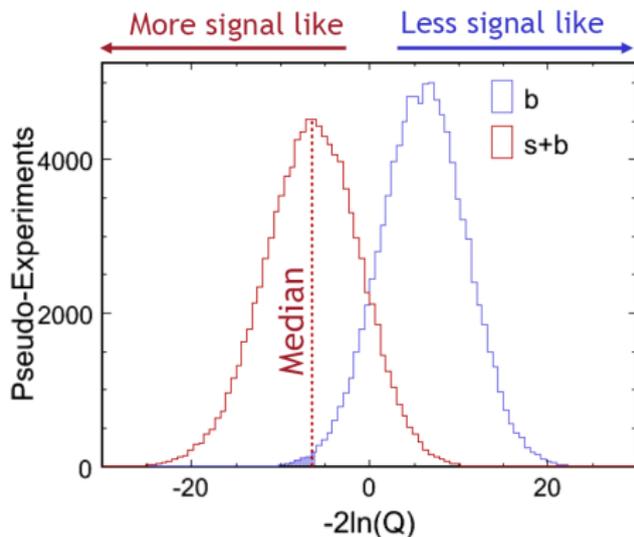


Sensitivity determination at CDF

- Using the CLs method developed at LEP
- Compare two models at a time
- Test statistic:

$$Q = \frac{L(\text{data}|s + b)}{L(\text{data}|b)}$$

- Systematic uncertainties included in pseudo-experiments
- **Expected sensitivity**: median p-value

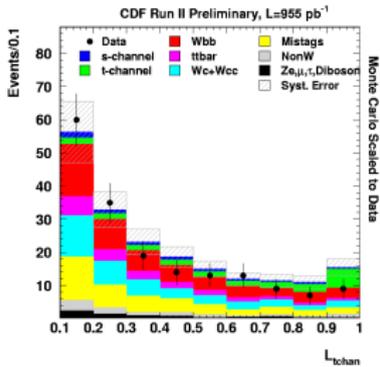


Likelihood	median p-value = 2.3%	(2.0 σ)
Matrix element	median p-value = 0.6%	(2.5 σ)
Neural network	median p-value = 0.5%	(2.6 σ)



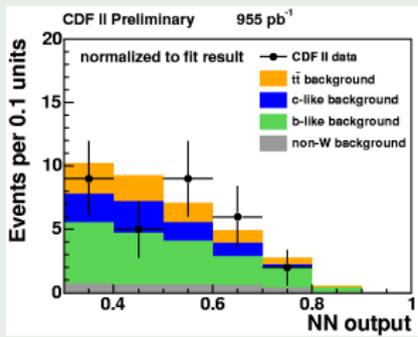
CDF s+t observed results — Preliminary

Likelihood



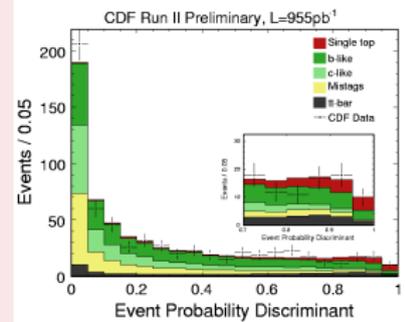
No evidence of signal
 $\sigma < 2.7 \text{ pb @ 95\% CL}$
 From s and t likelihoods

Neural network



No evidence of signal
 $\sigma < 2.6 \text{ pb @ 95\% CL}$

Matrix element

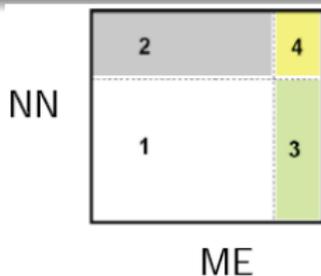
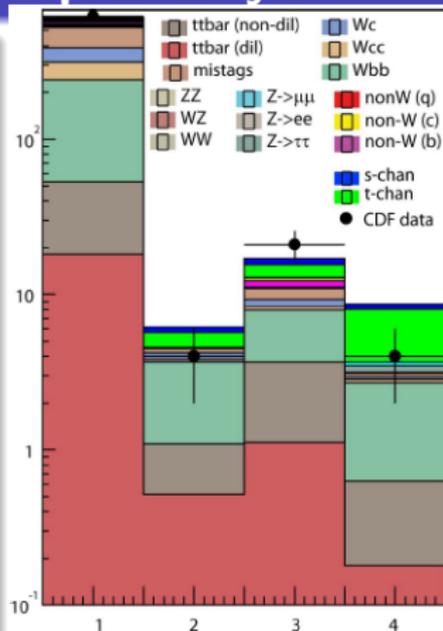


$\sigma = 2.7^{+1.5}_{-1.3} \text{ pb}$
 p-value = 1.0% (2.3 σ)



CDF observed results — Compatibility

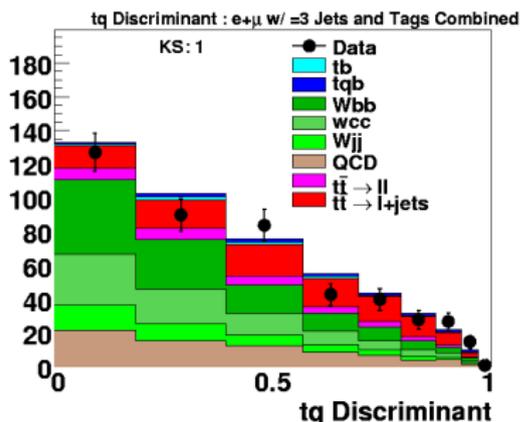
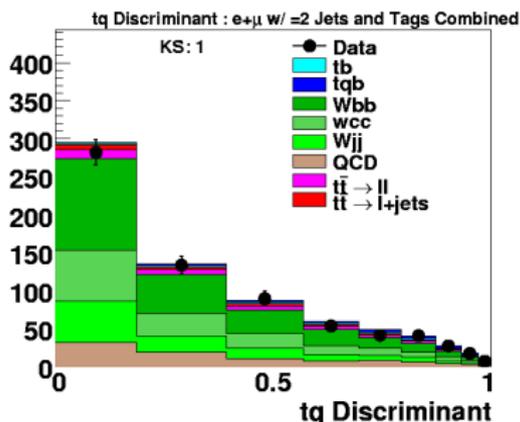
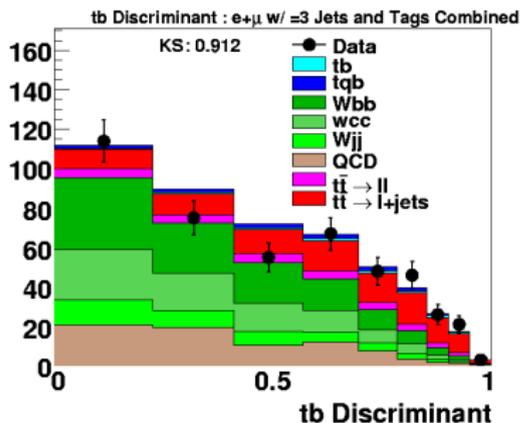
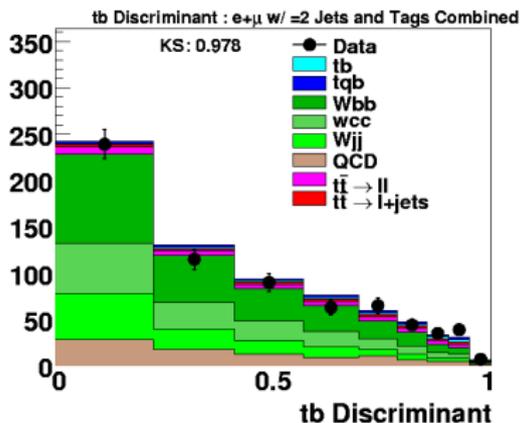
- CDF spent great deal of time (6 months) and effort understanding if the different results are something more than a statistical fluctuation.
- Eliminated possibility of obvious and even subtle bugs
- 6-discriminant compatibility coming soon
- Now investigating if features of the MC modeling affect one analysis more than the other.
- Analysing more data should shed some light



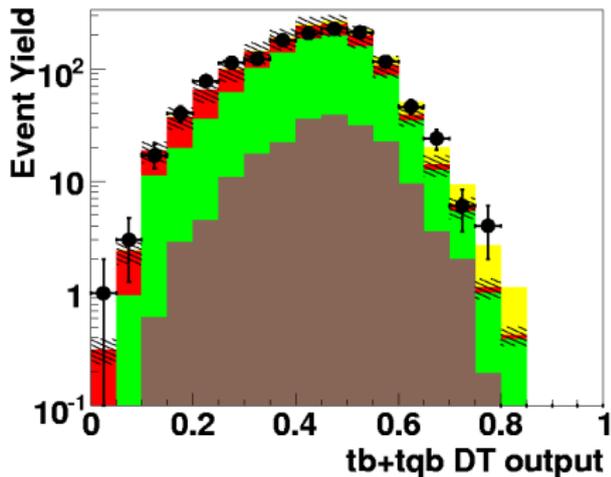
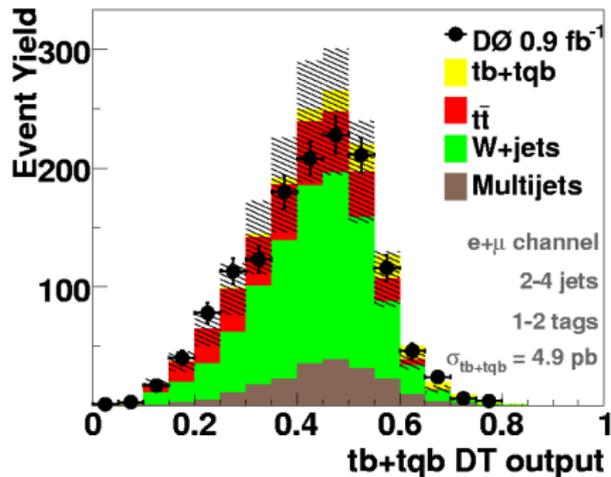
- Bin 1: $NN < 0.8 \ \&\& \ EPD < 0.9$
- Bin 2: $NN > 0.8 \ \&\& \ EPD < 0.9$
- Bin 3: $NN < 0.8 \ \&\& \ EPD > 0.9$
- Bin 4: $NN > 0.8 \ \&\& \ EPD > 0.9$



Matrix element outputs

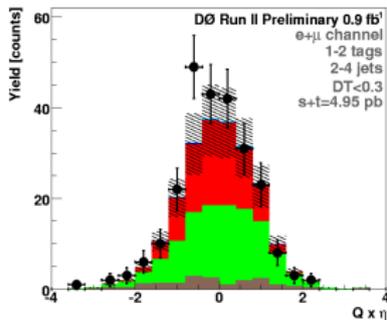


Decision tree combined outputs

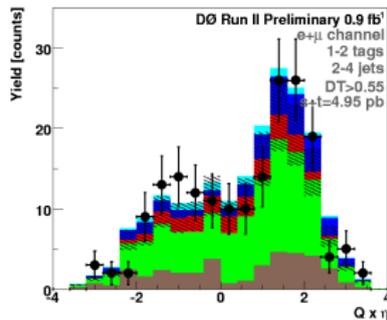


Boosted decision tree event characteristics

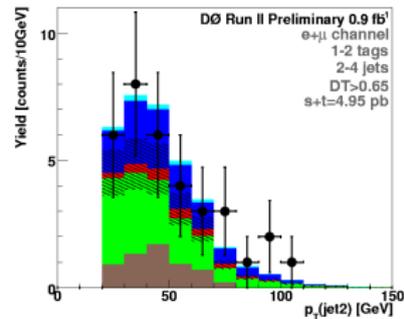
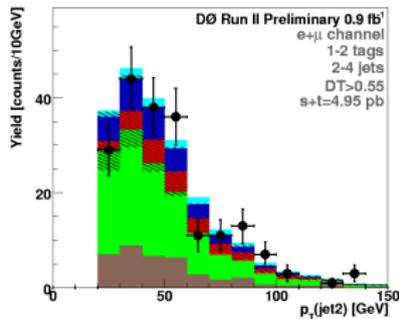
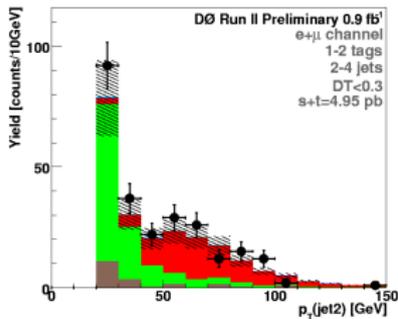
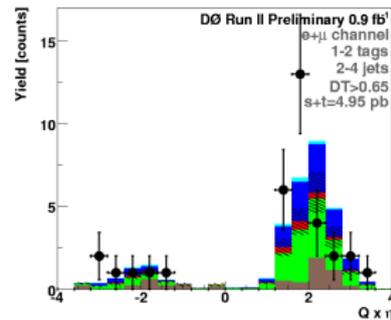
$DT < 0.3$



$DT > 0.55$



$DT > 0.65$



Single top prospects — Tevatron and LHC

Tevatron

- By 2008 we should have observed single top production and measured its cross section to 15-20%
- $|V_{tb}|$ is then known to $\sim 10\%$

LHC

- Much larger production rates:
 - $\sigma_s^{t/\bar{t}} = 6.6/4.1 \text{ pb } (\pm 10\%)$
 - $\sigma_t^{t/\bar{t}} = 156/91 \text{ pb } (\pm 5\%)$
 - $\sigma_{tW}^{t/\bar{t}} = 34/34 \text{ pb } (\pm 10\%)$
- Try to observe all three channels (s-channel challenging)
- $|V_{tb}|$ measured to percent level
- Large samples \Rightarrow study properties

