

# Single Top Production

at the Tevatron  
Searches

Top Quark Symposium  
University of Michigan  
April 7, 2005  
Gordon Watts  
for DØ and CDF

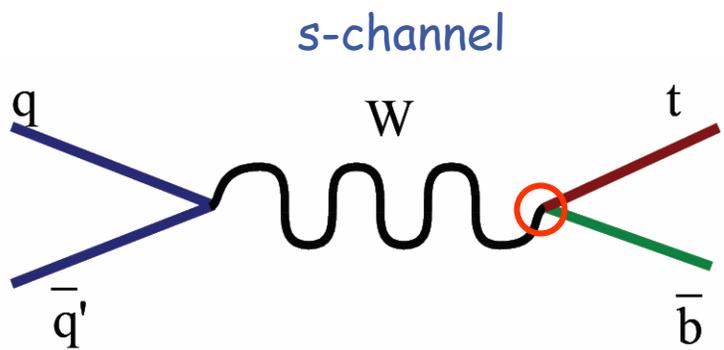


Approved Analysis



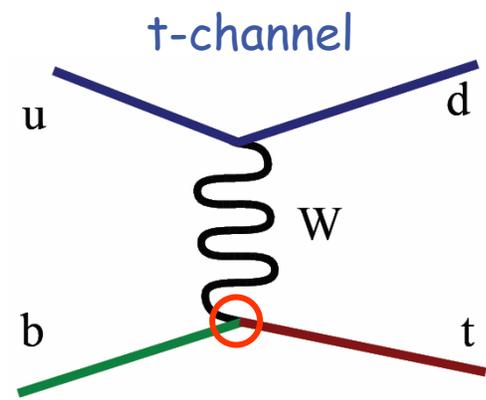


# A Lonely Production

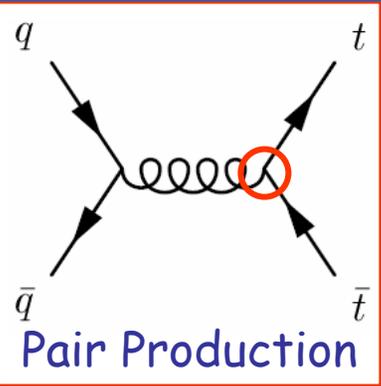


$$\sigma_{\text{NLO}} = 0.88\text{pb} \pm 8\%$$

hep-hp/207055 (Harris, Laenen, Phaf, Sullivan, Weinzierl)



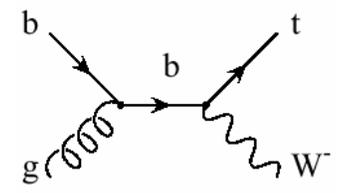
$$\sigma_{\text{NLO}} = 1.98\text{pb} \pm 11\%$$



Weak Decay Vertex  
 $V_{tb}$ , unitarity  
 Exotic Models (FCNC, Top Flavor, 4<sup>th</sup> Gen)  
 SM Higgs Background  
 W+Jets Proving Ground

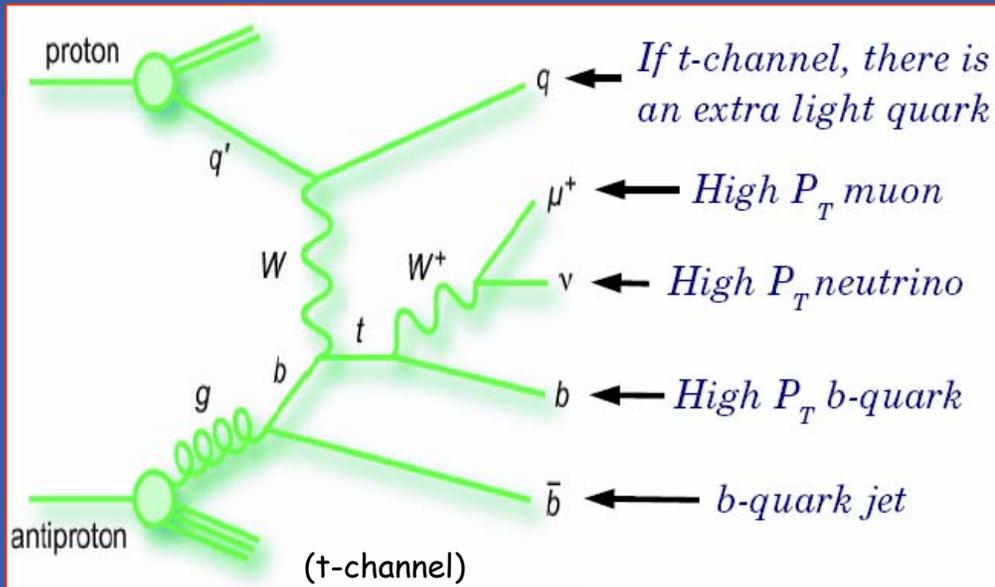
**Discovery First!**

Too small for the Tevatron (LHC?) Tait - hep-ph/990352





# Signature & Backgrounds



Signal for  $s$  and  $t$  channel mostly similar

- Lepton + Missing  $E_T$  + Jets
- t-channel extra b tends to be forward
- Similar to top pair production, but with less jets

⇒ Harder Signal To Find

## Backgrounds

- W/Z + jets Production
- Fake Leptons
- Top Pair Production
- WW, WZ, Z $\tau\tau$ , etc.

Much worse than for pair production because of lower jet multiplicity

Anything with a lepton + jets +  $\cancel{E}_T$  signature



# The MC Situation

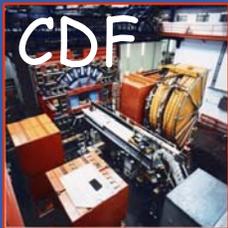
(single top)

ZTOP single top generator is most often used as bench mark...

<http://home.fnal.gov/~zack/ZTOP/ZTOP.html>

Not an event generator, so...

The trick is in getting the t-channel correct...



Re-weights MADEVENT to fit the ZTOP distributions

Generate  $bq, qq \rightarrow t+b+q'$  separately...



Modified version of CompHEP

Match  $2 \rightarrow 2$  and  $2 \rightarrow 3$  process using  $b p_T$  for cross over

Comparison with ZTOP shows no difference



# The MC Situation

(background)

W+Jets with Heavy Flavor is most important

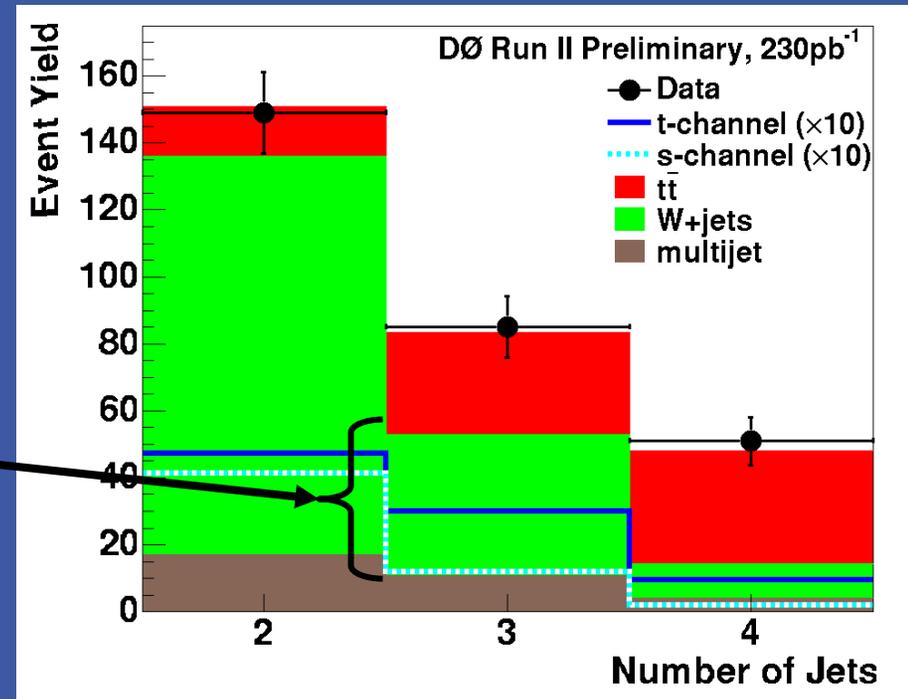
- Jet Double Counting issues
- HF factions - both b and c

(charm tagging not measured!)

CDF and DØ use ALPGEN  
 Full Event Simulation  
 HF fraction (b, c) from ALPGEN  
 Wbb from NLO calculation

*Bowen, Ellis, Strassler.*  
 understand W(b,c) as it  
 affects shape variables!

Steve: "There is more than one R"





# Search Strategy



## Common Analysis Strategy

Basic Selection Cuts



Final Cuts



Limit Fitting

Clean up the data, remove detector backgrounds. Does not maximize S:B.

Apply btagging, understand shape variables (s, t channel), multivariate analysis, etc.

Use binned maximum likelihood (fit distributions to maximize limit)

# The Approach



Improve  $S/B$  to maximize separation in one distribution ( $Q \times \eta$ )

- Basic Selection Cuts More Restrictive

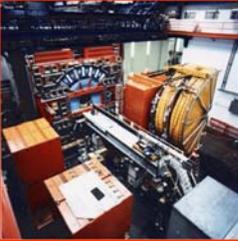


Use Multiple distributions, combined with a Neural Network, to maximize separation

- Basic Selection are efficient, but let in a great deal more background.

# Basic Selection Cuts

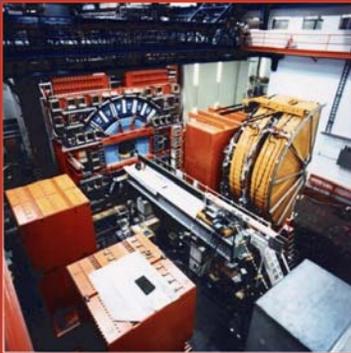


	 CDF	 DØ
Lepton ( $e, \mu$ )	$P_T > 20 \text{ GeV}$	$P_T > 15 \text{ GeV}$
Jet	$E_T > 15 \text{ GeV},$ $ \eta  < 2.8, N_J = 2$	$E_T > 15 \text{ GeV}$ (Jet 1: $E_T > 25$ GeV), $ \eta  < 3.4,$ $2 \leq N_J \leq 4$
Missing $E_T$	$E_T > 20 \text{ GeV}$	$E_T > 15 \text{ GeV}$

Along with other clean-up cuts



# Basic Selection Cuts



1 Lepton  $p_T > 20 \text{ GeV}$

$MET > 20 \text{ GeV}$

Exactly 2 jets  $E_T > 15 \text{ GeV}$   $|\eta| < 2.8$   $N_{jets} \leq 4$

$\geq 1$  b-tag

$M_{lvb} [140, 210] \text{ GeV}$

• One good quality isolated  $e(\mu)$ ,  $E_T > 15 \text{ GeV}$ ,  $|\eta| < 2.0$

•  $MET > 15 \text{ GeV}$

$p_T > 15 \text{ GeV}$

$|\eta| < 3.4$

$p_T (\text{jet } 1) > 25 \text{ GeV}$

Require at least one b-tagged jet

• Reject misreconstructed events  
and regions not well described by backgrounds

# Quick Comment On Preselection Cuts

Both CDF and DØ cut on the number of jets.

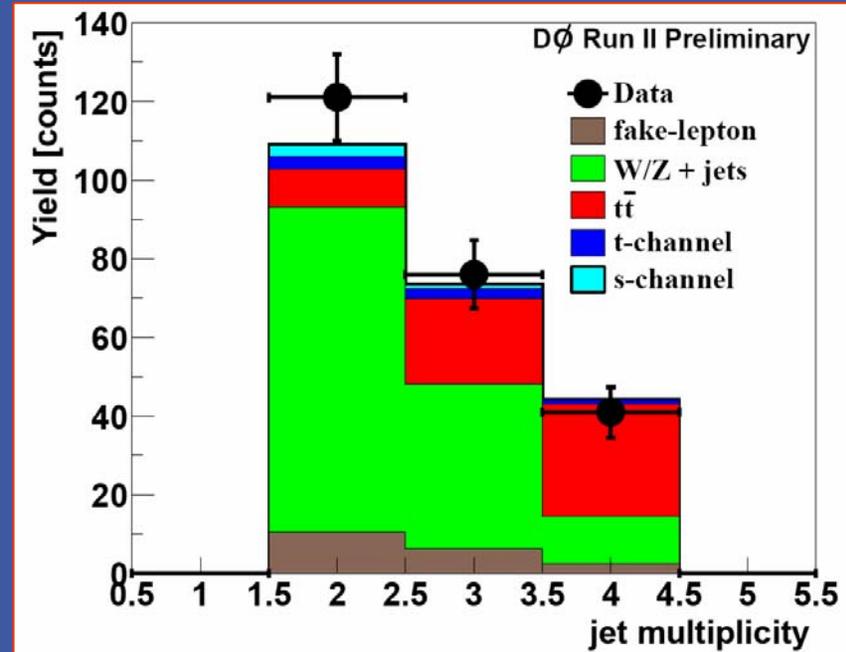


Exactly 2 jets  $E_T > 15 \text{ GeV}$   $|\eta| < 2.8$



$2 \leq N_j \leq 4$

The motivation is pretty clear 



A "jet"-theorist: "Dude. What's a jet?"

Would prefer energy based variables like  $H_T$

Even with those, however,  $N_J$  is powerful.

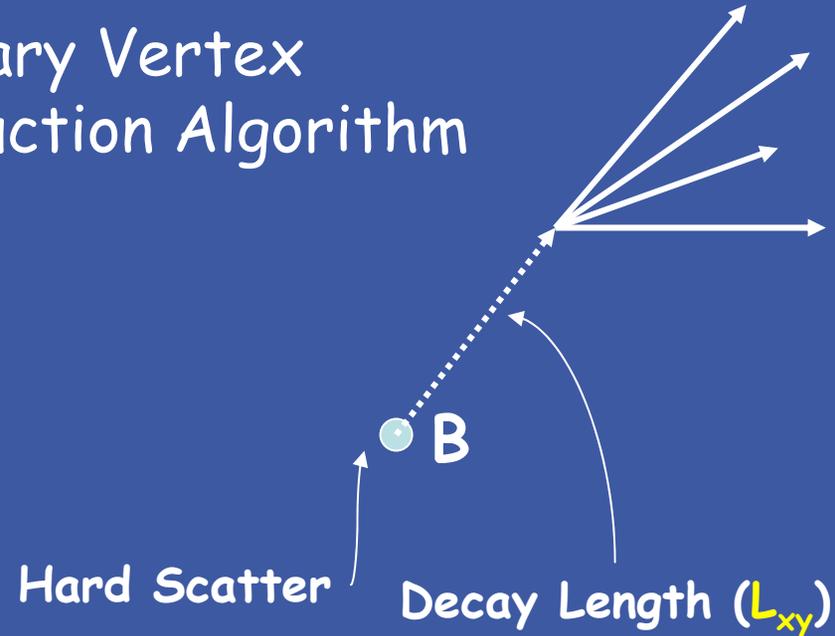
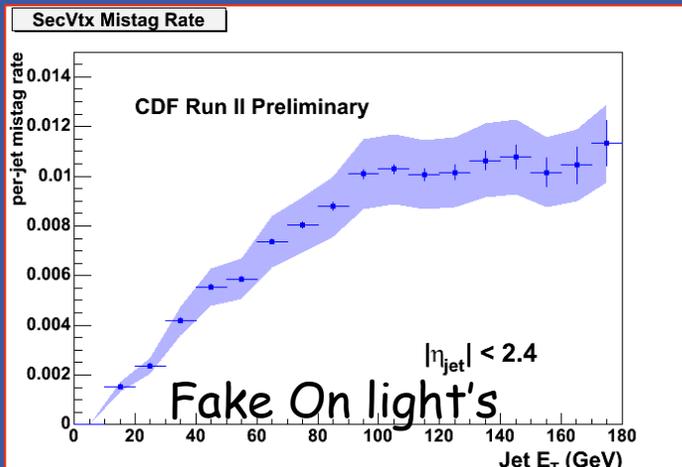
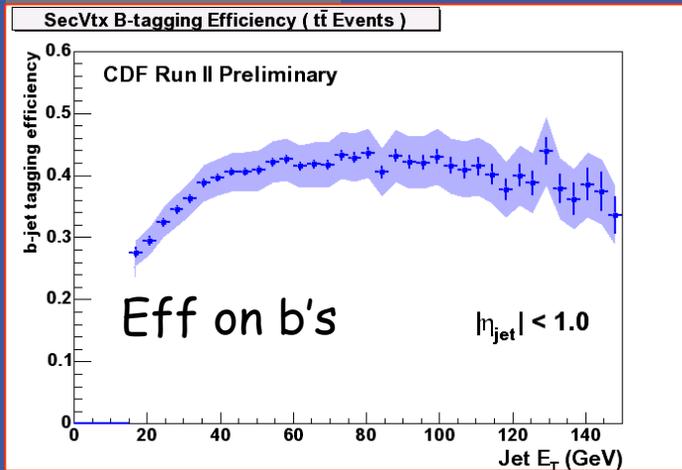


# Final Cuts I



## B-Tagging

Both apply a secondary Vertex Reconstruction Algorithm ( $L_{xy}$ )



→ Charm Tagging Rates ~ 30% of b

Both experiments classify events by single or more than one tag

CDF Recently released improved version of tagging (15%), but it isn't used in blessed single top results.  $D\bar{0}$ 's is a comparable to what is shown here.



# Final Cuts II

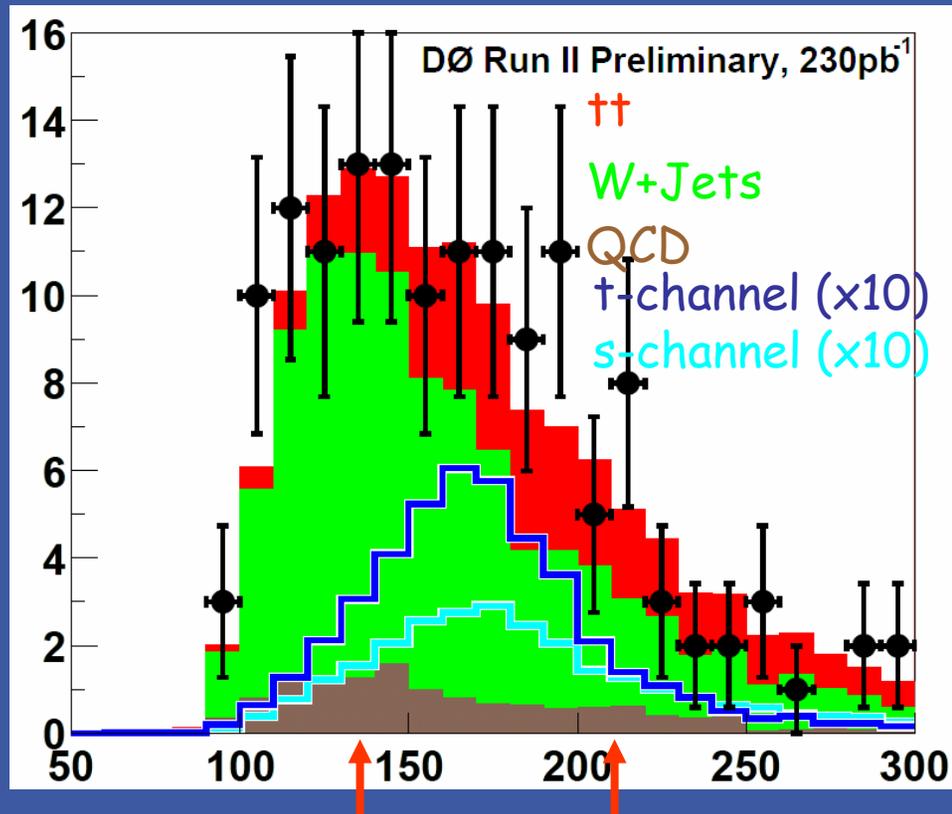


## Top Mass Cut

$M_{lbn}$  - Lepton, b-tagged jet, neutrino (Missing E)

CDF:  $140 \text{ GeV} \leq M_{lbn} \leq 210 \text{ GeV}$

DØ: Input to Multivariate Analysis





# Final Sample Statistics

## Luminosity

DØ: 230 pb<sup>-1</sup>

CDF: 162 pb<sup>-1</sup>

## Acceptance(%)

These Are Small Numbers!

CDF: Expects ~2 events on ~34

DØ: Expects ~5 events on ~280

## $S/\sqrt{B}$

	DØ	CDF
s-channel	0.32	0.25
t-channel	0.28	0.48



# Straight Cut Analysis



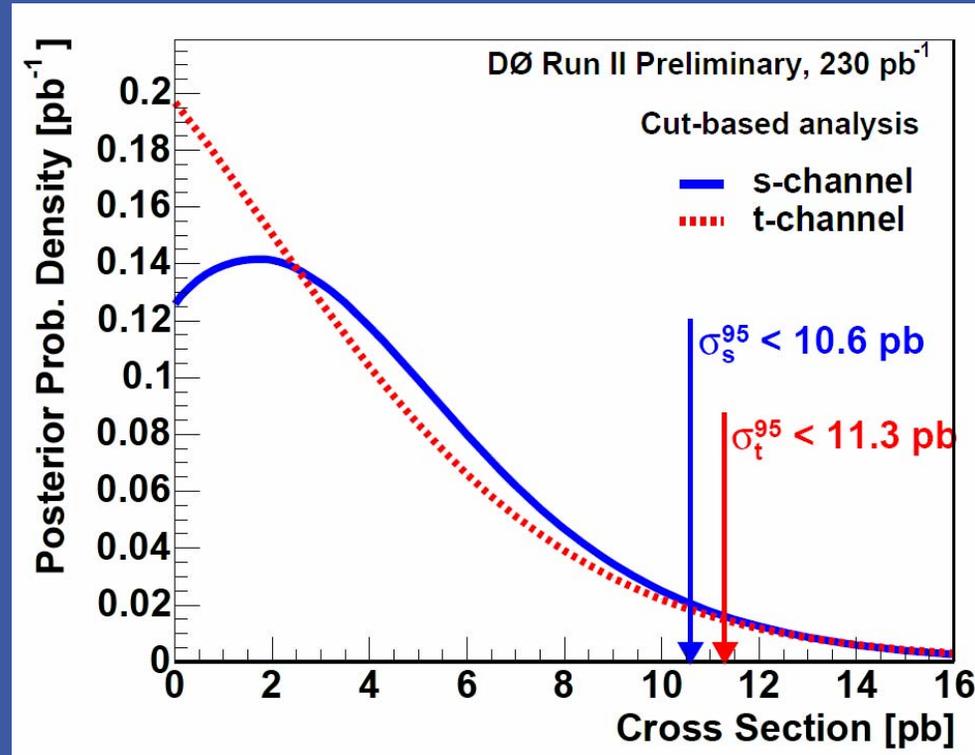
How Well Can We Do With a Straight Cut Analysis?

Selected Variables:

Object  $E_T/p_T$  - Jet #1

$H_T$  - like (various sums of the object's  $E_T$ 's)

Invariant Mass of combinations of masses (like  $M_{lbv}$ )



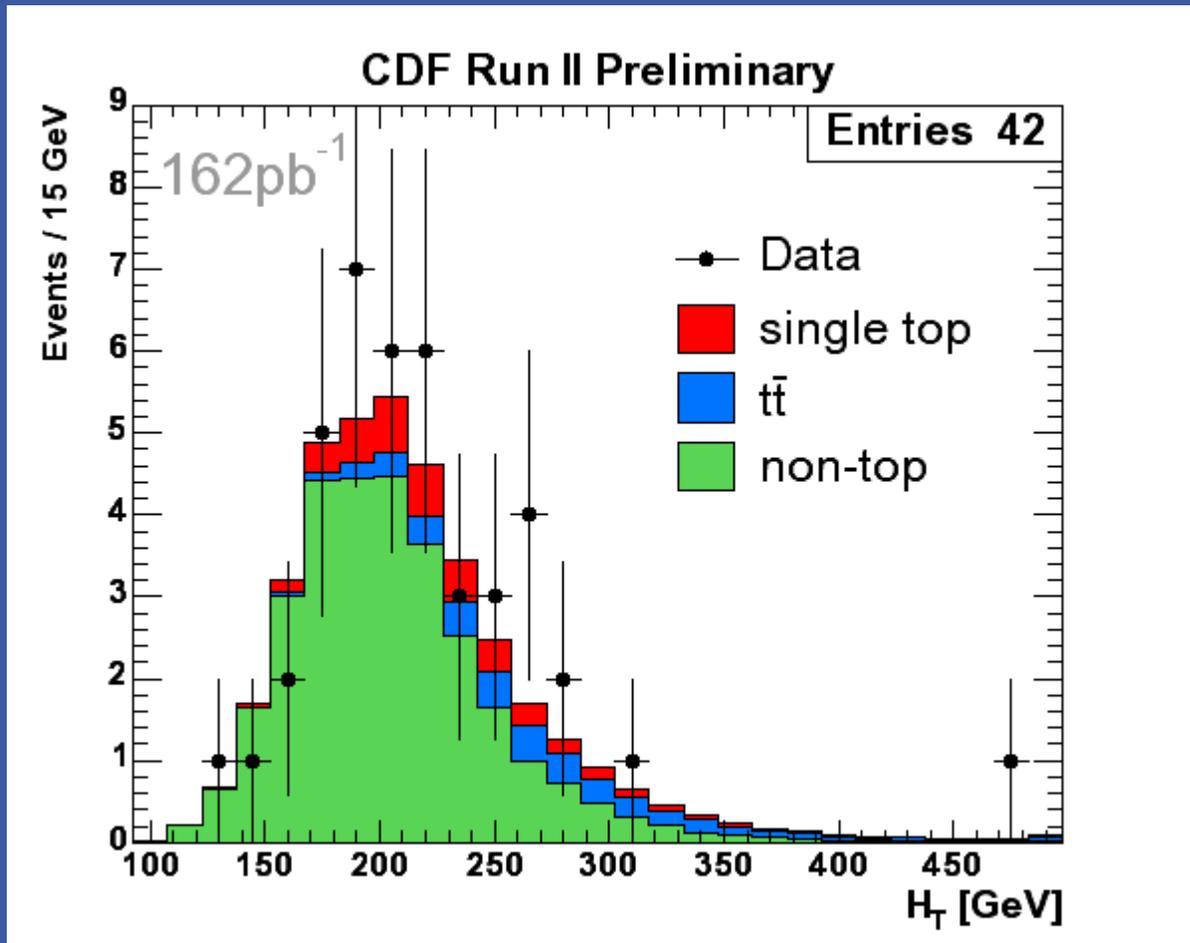


# Details of Cuts Based

	<i>s</i> -channel	<i>t</i> -channel
<i>tb</i>	$4.5 \pm 1.0$	$3.2 \pm 0.8$
<i>tqb</i>	$5.5 \pm 1.2$	$7.0 \pm 1.6$
$t\bar{t}$	$27.6 \pm 7.6$	$55.9 \pm 12.3$
<i>W</i> +jets	$102.9 \pm 13.7$	$72.6 \pm 9.7$
Mis-ID'd lepton	$17.2 \pm 2.0$	$17.0 \pm 2.0$
Background sum	$153.1 \pm 24.5$	$148.7 \pm 24.8$
Observed events	152	148

Channel	<i>s</i> -channel		<i>t</i> -channel	
	Variables	Cuts	Variables	Cuts
<b>Electron</b>				
=1 Tag	$p_T(\text{jet1}_{\text{tagged}})$	$> 27 \text{ GeV}$	$H_T(\text{alljets})$	$> 71 \text{ GeV}$
	$M(\text{alljets} - \text{jet1}_{\text{tagged}})$	$< 70 \text{ GeV}$	$M(\text{alljets})$	$> 57 \text{ GeV}$
	$\sqrt{\hat{s}}$	$> 196 \text{ GeV}$	$\sqrt{\hat{s}}$	$> 203 \text{ GeV}$
			$ 175 - M(\text{top}_{\text{tagged}}) $	$< 57 \text{ GeV}$
			$p_T(\text{jet1}_{\text{tagged}})$	$> 21 \text{ GeV}$
$\geq 2$ Tags	$p_T(\text{jet1}_{\text{tagged}})$	$> 42 \text{ GeV}$	$p_T(\text{jet1}_{\text{tagged}})$	$> 34 \text{ GeV}$
	$M(\text{alljets} - \text{jet1}_{\text{tagged}})$	$< 98 \text{ GeV}$	$M(\text{alljets} - \text{jet1}_{\text{tagged}})$	$< 75 \text{ GeV}$
	$H(\text{alljets} - \text{jet}_{\text{best}})$	$< 304 \text{ GeV}$	$H(\text{alljets} - \text{jet1}_{\text{tagged}})$	$< 504 \text{ GeV}$
	$H(\text{alljets} - \text{jet1}_{\text{tagged}})$	$< 304 \text{ GeV}$	$H(\text{alljets} - \text{jet}_{\text{best}})$	$< 504 \text{ GeV}$
<b>Muon</b>				
=1 Tag	$p_T(\text{jet1}_{\text{tagged}})$	$> 33 \text{ GeV}$	$ 175 - M(\text{top}_{\text{tagged}}) $	$< 60 \text{ GeV}$
	$M(\text{alljets} - \text{jet1}_{\text{tagged}})$	$< 74 \text{ GeV}$	$\sqrt{\hat{s}}$	$> 210 \text{ GeV}$
	$H(\text{alljets} - \text{jet}_{\text{best}})$	$< 504 \text{ GeV}$	$M(\text{alljets})$	$> 70 \text{ GeV}$
	$H(\text{alljets} - \text{jet1}_{\text{tagged}})$	$< 504 \text{ GeV}$	$H_T(\text{alljets})$	$> 58 \text{ GeV}$
$\geq 2$ Tags	$p_T(\text{jet1}_{\text{tagged}})$	$> 33 \text{ GeV}$	$ 175 - M(\text{top}_{\text{tagged}}) $	$< 213 \text{ GeV}$
	$M(\text{alljets} - \text{jet1}_{\text{tagged}})$	$< 74 \text{ GeV}$		
	$H(\text{alljets} - \text{jet}_{\text{best}})$	$< 504 \text{ GeV}$		
	$H(\text{alljets} - \text{jet1}_{\text{tagged}})$	$< 504 \text{ GeV}$		

# Relative Sizes Of Backgrounds



(Sum Of Jets In Event)

W+Jets Is Largest Background!

One of hardest to get right!

Single b-tag required for this plot.

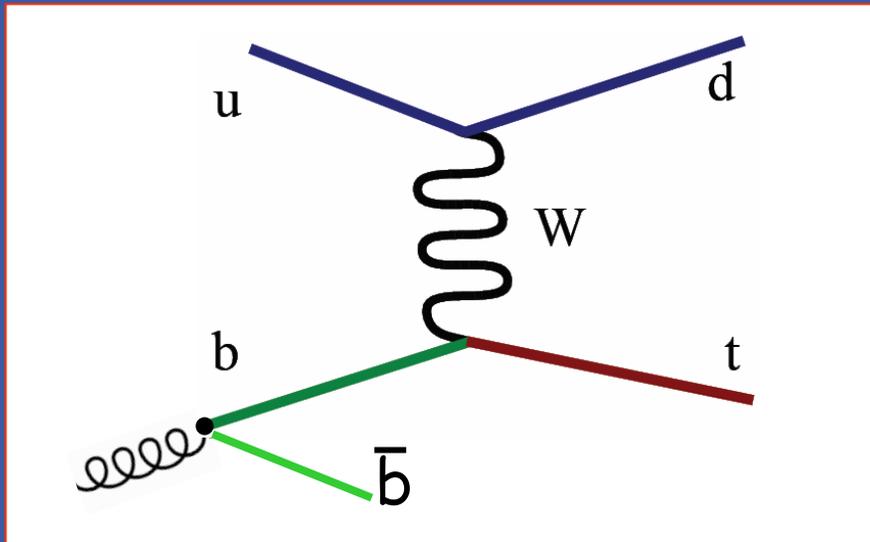
Need to take advantage of other topologies to improve limit!



# Getting Clever



t-channel



Charge of up quark determines charge of W lepton (p vs  $\bar{p}$ )  
Recoil against gluon/quark makes for asymmetry

➔ Look at d-quark Jet Rapidity normalized by Charge!



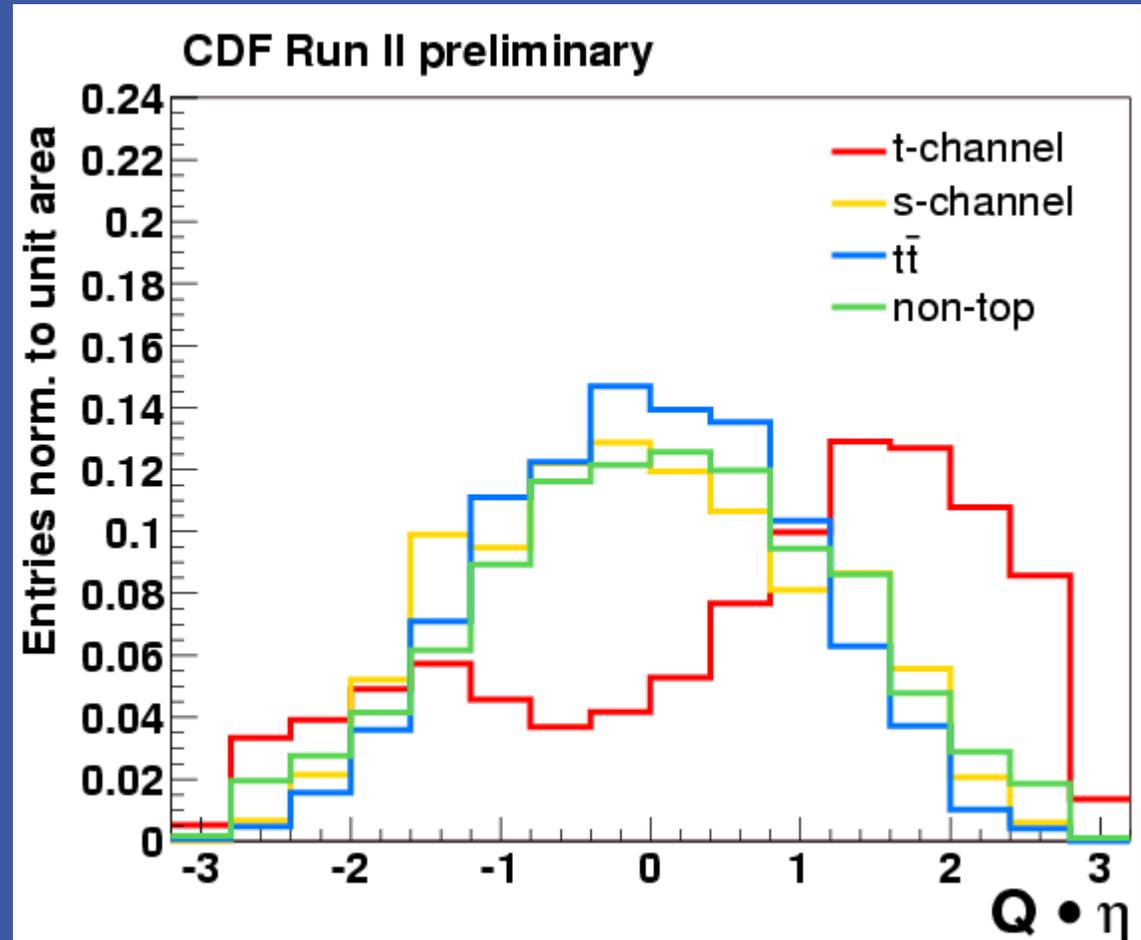
$Q \times \eta$



## Monte Carlo Templates

CDF Uses these distributions as input to the final limit calculation for separate s,t - channel limits

Use  $H_T$  for a combined s+t channel limit





# Other Variables

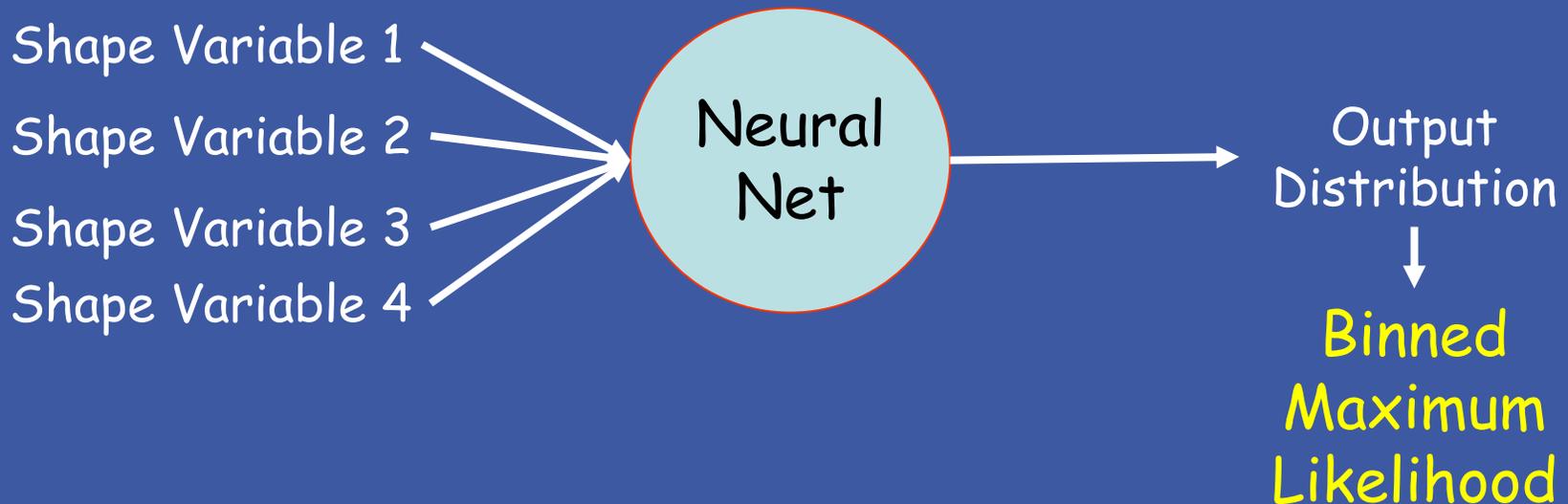
DØ S/B is worse by design than CDF's

Basic Selection Cuts: Let in as much signal as possible

→ Use a Multivariate analysis to separate the signal from background

A multi-dimensional maximum likelihood possible...

**Statistics are prohibitive!**



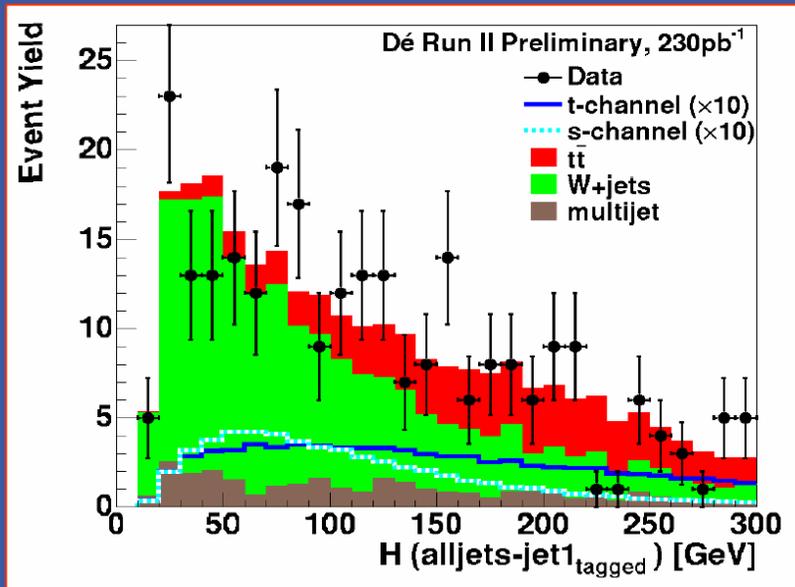
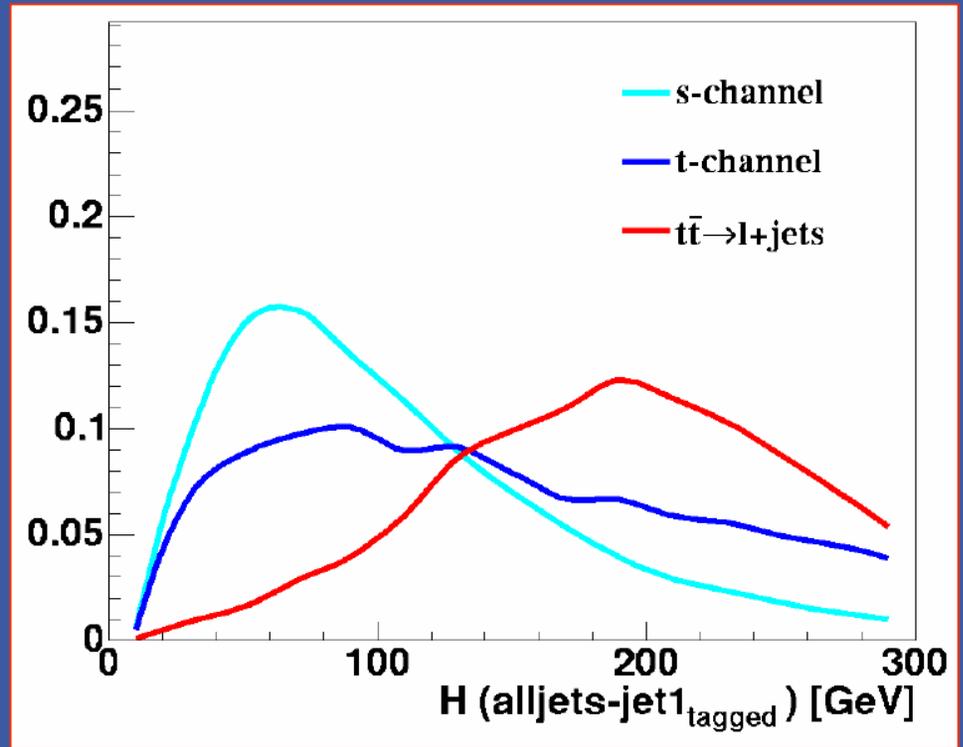


# Input Variables I

## Event Energy, Object Properties

$p_{T, \text{jet}1}, H, H_T \dots$

MC Shapes



Cross Check Background Model





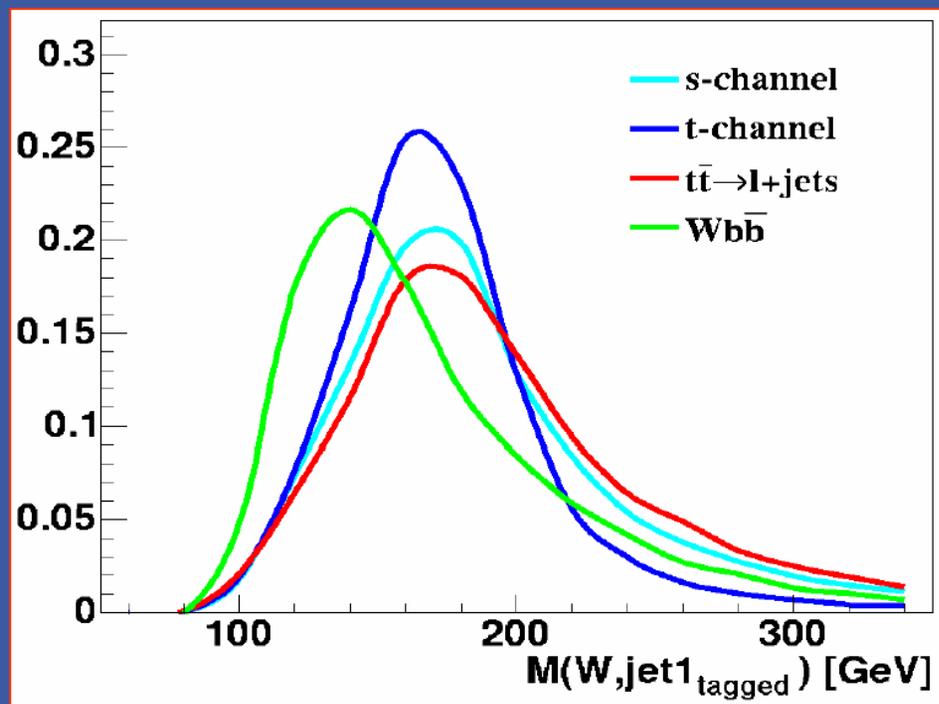
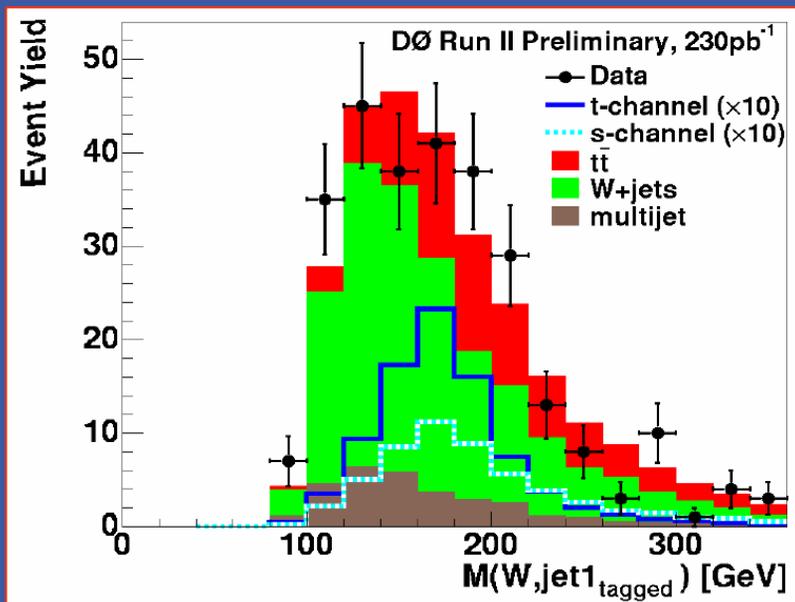
# Input Variables II



## Object Combinations

$M_{\text{top}}, M_{\text{all jets}}$

MC Shapes



Cross Check Background Model





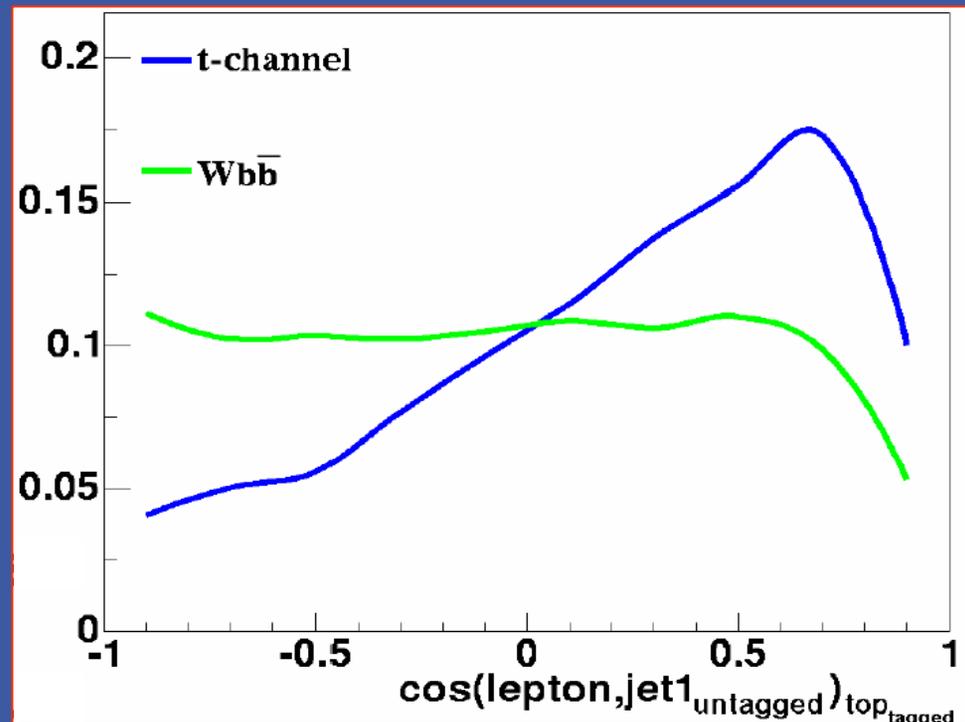
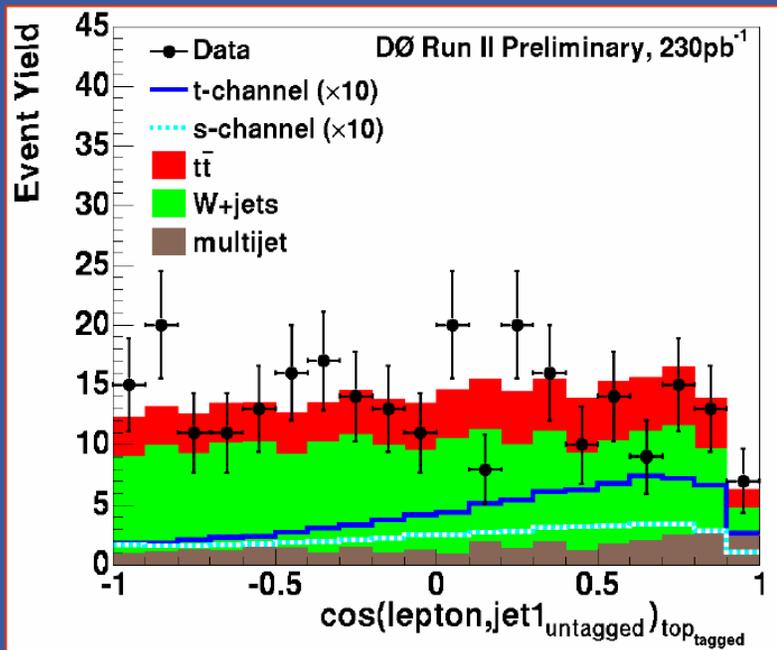
# Input Variables III



## Angular Variables

$\cos(\text{lepton}, \text{jet1}_{\text{untagged}})_{\text{top}}$

MC Shapes



Cross Check Background Model



# Input Variables

## Event Energy

$p_{Ttag}$ ,  $p_{Tuntag}$ ,  $p_{Ttopjet}$ ,  $p_{Tjet1,jet2}$ ,  $H$  (all jets but tagged),  $H_T$  (jets),  $H_T$  (jets-tagged),  $H$  (jets-top quark jet),  $H_T$  (jets-top quark jet)

## Object Combinations

$M$  (jets),  $p_T$  (all jets - tagged),  $M_{top}$  (tagged jet),  $s$ ,  $M$  (jets-tagged),  $M_T$  (jets 1&2),  $p_T$  (jets 1&2),  $M$  (jets-top quark jet)  $M_{top}$  (best jet)

## Angular Variables

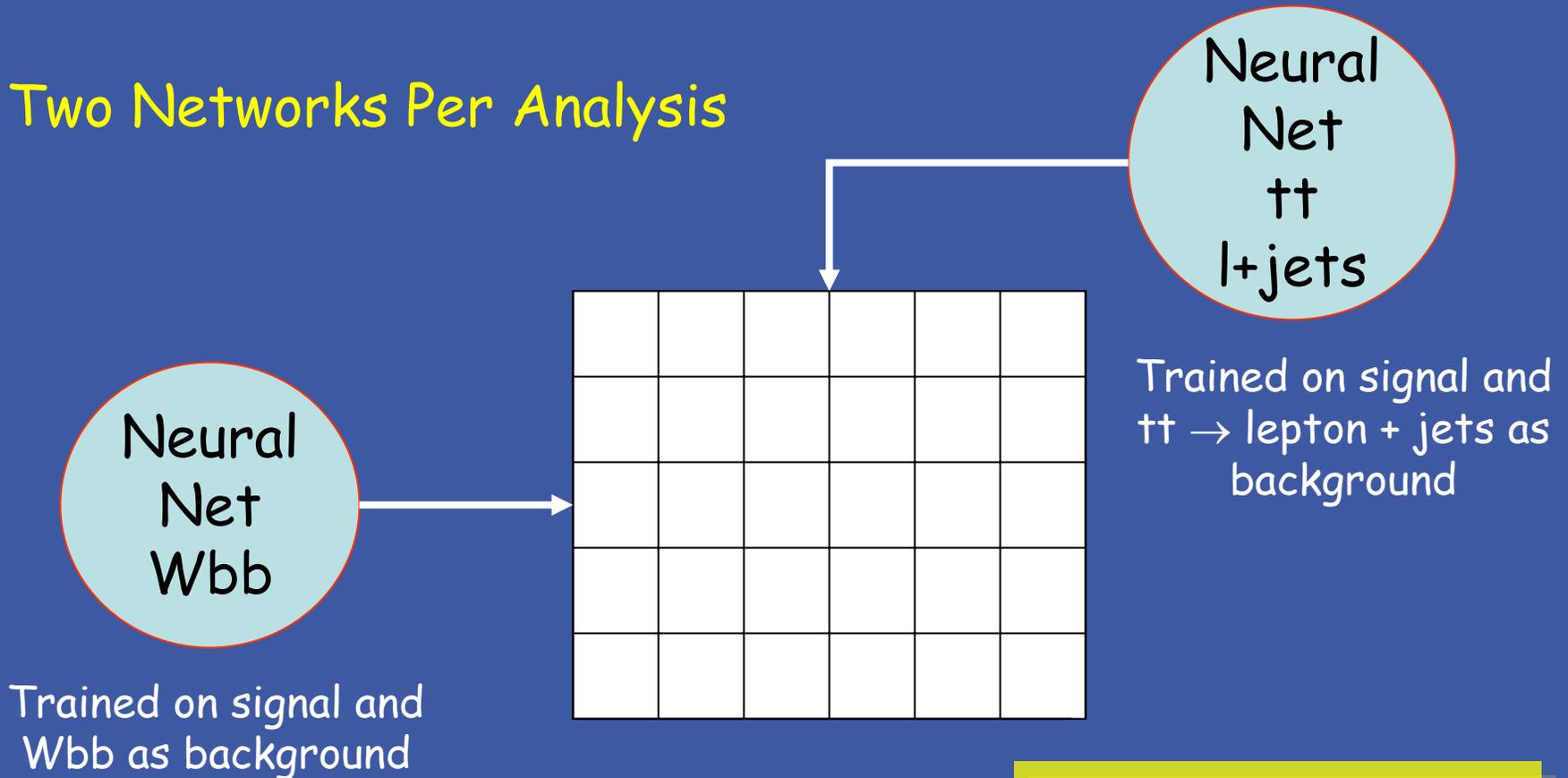
$\Delta R$  (jets 1&2),  $\eta$  (untagged)  $\times$   $Q$  (lep),  $\cos$  (lepton, untagged)<sub>top rest</sub>,  $\cos$  (jets, jet1 tagged)<sub>all jets rest</sub>,  $\cos$  (lepton,  $Q$  (lepton)  $\times z$ )<sub>best top rest</sub>,  $\cos$  (jets, jet1 not best)<sub>all jets rest</sub>

Both s&t, t-only, s-only



# Neural Net Design

## Two Networks Per Analysis



We also use Decision Trees in place of NN

2d Histogram used in binned likelihood fit

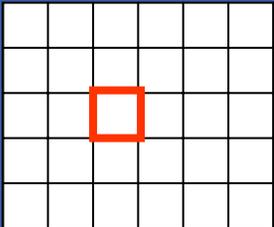
# Systematic Uncertainties



Fitting Shape Variables Requires Special Handling of Systematic Errors

 1 bin

Calculate the Systematic Errors For That 1 Bin  
Jet Energy Scale, Trigger, BTagging, etc.



Repeat for All Bins

Shape Fluctuations Will Be Properly Accounted For



# Systematics



DØ

CDF

Monte Carlo Systematic Uncertainties	
Components affecting normalization	
$\sigma_{t\bar{t}}$ theory and mass	18 %
$\sigma_{s(t)}$ theory	15 % (16 %)
Jet Fragmentation	6.0 %
$\ell$ ID	4.1 %
Branching Fraction	2.0 %
Components affecting shape and normalization	
SVT modeling, single (double) tag	10 % (20 %)
Jet Energy Scale	10 %
Trigger Modeling	6 %
Jet ID	5 %
Jet Energy Resolution	4 %

Some will improve with increased Luminosity

B-ID: 7%

Luminosity: 6%

Top Quark Mass: 4%

JES: 4%

TABLE III. Fractional changes in  $\epsilon_{\text{evt}}$  of single-top processes in %.  $\epsilon_{\text{trig}}$  is the trigger efficiency,  $\epsilon_{\text{ID}}$  the lepton identification efficiency.

$i$	Source	$t$ -channel	$s$ -channel	Combined
1	JES	+2.4 -6.7	+0.4 -3.1	+0.1 -4.3
2	ISR	$\pm 1.0$	$\pm 0.6$	$\pm 1.0$
3	FSR	$\pm 2.2$	$\pm 5.3$	$\pm 2.6$
4	PDF	$\pm 4.4$	$\pm 2.5$	$\pm 3.8$
5	Generator	$\pm 5$	$\pm 2$	$\pm 3$
6	Top quark mass	+0.7 -6.9	-2.3	-4.4
7	$\epsilon_{\text{trig}}, \epsilon_{\text{ID}}, \text{luminosity}$	$\pm 9.8$	$\pm 9.8$	$\pm 9.8$



# Final Results



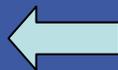
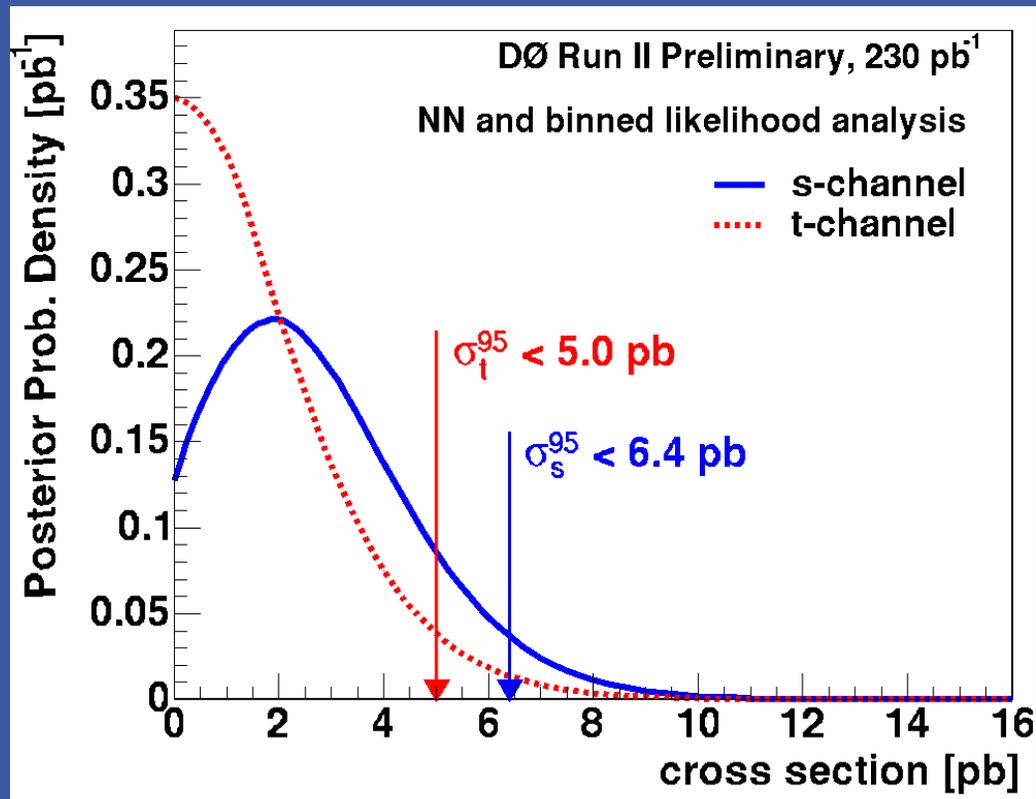
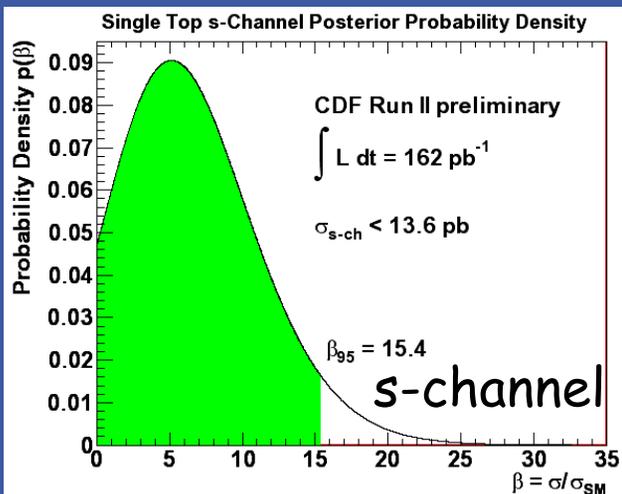
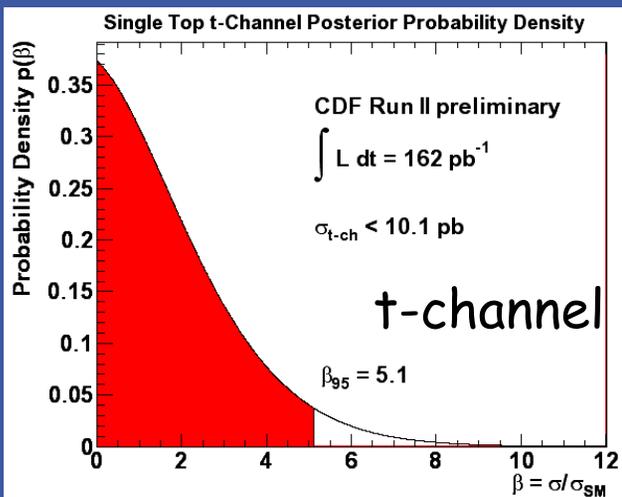
95% C.L. limits Observed (Expected)

Channel	CDF (pb)	DØ (pb)	SM
s+t	<17.8 (13.6)		2.86pb
t	<10.1 (11.2)	<5.8 (5.0)	1.98pb
s	<13.6 (12.1)	<6.4 (4.5)	0.88pb

Luminosity 162 pb<sup>-1</sup>      230 pb<sup>-1</sup>

(recall Straight Cut Limit was s:10.6, t: 11.3)

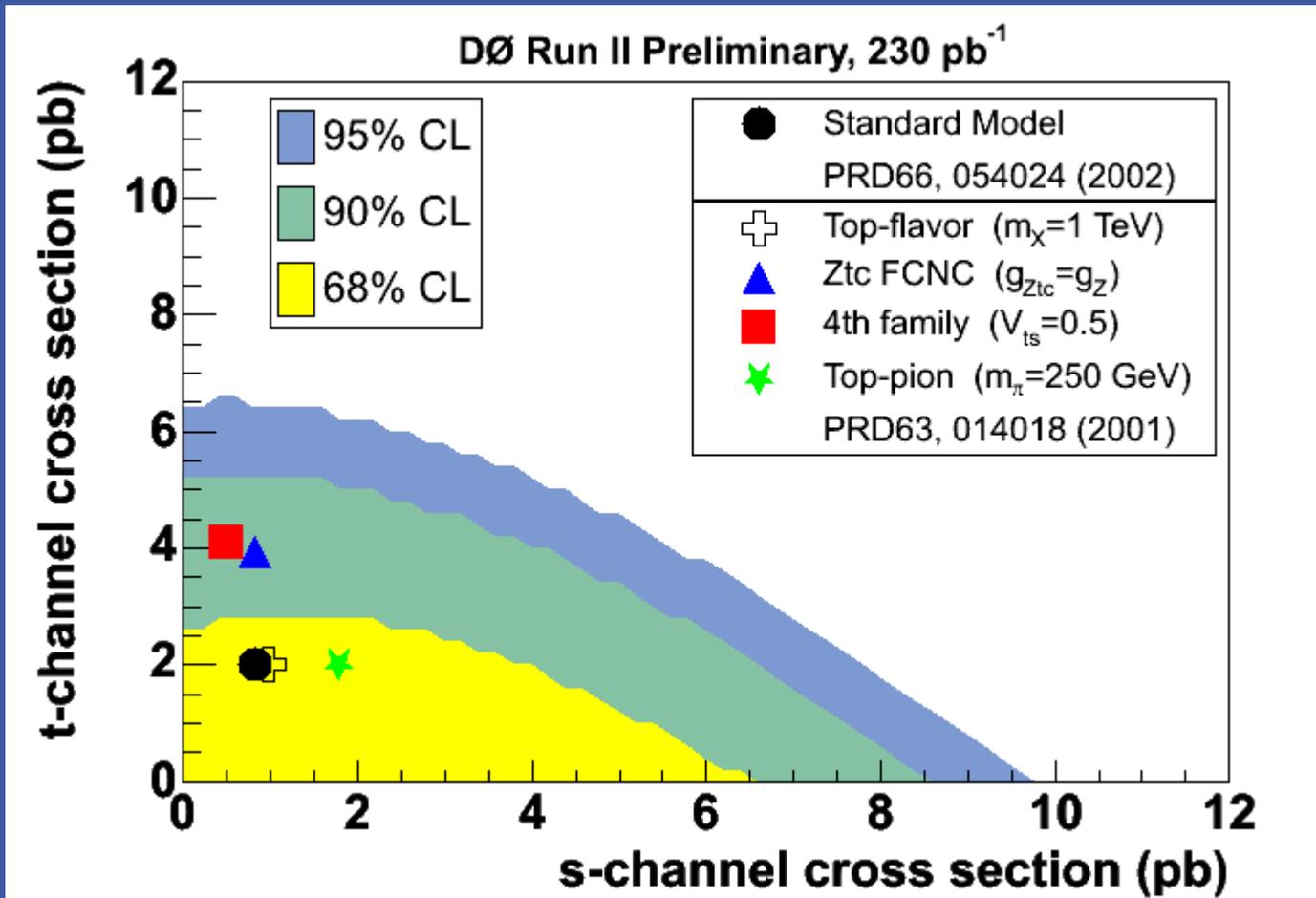
# Probability Density



# Beyond The Standard Model



$\mu$   
data  
only



$e$  data only



# Run II Integrated Luminosity

19 April 2002 - 3 April 2005

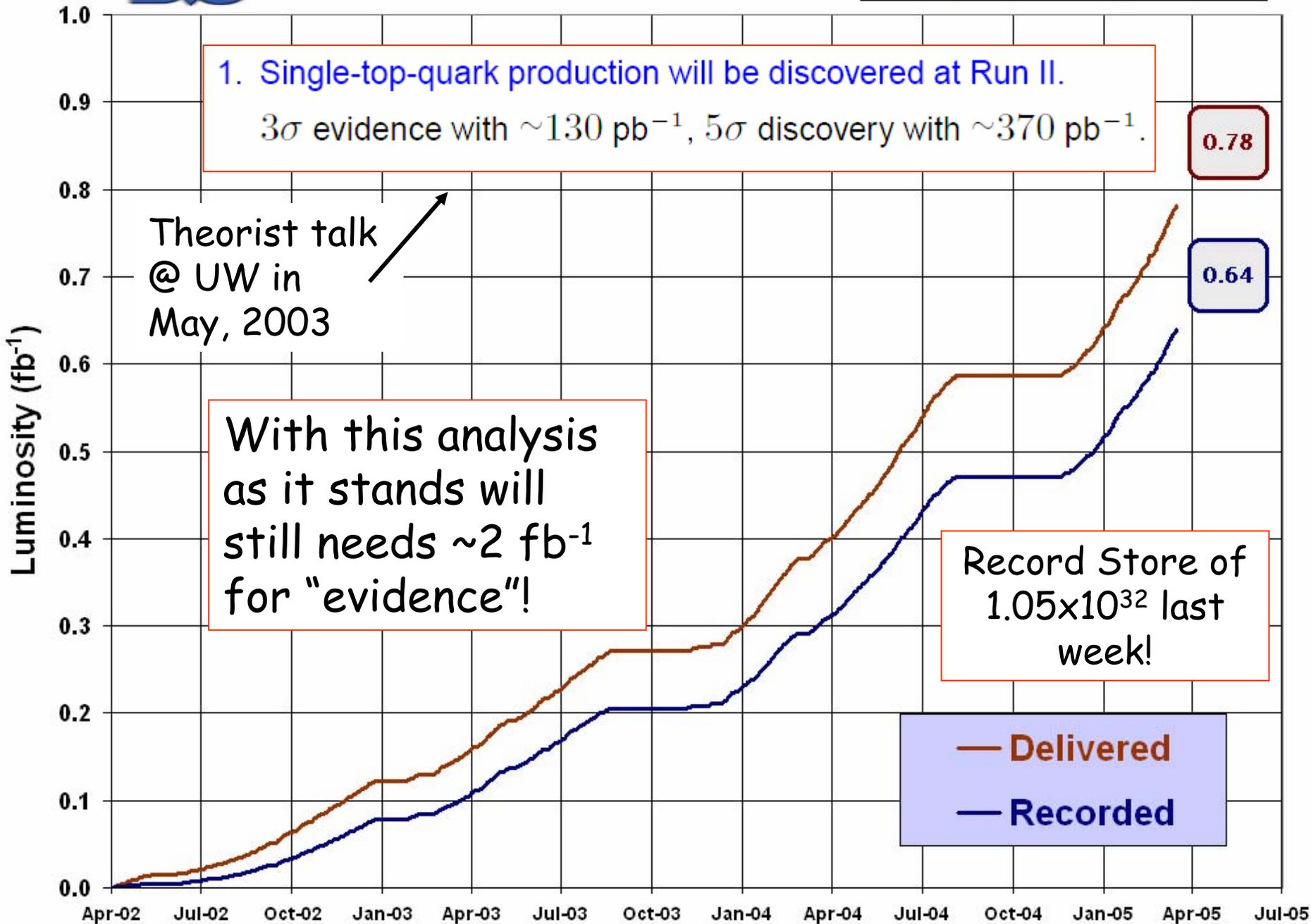
1. Single-top-quark production will be discovered at Run II.  
 $3\sigma$  evidence with  $\sim 130 \text{ pb}^{-1}$ ,  $5\sigma$  discovery with  $\sim 370 \text{ pb}^{-1}$ .

Theorist talk  
@ UW in  
May, 2003

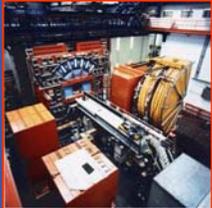
With this analysis  
as it stands will  
still need  $\sim 2 \text{ fb}^{-1}$   
for "evidence"!

Record Store of  
 $1.05 \times 10^{32}$  last  
week!

— Delivered  
— Recorded



# Near Future



Upgrade to modern b-quark tagging  
Explore multivariate methods  
Increased Dataset!



Aggressive Program to Increase Acceptance  
B-tagging improvements  
Neural Network & Other Technique (DTs) Improvements

CDF: PRD is published  
DØ: Paper in preparation

☺ Last paper published before evidence! ☺

(I suspect)



# Monte Carlo Understanding

No Particular  
W+Jets  
Background Type  
is dominate!

Production  
Mechanisms  
affect shapes

How well do we know  
Charm Tag Rate  
Production Fractions?

$W_{jj}$ Channel	$b$ -jet	$c$ -jet	non- $b/c$ -jet	Total
$W_{qq}$	2%	1%	6%	9%
$W_{qg}$	11%	8%	14%	33%
$W_{gg}$	7%	5%	5%	17%
$W_{cq}$	0%	14%	1%	15%
$W_{cg}$	1%	10%	0%	11%
$W_{c\bar{c}}$	0%	5%	0%	5%
$W_{b\bar{b}}$	10%	0%	0%	10%
Total	31%	43%	26%	100%

From hep-ph/041223  
(Bowen, Ellis, Strassler)



# Single Top at TeV4LHC

## Start of Workshop

Single Top Theory - Qing-Hong Cao

Single Top Experiment - R. Schwienhorst

## Working Group Meeting

Single top: Simulations & Strategies; Zack Sullivan

Single top in MCFM; Keith Ellis

Effective NLO generator SingleTop from CompHEP;  
Edward Boos

Electroweak & Single Top Plans (Discussion)

## BNL

Single Top Production: Ellis

See TeV4LHC &  
Web...



# Conclusions

- Both Experiments Have Recent Limits
  - CDF's Published
  - DØ's Soon to be submitted
- Straight Event Counting does not suffice
  - Depend more heavily on modeling than ever before
- Both Experiments still have plenty of room for improvements

95% C.L. limits Observed (Expected)

Channel	CDF (pb)	DØ (pb)
<b>s+t</b>	<b>&lt;17.8 (13.6)</b>	
<b>t</b>	<b>&lt;10.1 (11.2)</b>	<b>&lt;5.8 (5.0)</b>
<b>s</b>	<b>&lt;13.6 (12.1)</b>	<b>&lt;6.4 (4.5)</b>

162 pb<sup>-1</sup>

230 pb<sup>-1</sup>

- Theory Input Will Help
  - W+Jets Background Sys
- Thanks to all who helped with this talk!