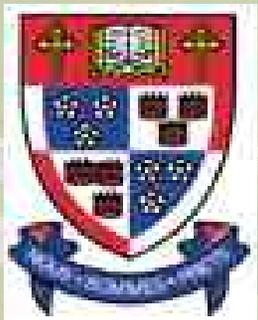


Search for Single Top Quark Production at DØ in Run II

Reinhard Schwienhorst



Experimental Subatomic Physics Seminar, 11/22/2004

Outline

- Introduction
- The Top Quark
- Top Quark Electroweak Interactions
- Single Top Production and Decay at NLO in QCD
- The DØ Experiment at the Tevatron
- Search for Single Top at DØ
- Questions
- Conclusions/Outlook



Introduction

- The top quark presents a unique laboratory to study Physics at the edge of the Standard Model
 - Origin of mass: top quark Yukawa coupling ~ 1
- Run II at the Tevatron is well on its way
 - Physics at the energy frontier
 - Analyzed data samples exceed Run I luminosity
- We are exploring uncharted territory
 - Top Physics as precision measurements
 - Top mass measurements have large impact on Higgs expectation
 - Look for new Physics beyond the Standard Model
 - And within the Standard Model
 - Higgs Boson
 - Single Top Production

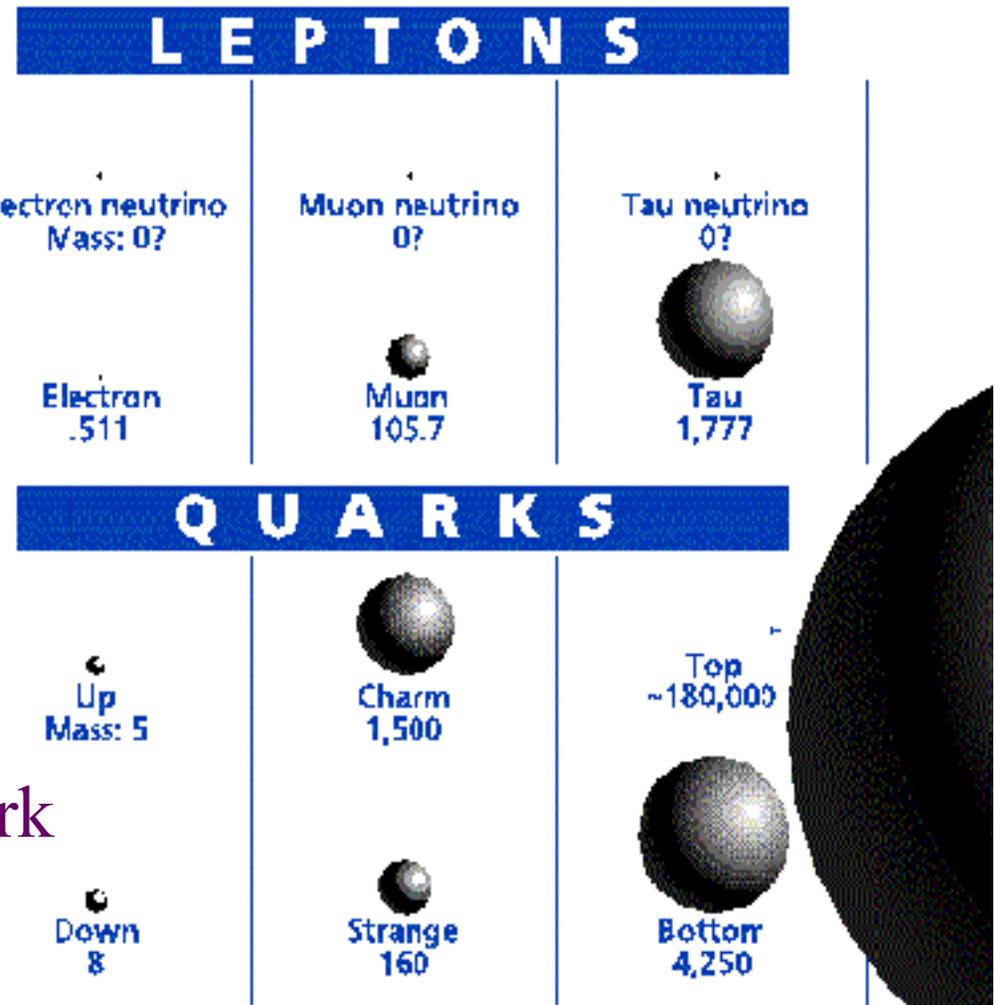


The Top Quark



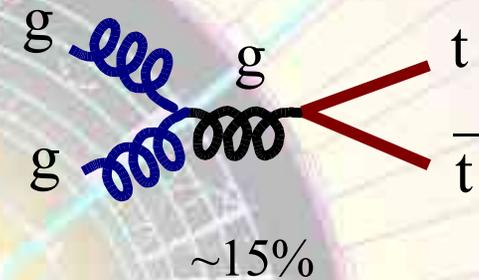
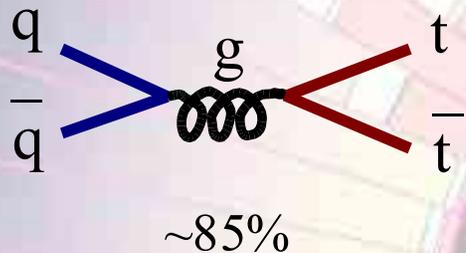
KING OF FERMIONS

- Discovered in 1995 by CDF and DØ at the Tevatron
- Heaviest of all fermions
 - 40 times heavier than b quark
- Couples strongly to Higgs boson
 - Study electroweak symmetry breaking
- Only quark that decays before it hadronized
 - Clean laboratory to study quark properties

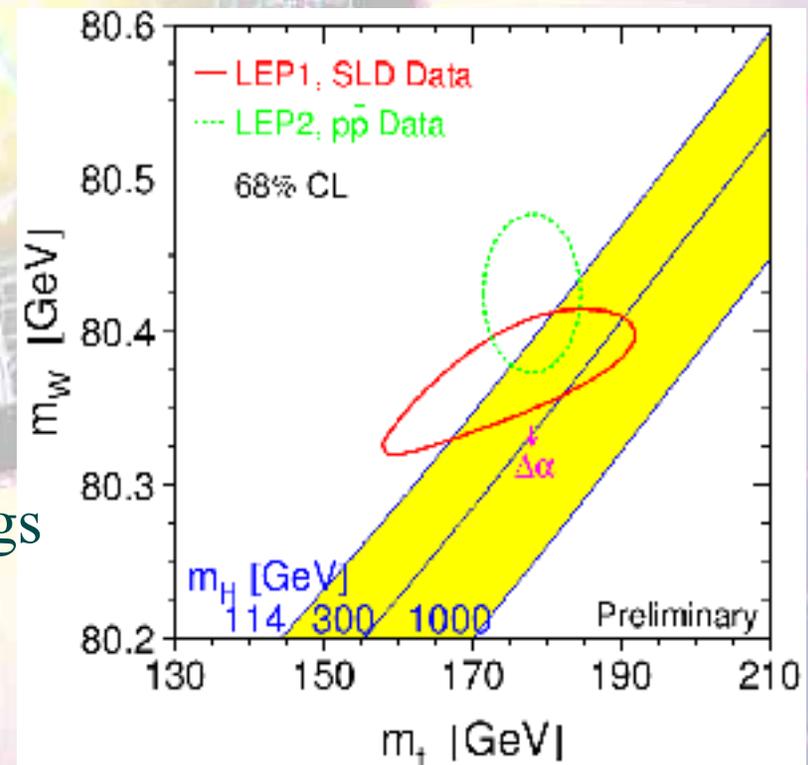


Standard Tevatron Top Physics

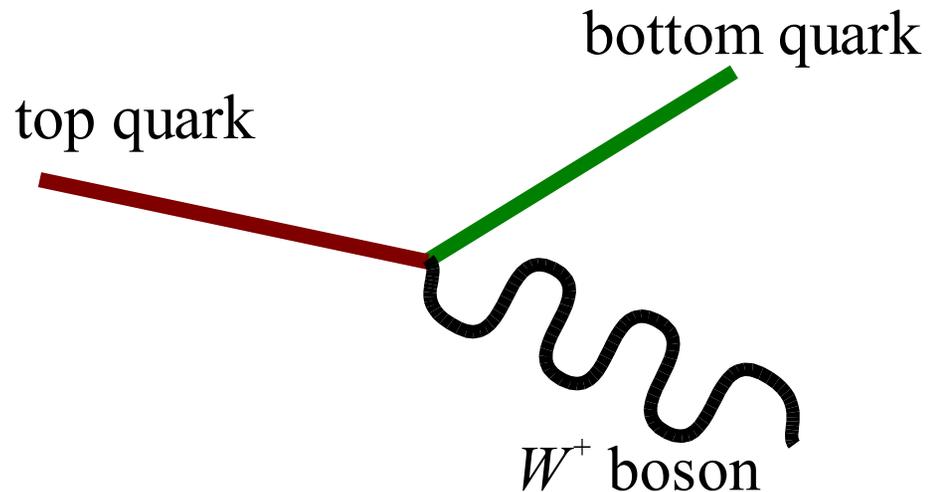
- Top Pair Production at a Proton-Antiproton collider



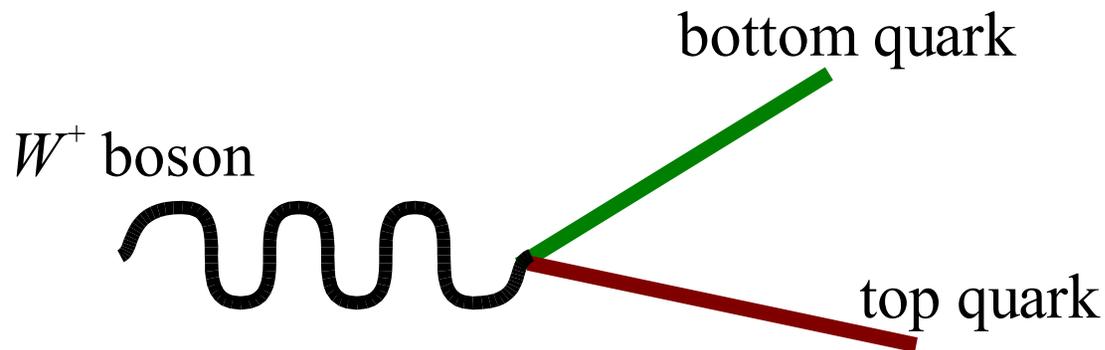
- Top Pair Studies at the Tevatron
 - Production cross section
 - Many different final states
 - Test of QCD
 - Top mass measurements
 - Implications for Standard Model Higgs
 - W helicity measurement



Top Quark Electroweak Interaction



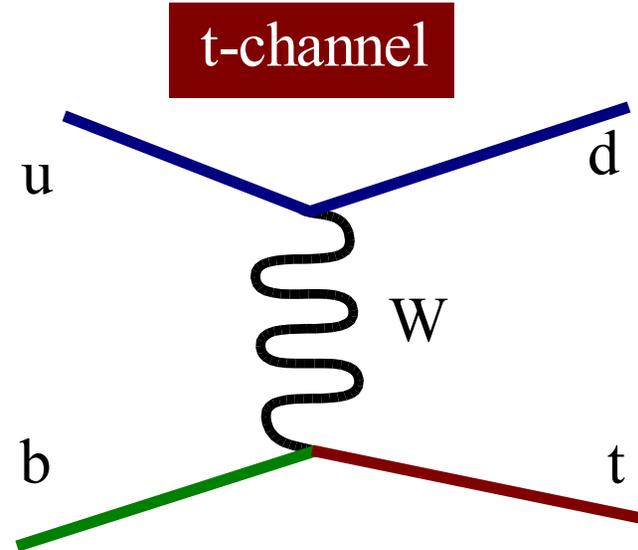
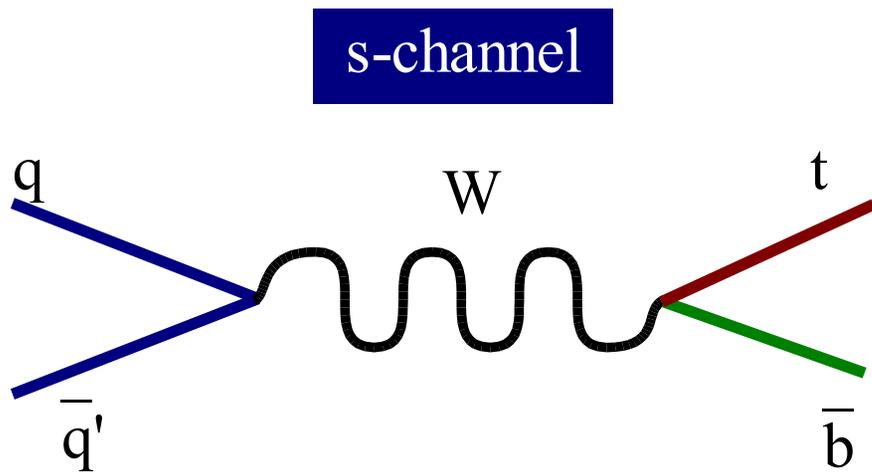
- Dominant mechanism for top quark decay



- Possible top quark production mode: “*Single Top*”

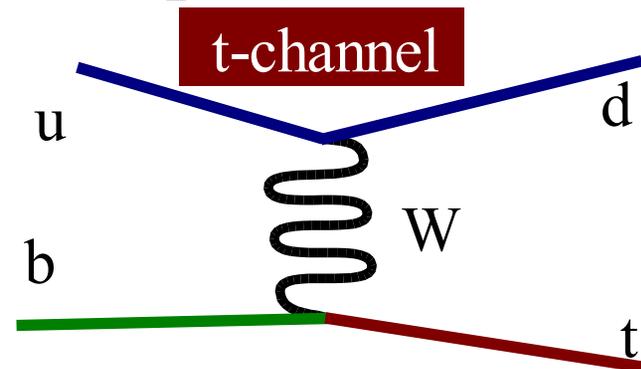
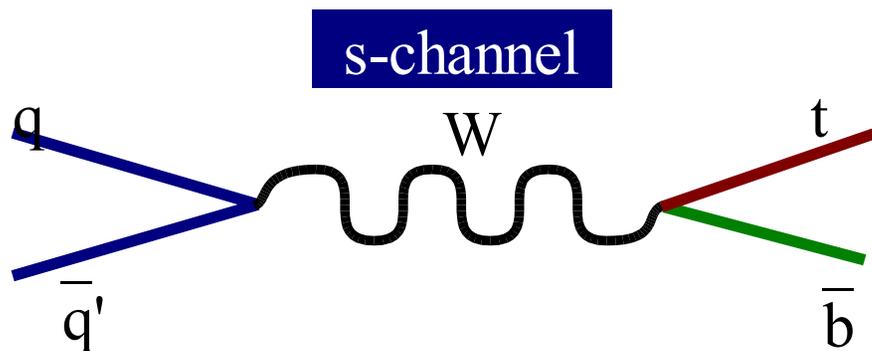
Single Top Physics at the Tevatron

- Electroweak Production of Single Top Quarks



Single Top Physics at the Tevatron

- Electroweak Production of Single Top Quarks

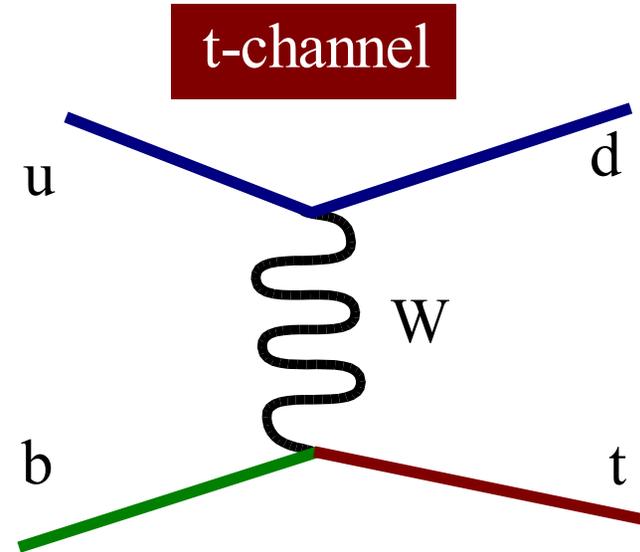
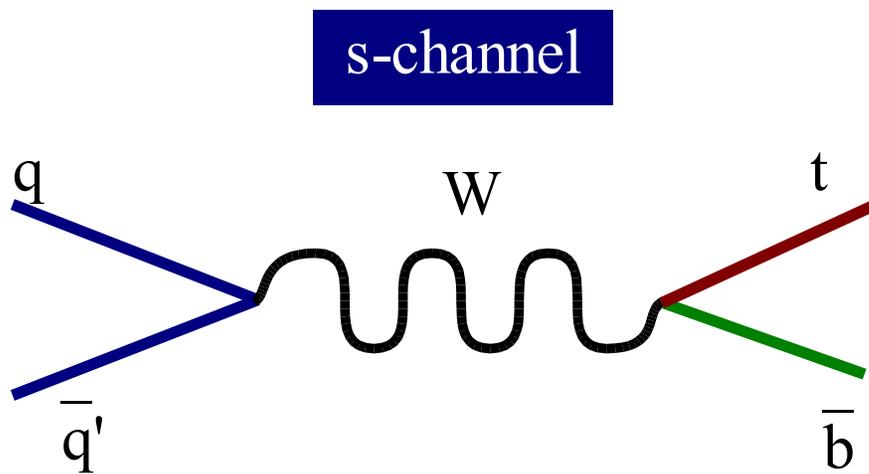


Tevatron Single Top in Run II:

- Observe Single Top Production
- Measure Production cross section



Electroweak Production of Top at the Tevatron



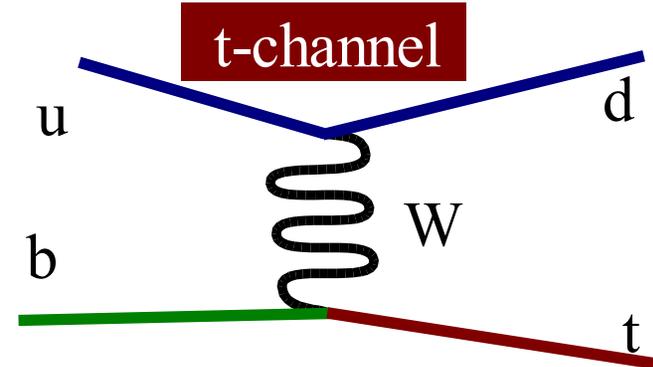
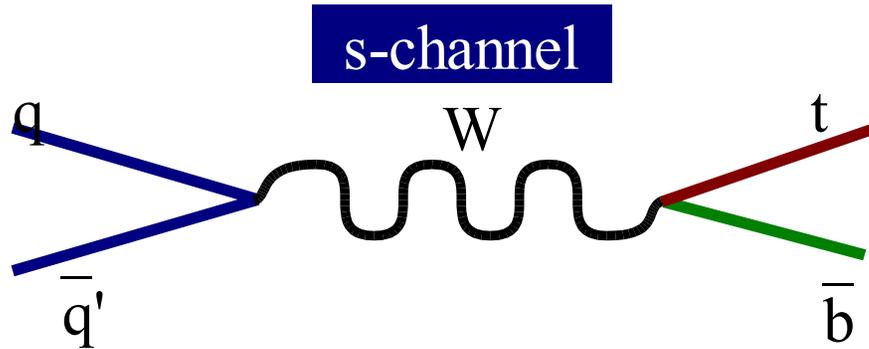
- Production cross section:

	<i>s-channel</i>	<i>t-channel</i>	<i>s+t channel</i>
– NLO cross-sections:	0.88pb ± 8%	1.98pb ± 11%	
– Run I 95% CL limits, DØ:	<17pb	<22pb	
CDF:	<18pb	<13pb	<14pb

- Other Standard Model production modes negligible

Single Top Physics at the Tevatron

- Electroweak Production of Single Top Quarks

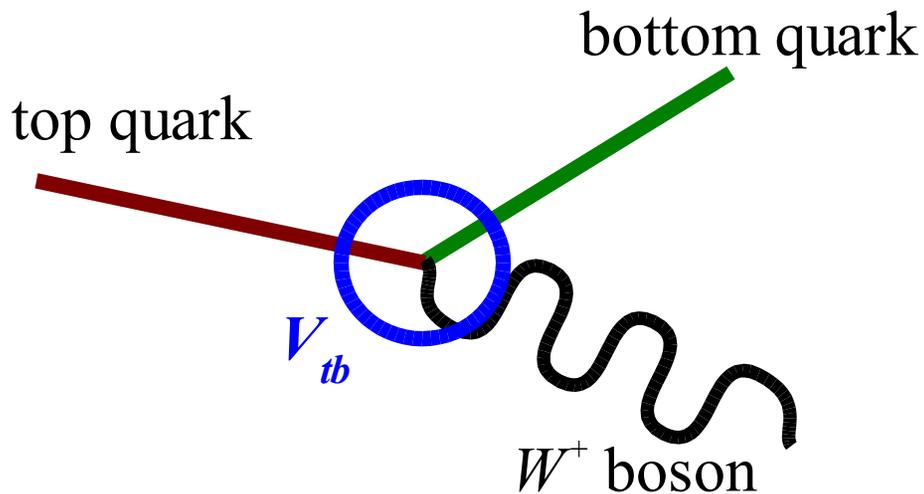


Tevatron Single Top in Run II:

- Observe Single Top Production
- Measure Production cross section
 - Confirm Standard Model Prediction
 - CKM matrix element V_{tb}



Top Quark Electroweak Interaction



$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

- Weak Interaction Eigenstates are not Mass Eigenstates
 - Top quark must decay to a W plus a d , s , or b quark

$$V_{td}^2 + V_{ts}^2 + V_{tb}^2 = 1$$

- In SM, from constraints on V_{td} and V_{ts} : $V_{tb} > 0.999$

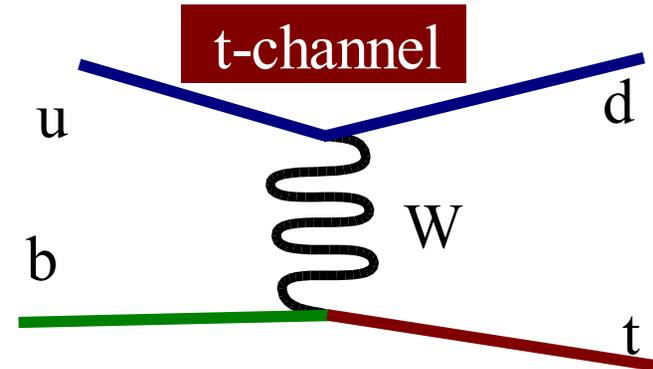
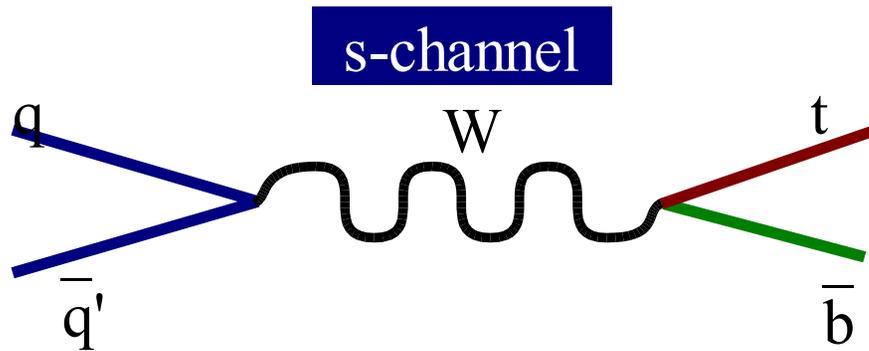
- Or: new Physics that couples to the top quark:

$$V_{td}^2 + V_{ts}^2 + V_{tb}^2 + V_{tx}^2 = 1$$

- No constraint on V_{tb}

Single Top Physics at the Tevatron

- Electroweak Production of Single Top Quarks



Tevatron Single Top in Run II:

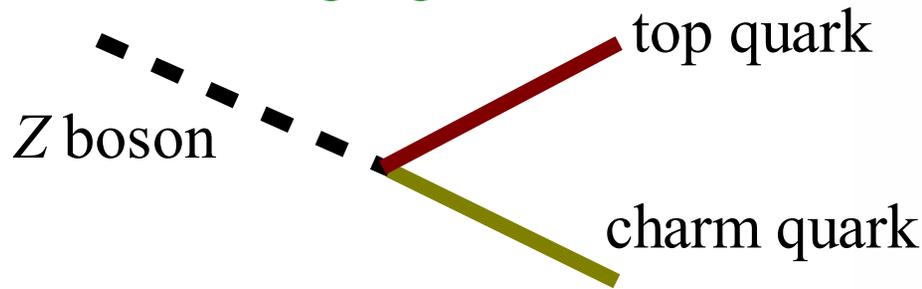
- Observe Single Top Production
- Measure Production cross section
 - Confirm Standard Model Prediction
 - CKM matrix element V_{tb}
- Look for Physics beyond the Standard Model



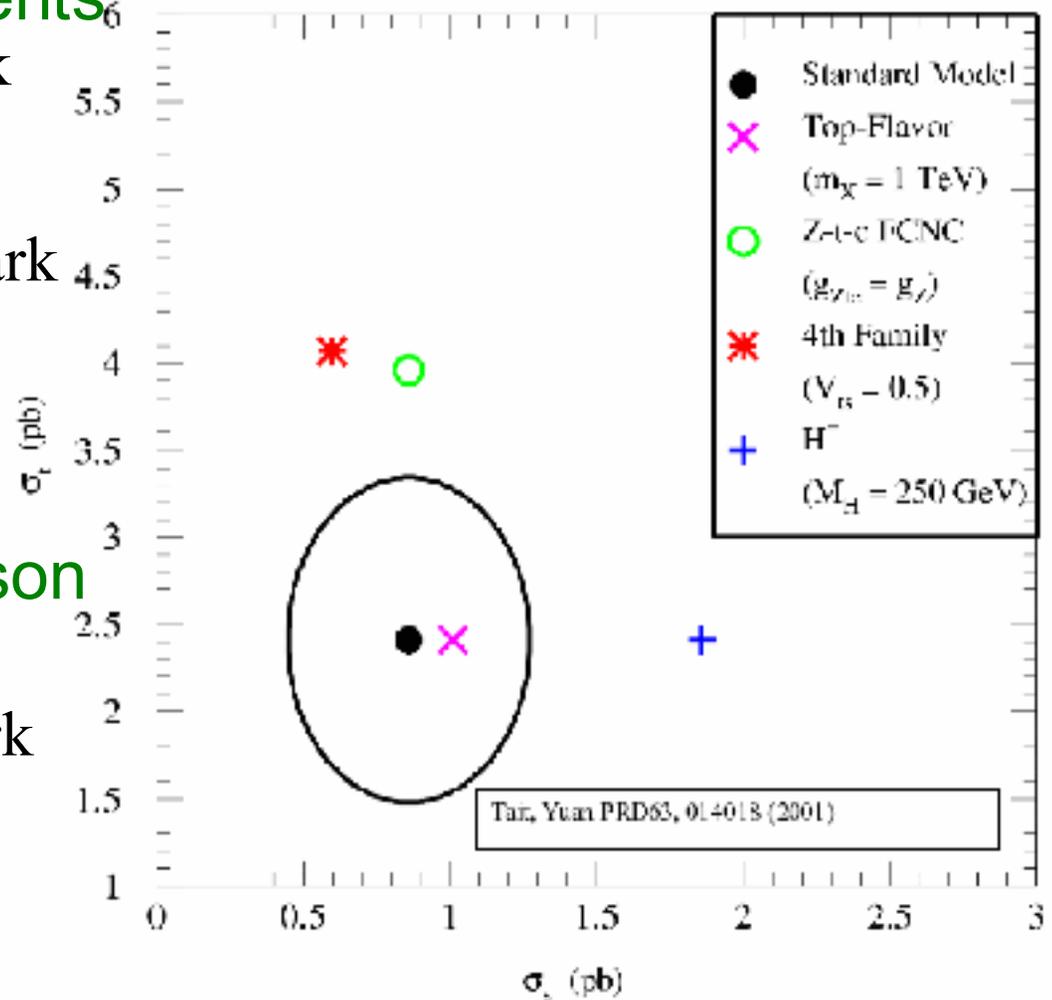
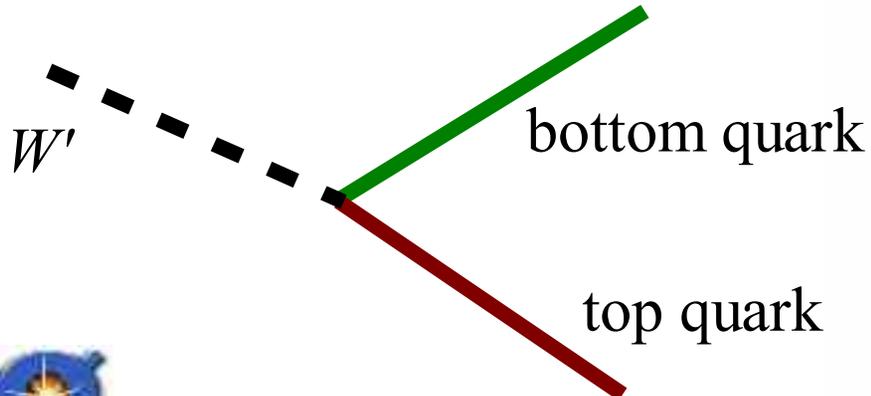
Non-Standard Model Single Top

- Single top final state is also produced by many new interactions beyond the Standard Model

Flavor-Changing Neutral Currents

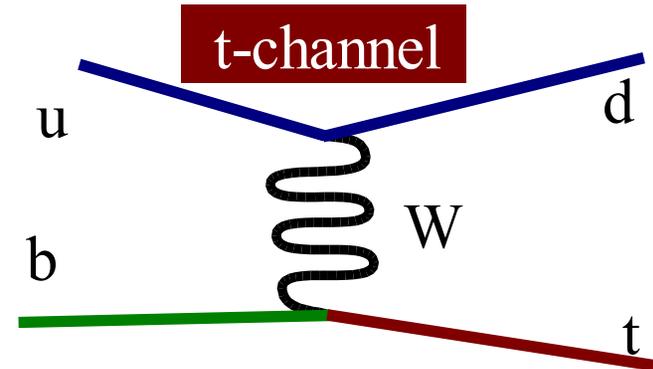
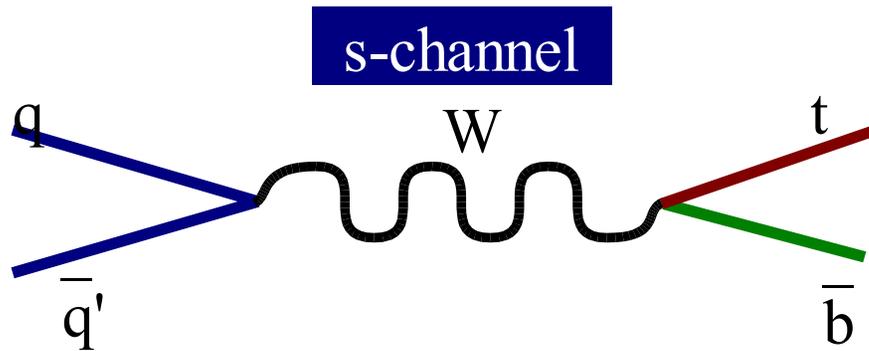


Top-Flavor: another vector boson



Single Top Physics at the Tevatron

- Electroweak Production of Single Top Quarks



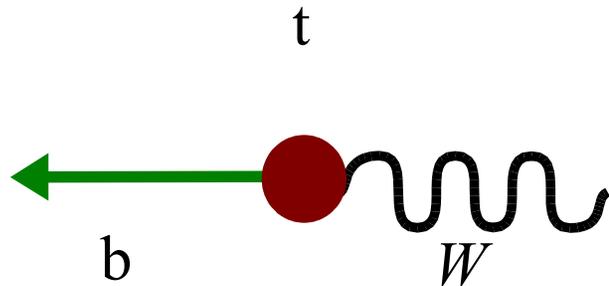
Tevatron Single Top in Run II:

- Observe Single Top Production
- Measure Production cross section
 - Confirm Standard Model Prediction
 - CKM matrix element V_{tb}
- Look for Physics beyond the Standard Model
- Measure top quark spin



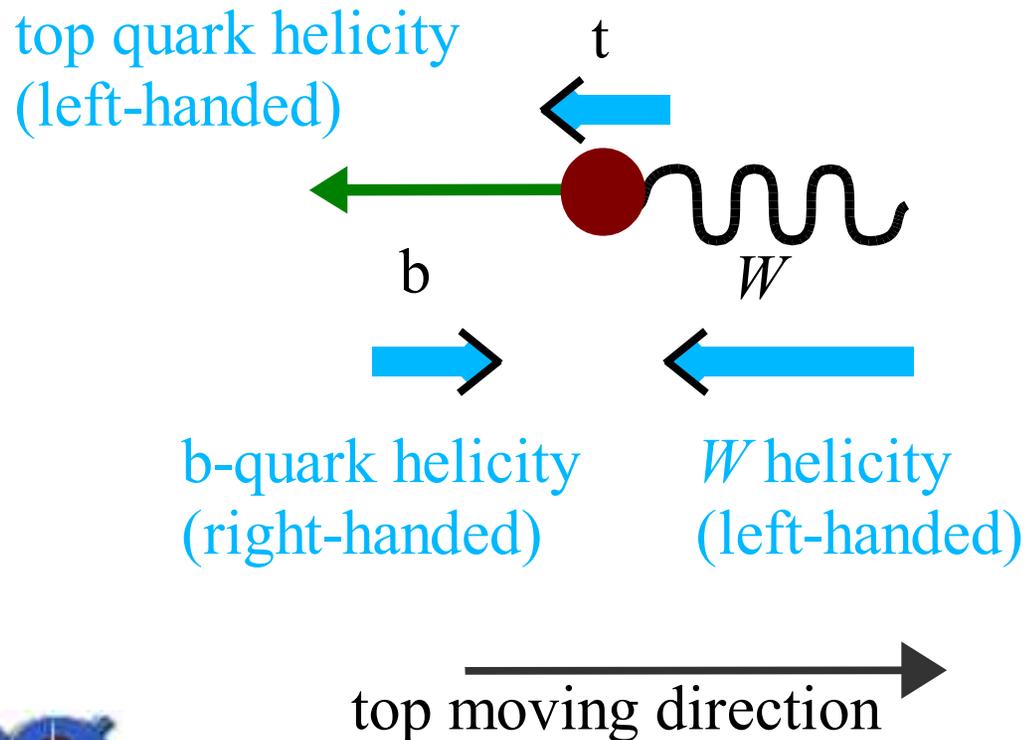
Top Quark Spin

- Top Quark decays before it hadronizes
 - Full spin information is preserved in the decay products
 - b-quark and W boson



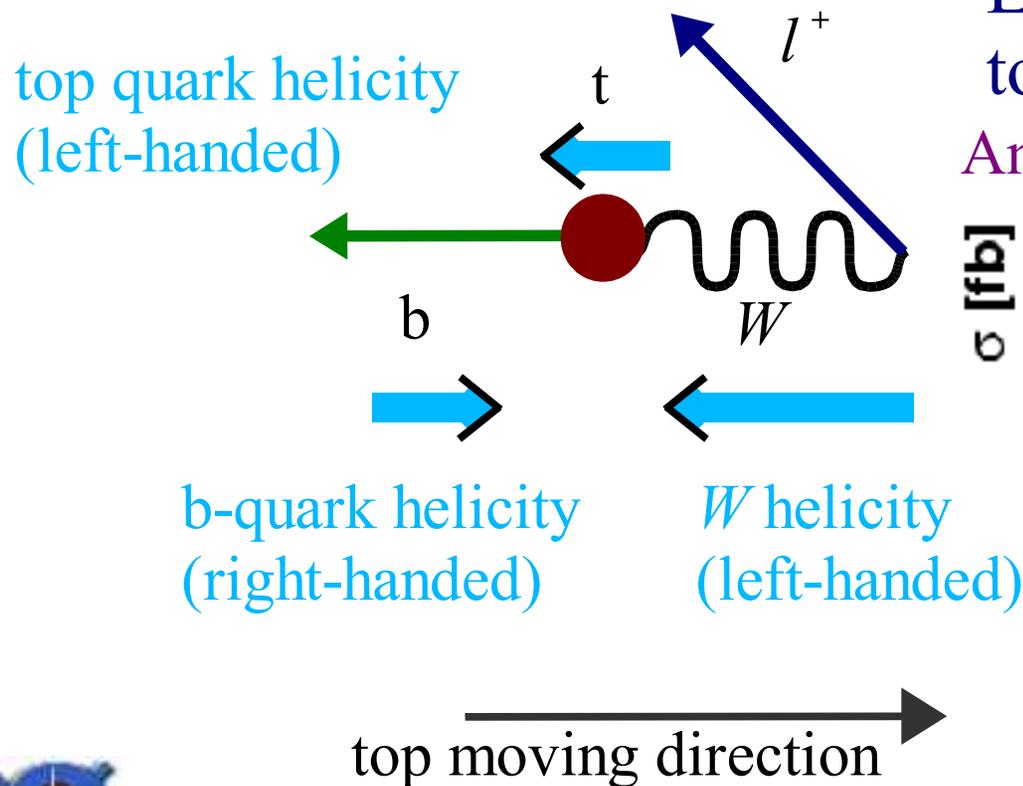
Top Quark Spin

- Top Quark decays before it hadronizes
 - Full spin information is preserved in the decay products
 - Electroweak charged current interaction is left-handed
- Top polarization in the top rest frame:

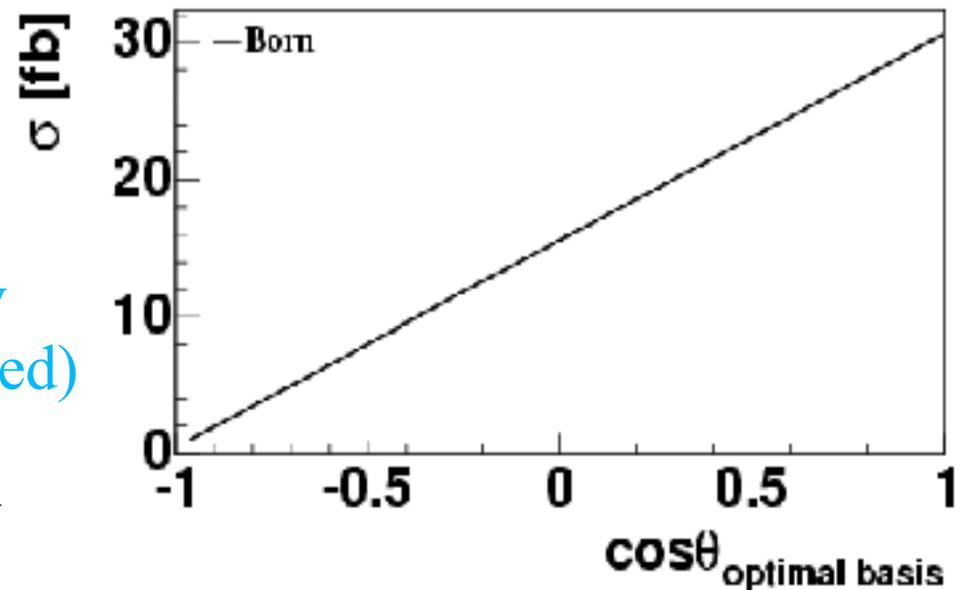


Top Quark Spin

- Top Quark decays before it hadronizes
 - Full spin information is preserved in the decay products
 - Electroweak charged current interaction is left-handed
- Top polarization in the top rest frame:

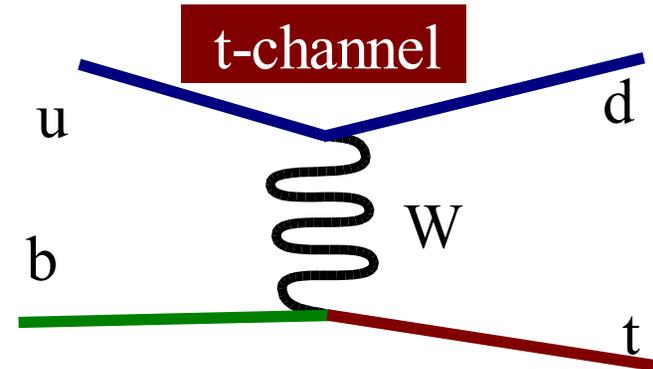
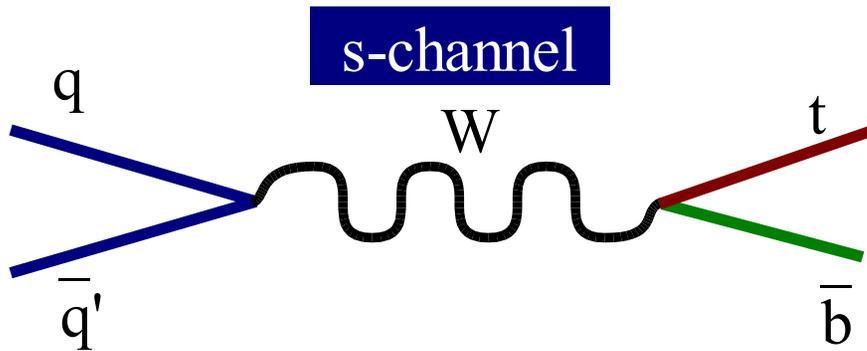


Lepton moves along top spin direction
 Angle between light quark and lepton:



Single Top Physics at the Tevatron

- Electroweak Production of Single Top Quarks



Tevatron Single Top in Run II:

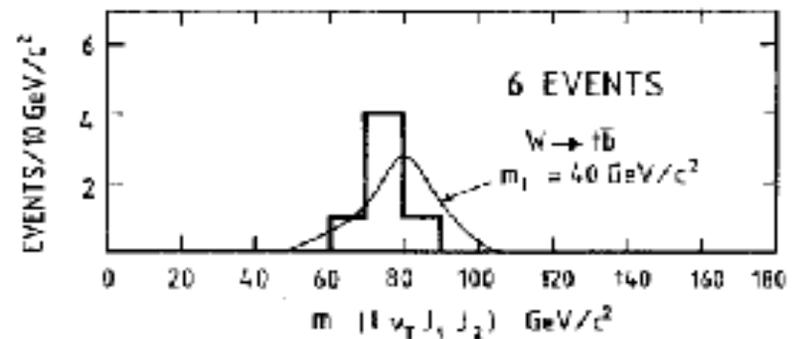
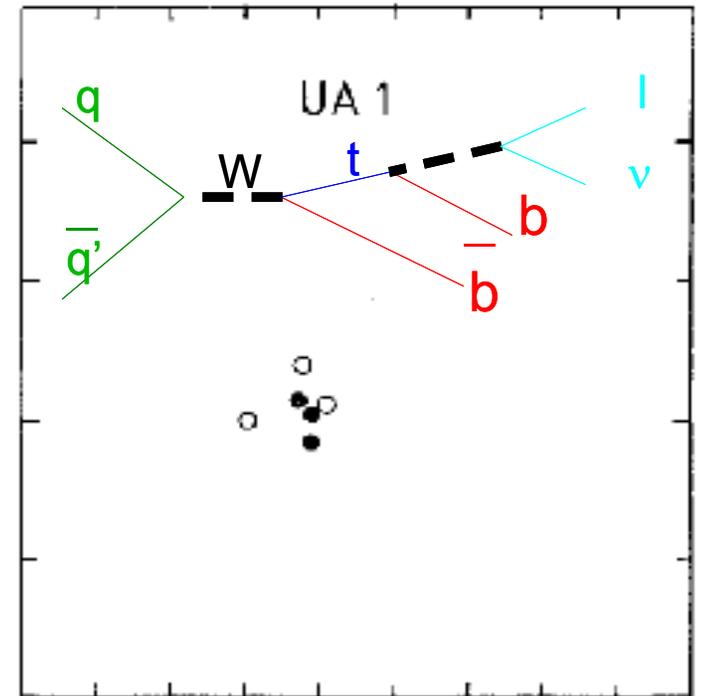
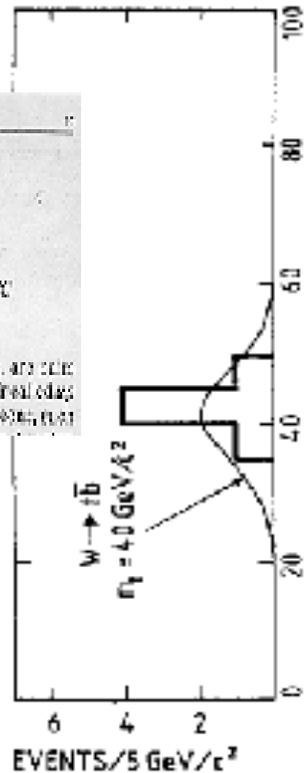
- Observe Single Top Production
- Measure Production cross section
 - Confirm Standard Model Prediction
 - CKM matrix element V_{tb}
- Look for Physics beyond the Standard Model
- Measure top quark spin



Discovery of Single Top?

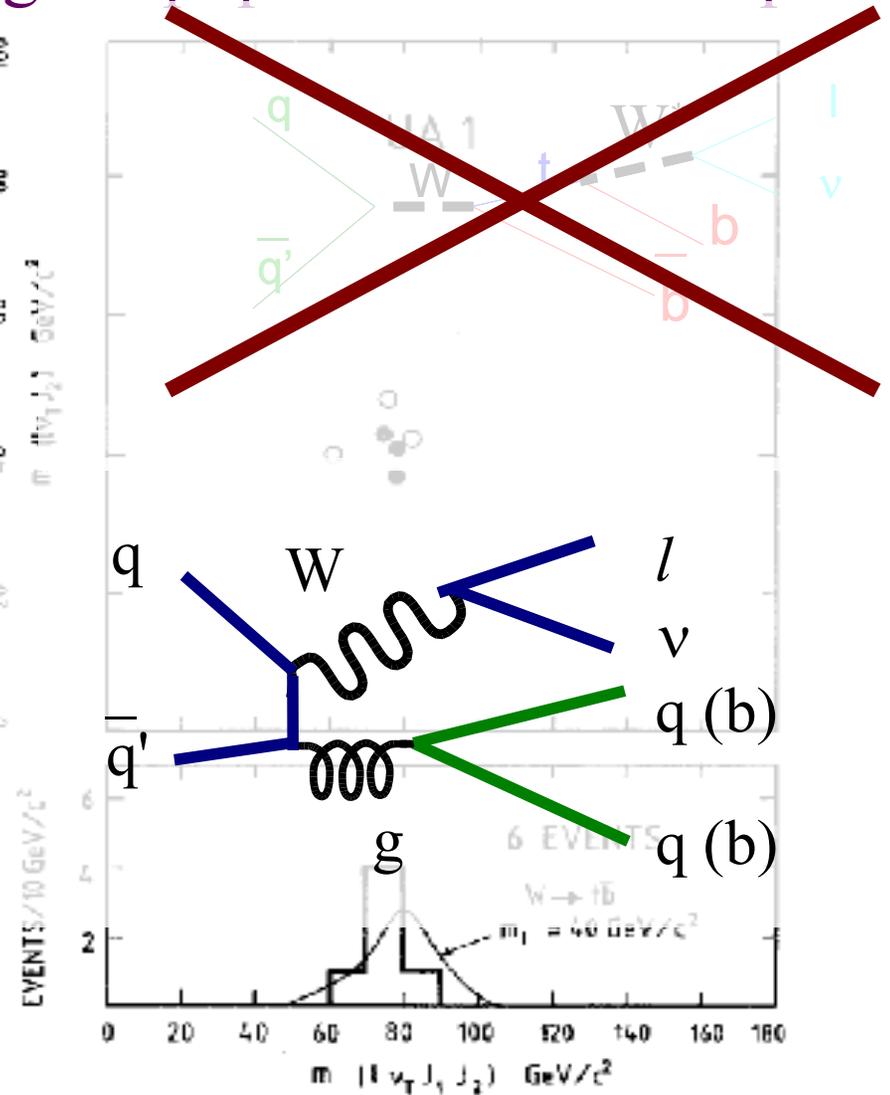
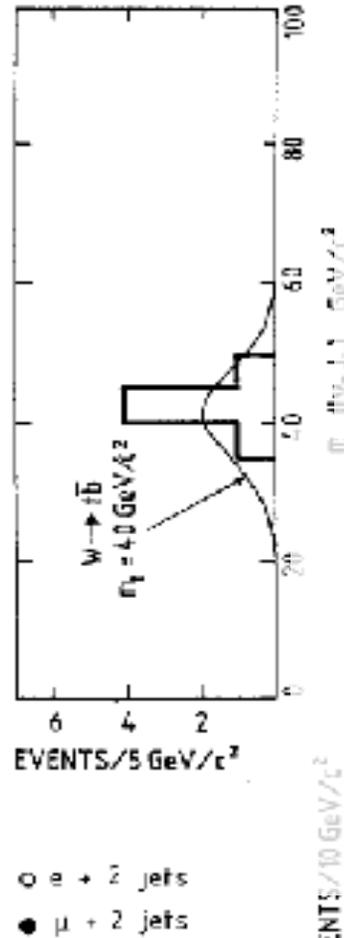
- Excess of lepton+MET+2jet events at UA1 in 1984
 - Consistent with production of single top quark and bottom quark

- $M_{top} \approx 40 \text{ GeV}$



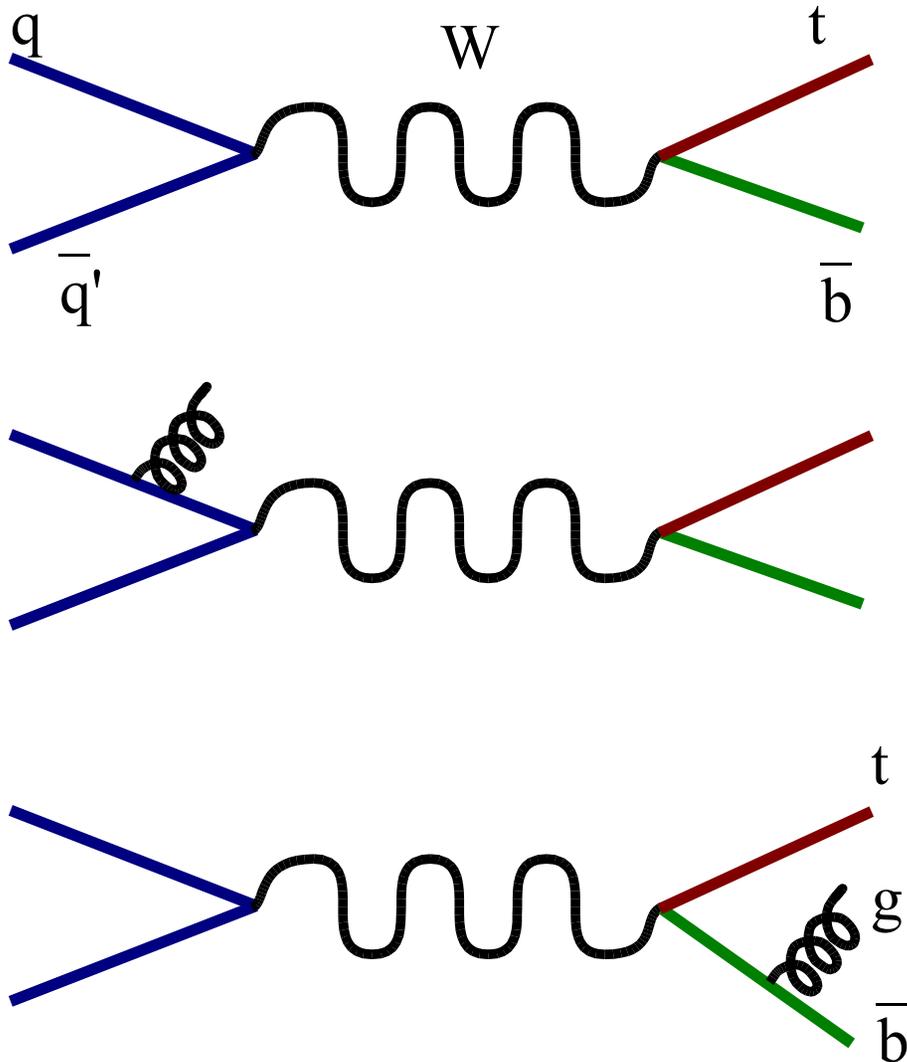
Discovery of Single Top?

- Excess of lepton+MET+2jet events at UA1 in 1984
 - Consistent with production of single top quark and bottom quark
 - $M_{\text{top}} \approx 40\text{GeV}$
 - Not confirmed after more data and better background estimation
 - W+jets production

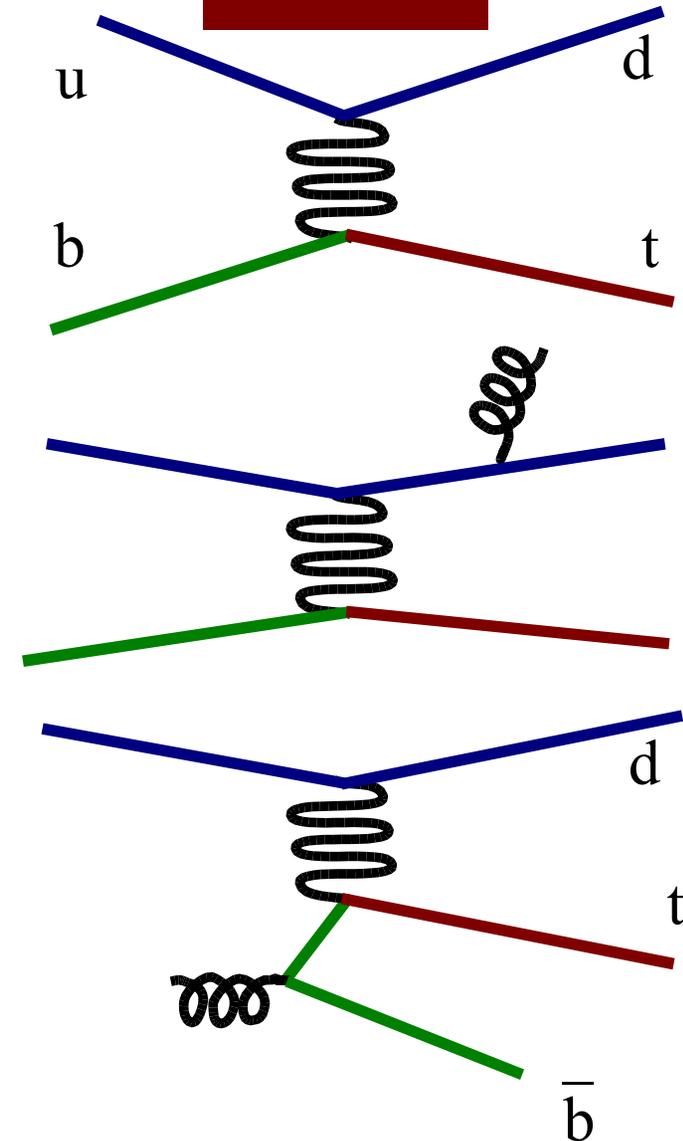


Electroweak Production of Top at the Tevatron at next-to-leading order in QCD

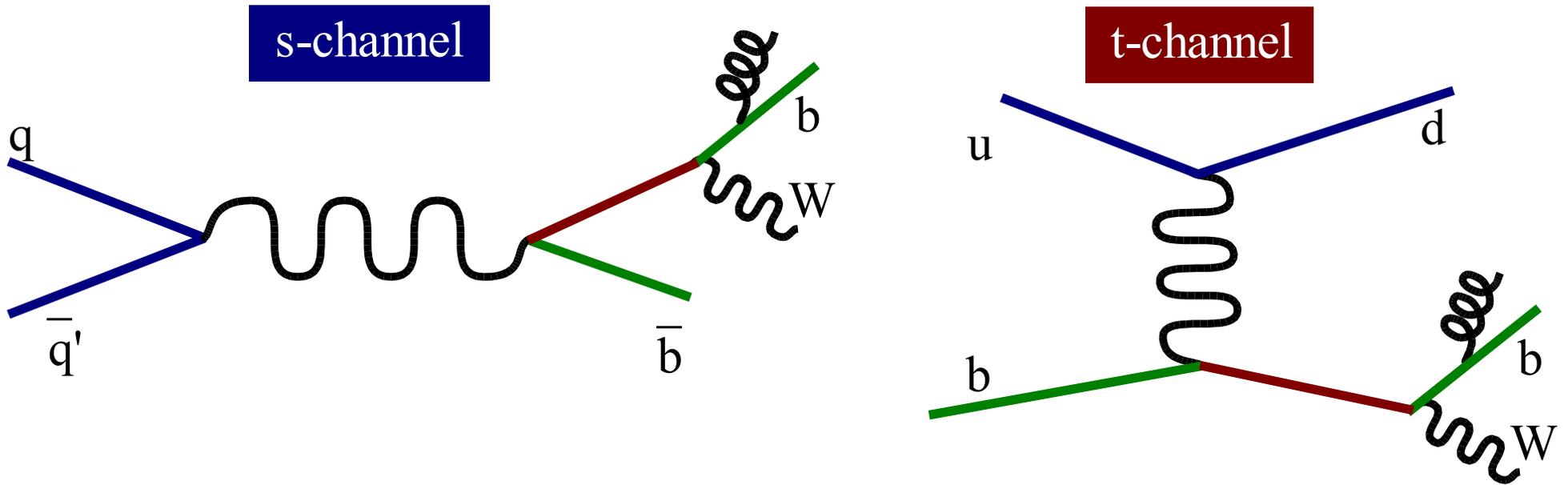
s-channel



t-channel

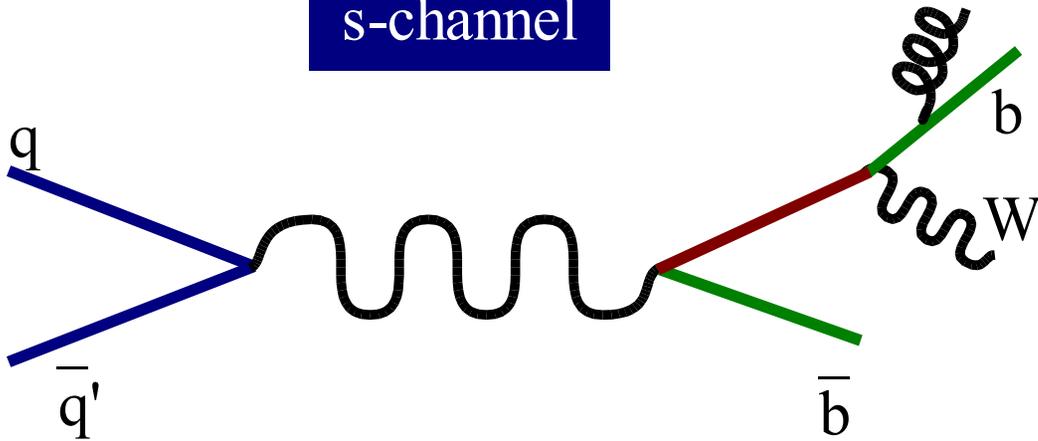


Electroweak Production *and Decay* at next-to-leading order in QCD

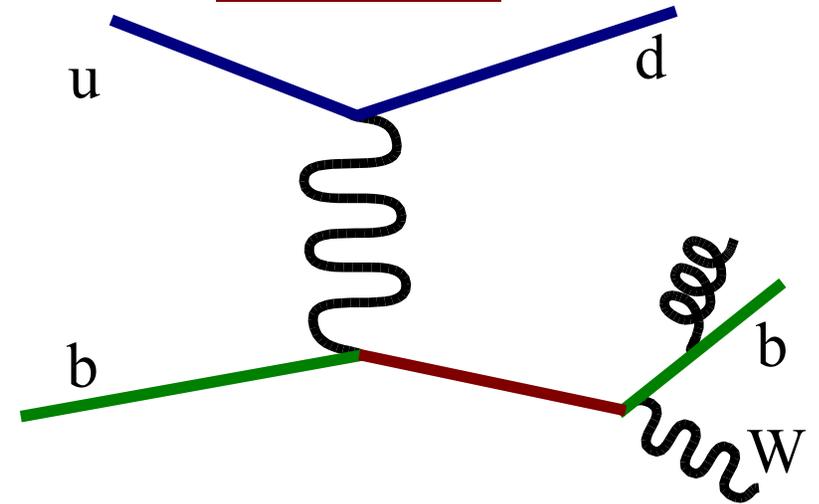


Electroweak Production and Decay at next-to-leading order in QCD

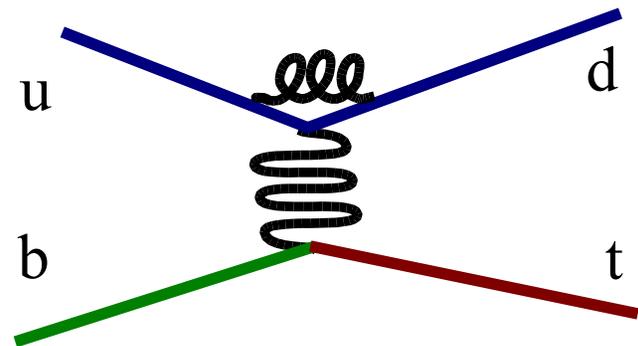
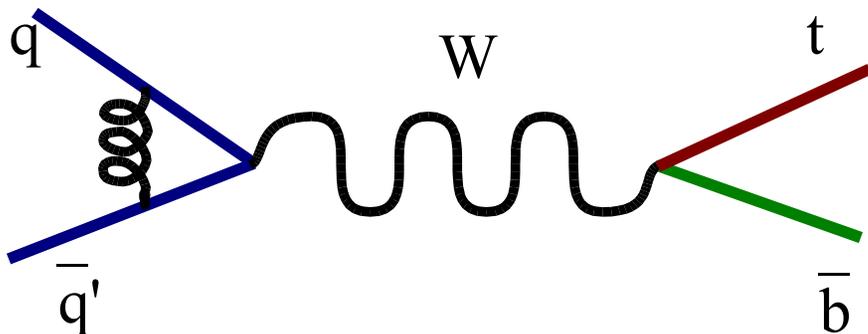
s-channel



t-channel



And virtual corrections



Relative Contributions to NLO rate including Top Production and Decay

s-channel

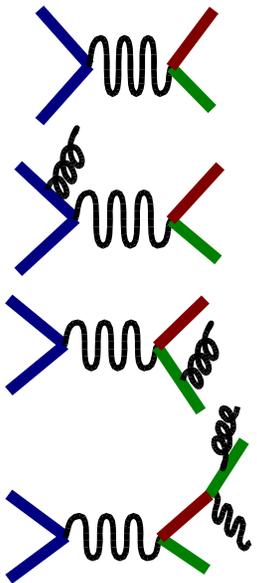
NLO rate 0.86pb

Born level 65%

Initial state 22%

Final state 11.5%

Decay 1.2%

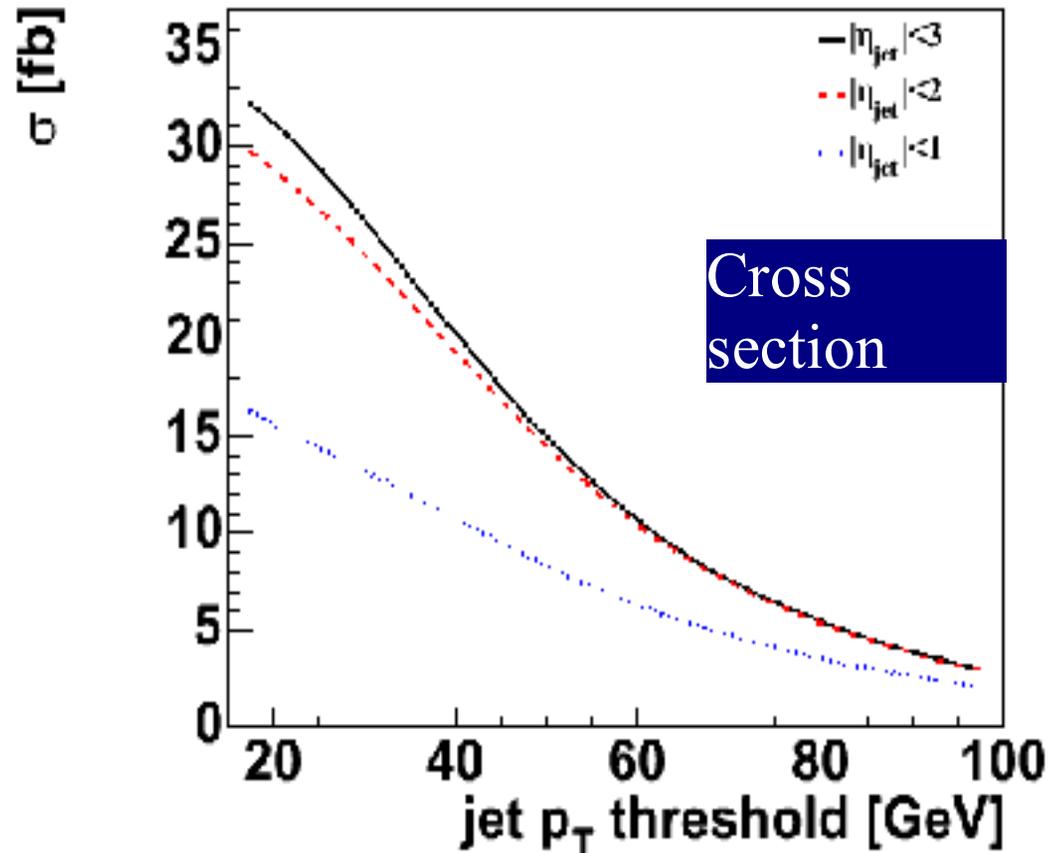
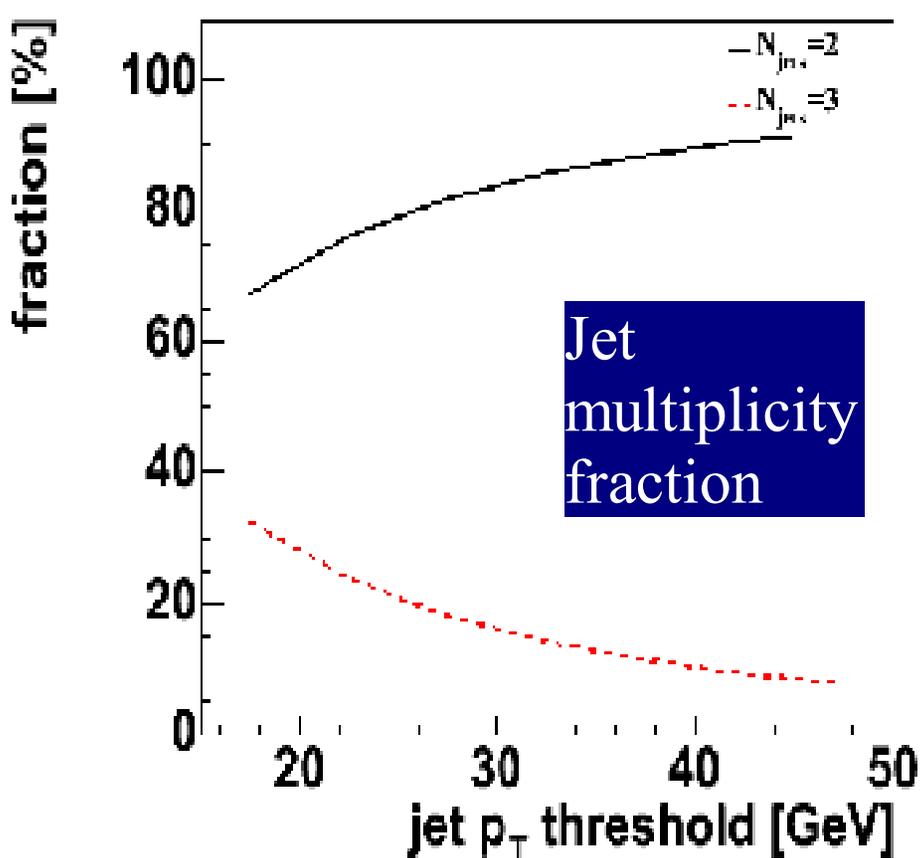


Cao, RS, Yuan hep-ph/0409040

- $O(\alpha_s)$ corrections large for the s-channel
 - Decay correction is 2nd order effect, top mass and top width

Kinematic effect of NLO Corrections

- After simple parton level selection cuts:
 - 1 lepton, $p_T > 15\text{GeV}$, $|\eta| < 2$, missing $E_T > 15\text{GeV}$
 - ≥ 2 jets, $p_T > 15\text{GeV}$, $|\eta| < 3$
- Example: s-channel jet multiplicity



Relative Contributions to NLO rate including Top Production and Decay

s-channel

t-channel

NLO rate 0.86pb

NLO rate 1.9pb

Born level 65%

Born level 105%

Initial state 22%

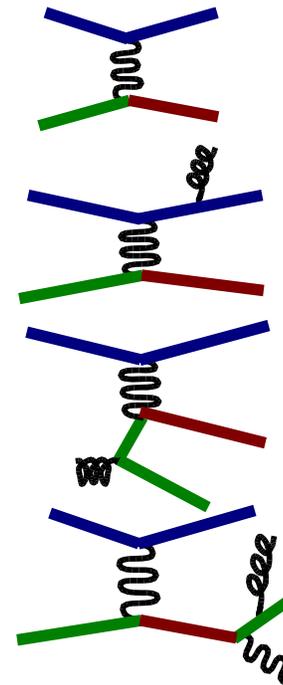
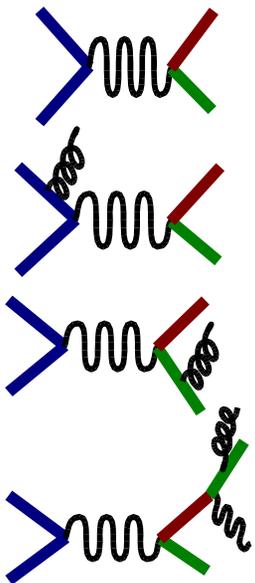
Light quark 13%

Final state 11.5%

Heavy quark -11%

Decay 1.2%

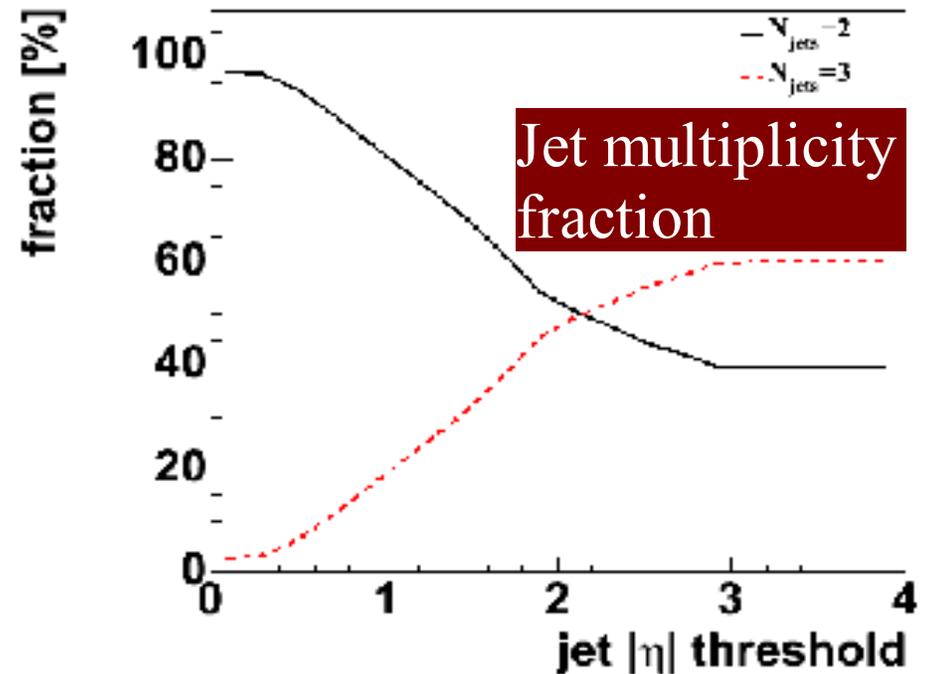
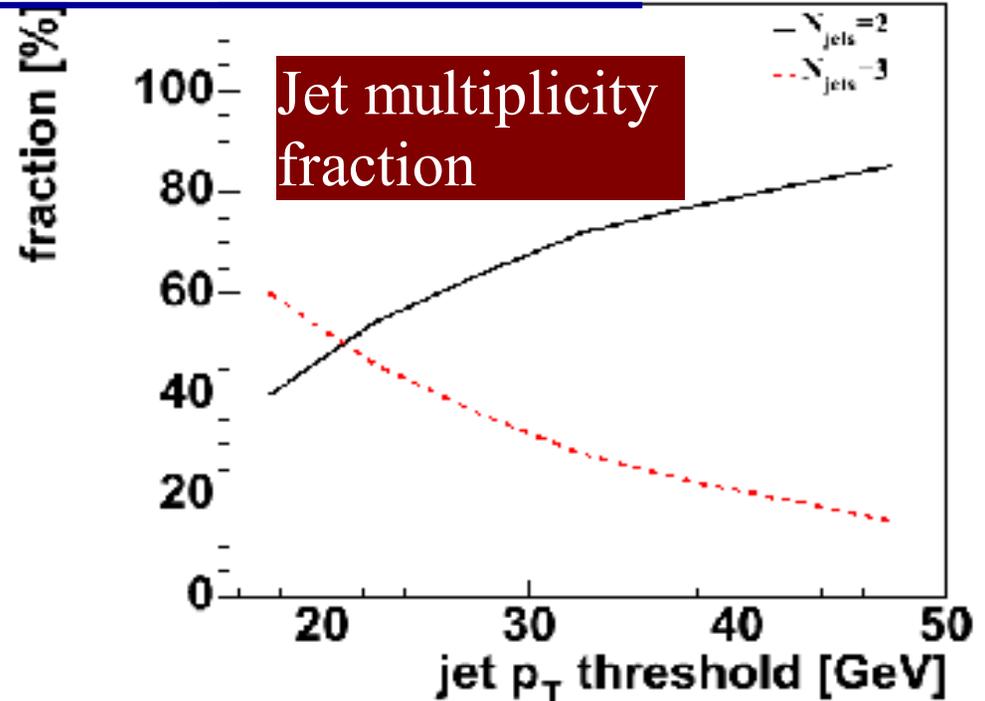
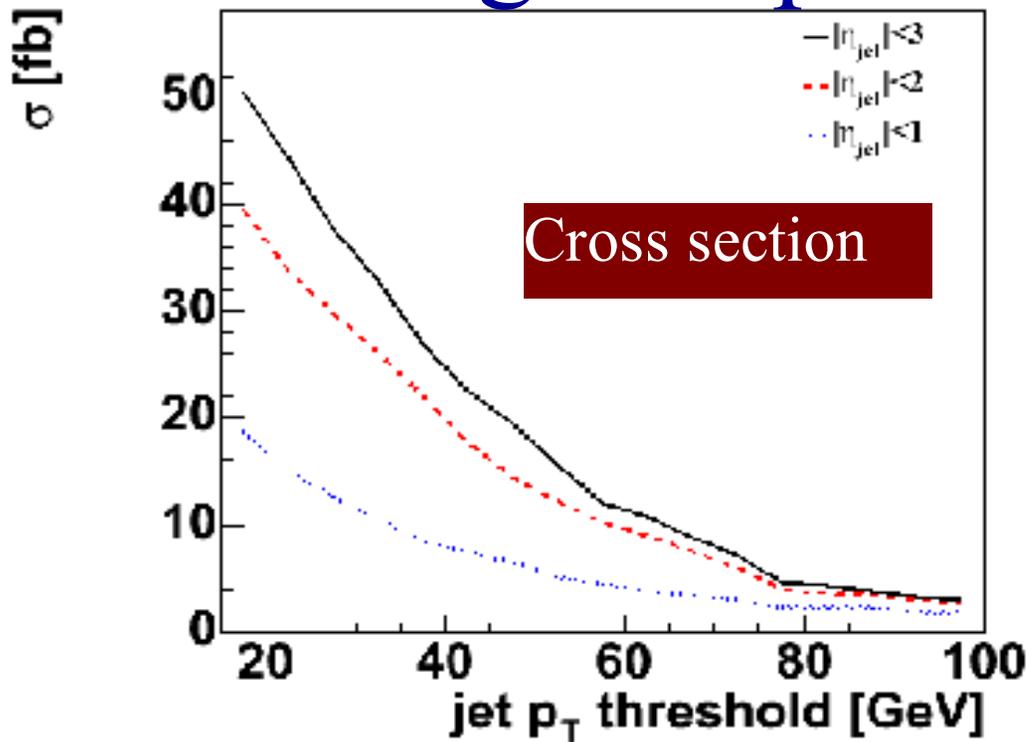
Decay -7%



Cao, RS, Yuan hep-ph/0409040

- $O(\alpha_s)$ corrections large for the s-channel
 - Only small rate correction for the t-channel
 - Decay correction is 2nd order effect, top mass and top width

Single Top t-channel at NLO



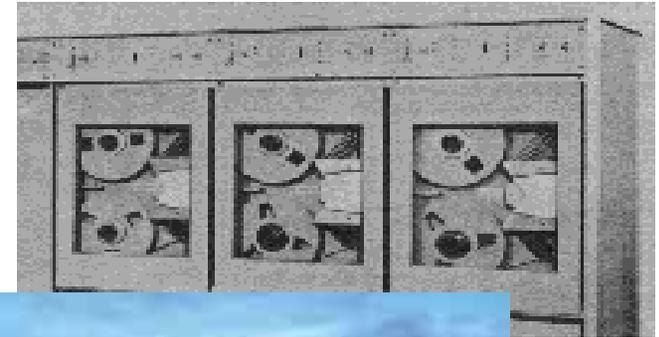
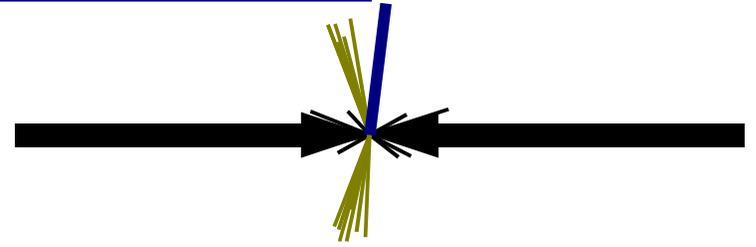
- After simple cuts
 - Large number of 3-jet events
 - Depends strongly on jet p_T and η cuts

Experimental Detection of Single Top Events



Experimental Procedure

- Produce Single Top Events
 - Collide protons with anti-protons
- Record Single Top Events
 - Detector, triggering
- Reconstruct final state objects
 - Leptons, jets
- Select Single Top Events
 - Out of large backgrounds
- Statistical Analysis



Experimental Setup: Fermilab Tevatron in

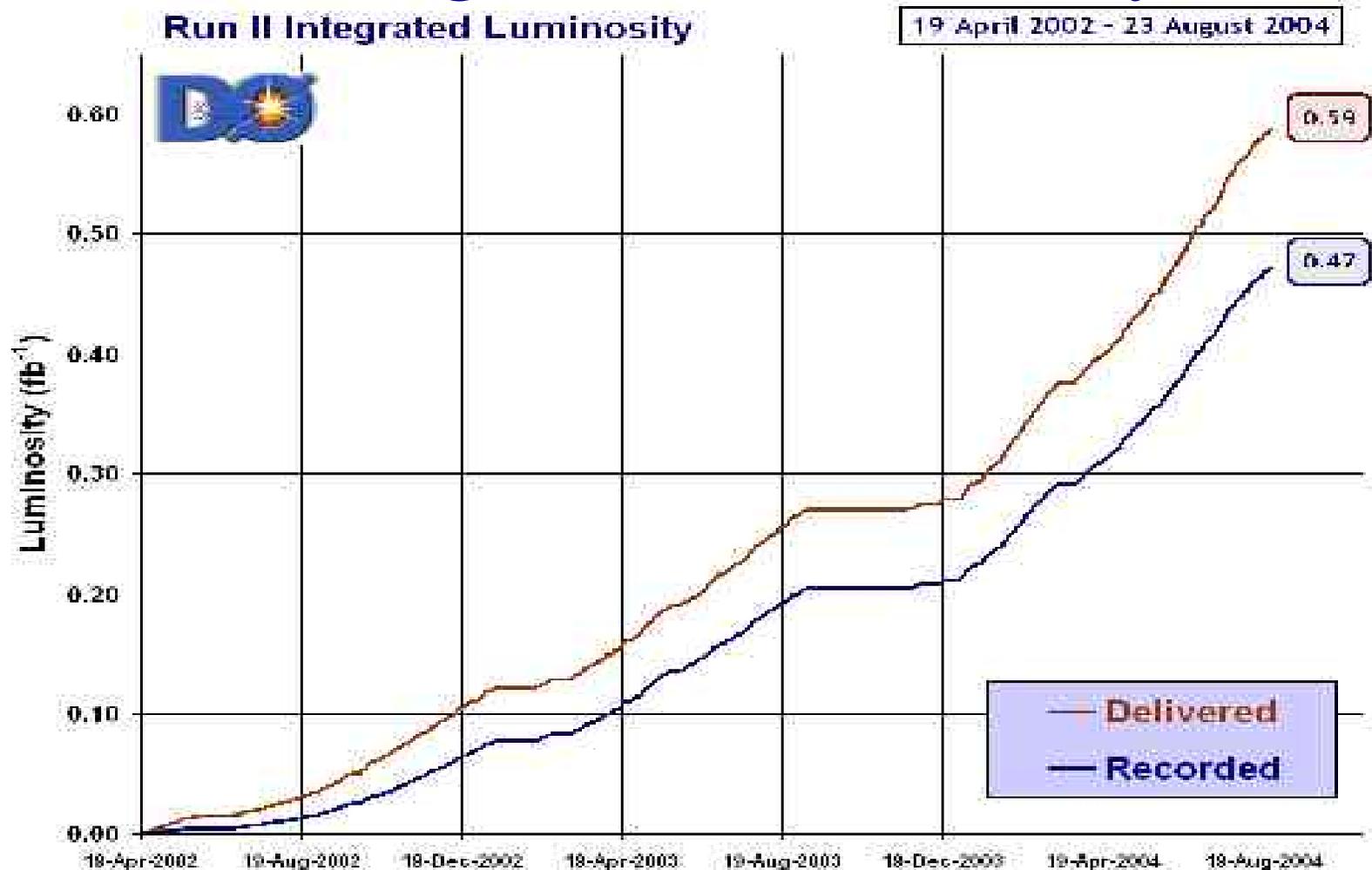


Run II



- **Proton-Antiproton Collider**
- **CM Energy 1.96TeV**
- **One interaction (bunch crossing) every 396ns**
- **Achieved peak Luminosity $> 1 \times 10^{32}$**

Integrated Luminosity



- Tevatron delivered luminosity is exceeding “baseline” and “design” projections
- DØ is now recording data with $>90\%$ efficiency



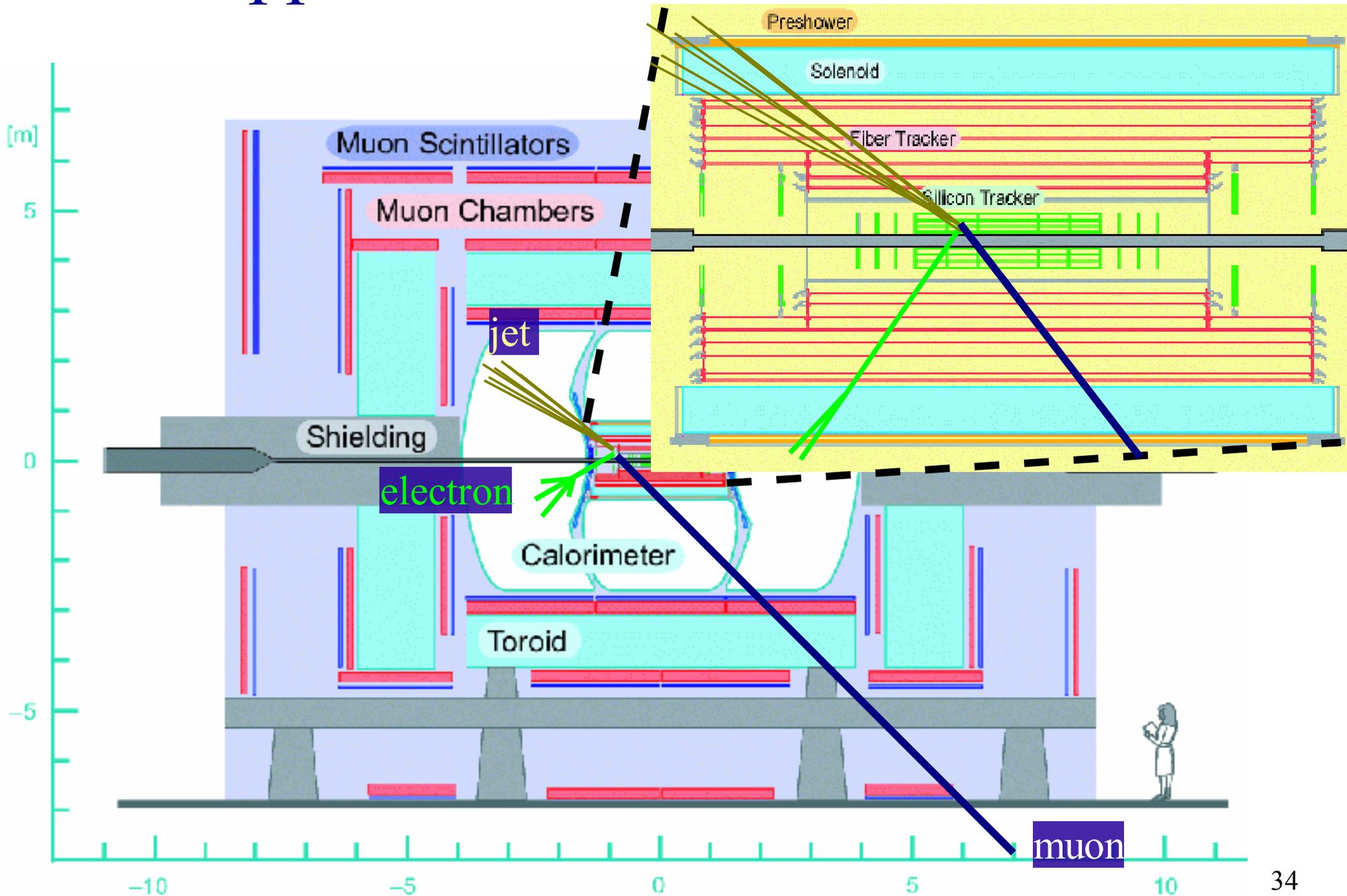
Experimenters: The DØ Collaboration



- **19 countries**
- **80 institutions**
- **670 physicists**



Apparatus: Run II DØ Detector

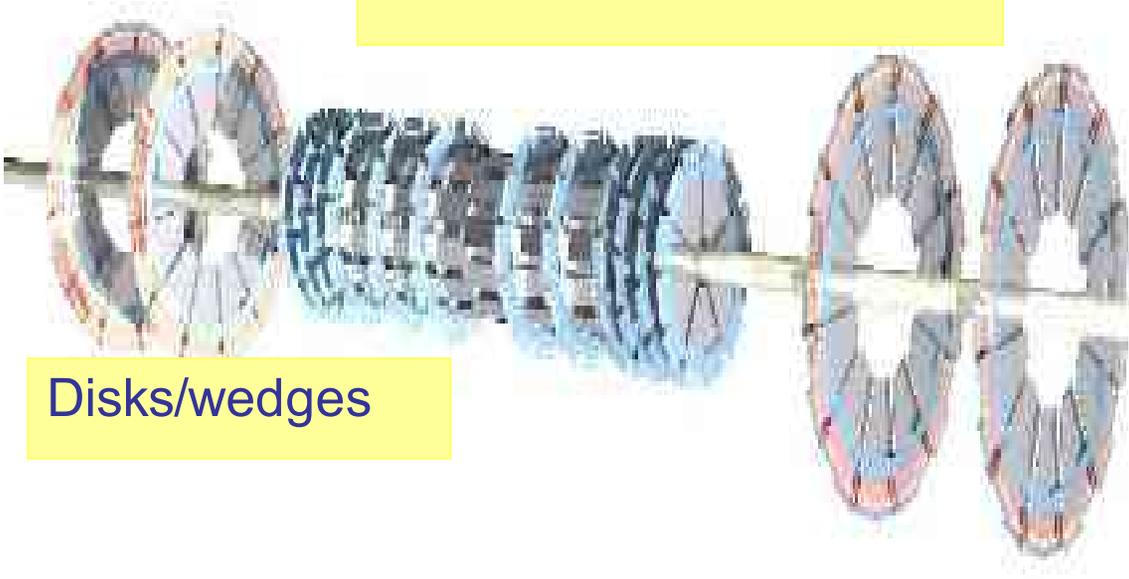


New for Run II DØ:

Tracking Systems in a 2T magnetic field

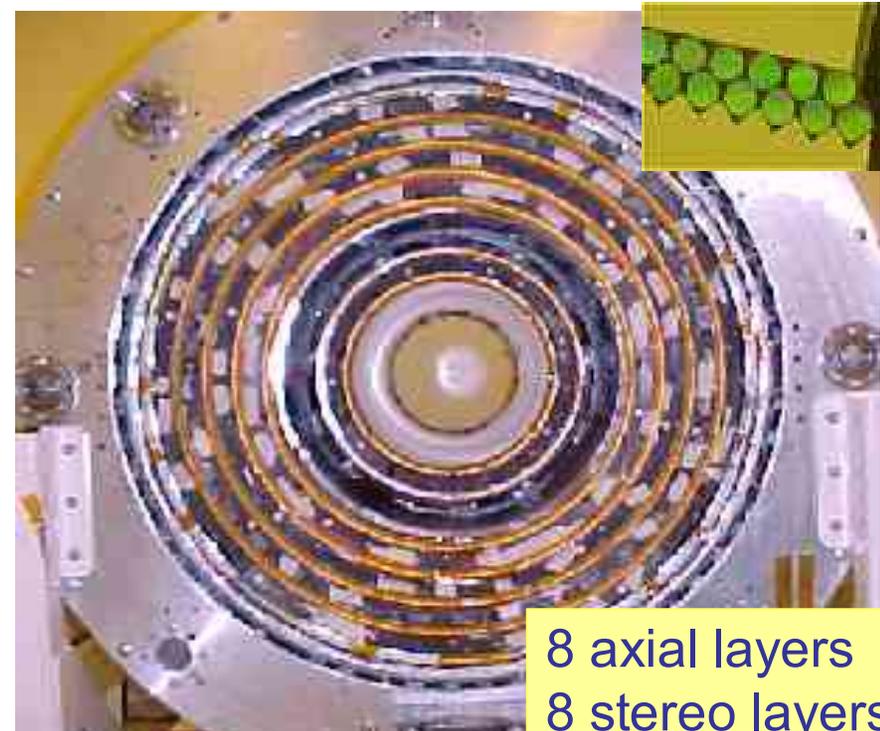
Silicon Vertex Detectors

4 barrel layers
axial + stereo detectors



Disks/wedges

Central Fiber Tracker

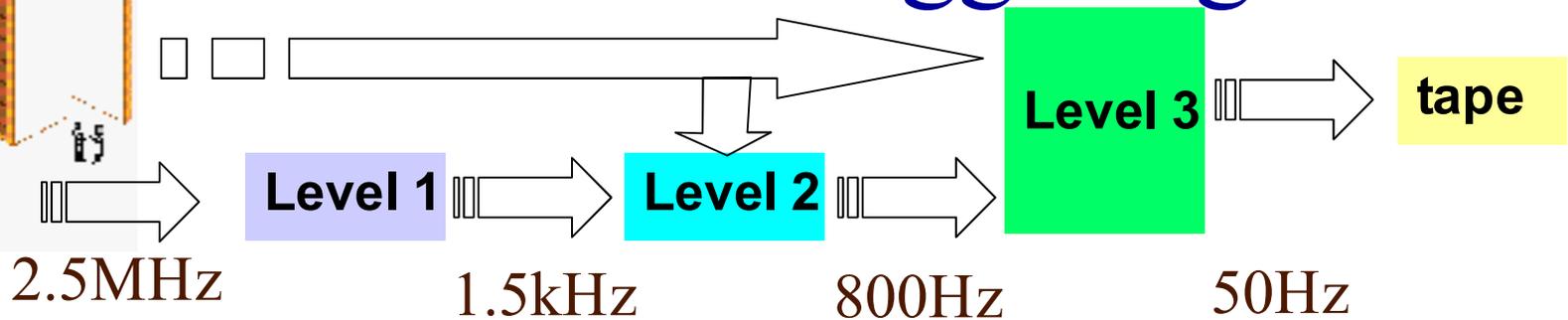
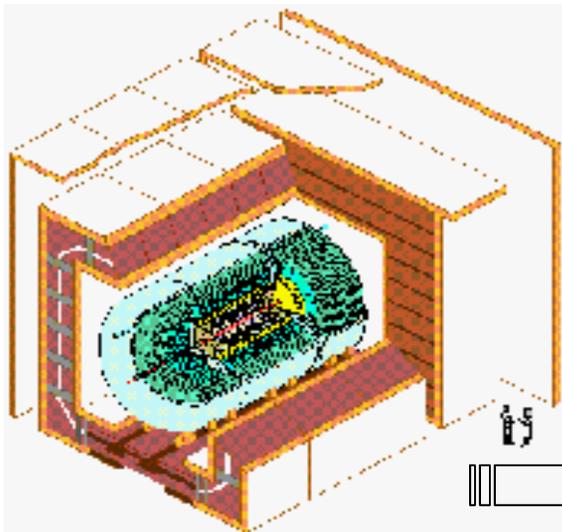


8 axial layers
8 stereo layers

- Improved momentum resolution for muons (and electrons)
- Track-based b-quark jet identification



Significantly Upgraded for Run II: Triggering



- Multi-level, pipelined, buffered Trigger Strategy
 - Level 1: one interaction every 396ns
 - Fast trigger pick-offs from all detectors
 - Custom hardware/firmware
 - Trigger on hit patterns in individual detector elements
 - Level 2: Combine Level 1 regions and objects
 - Level 3: Full detector readout
 - Commodity based readout system
 - VME-based PCs and Ethernet switches
 - Complete event reconstruction
 - Linux processor farm to make trigger decision

Level 2 Trigger

- Design: reduce 6kHz L1 accept rate to 1kHz
- Both custom hardware/firmware and commodity-based components
 - Dataflow from L1 and detector systems in custom systems
 - Algorithms in software running on commodity-based system
- Build Physics objects
 - Jets and EM objects are built from L1 calorimeter towers
 - Central tracks are built from L1 track trigger tracks
 - Now also Secondary Vertex Tagging
 - Muons are reconstructed from raw muon chamber hits
- Combine objects from different detector systems
 - Track matching to muons, electrons, or jets
- Allow for 128 different combinations
 - 1-1 matching of bits between L1 and L2



Analysis Outline

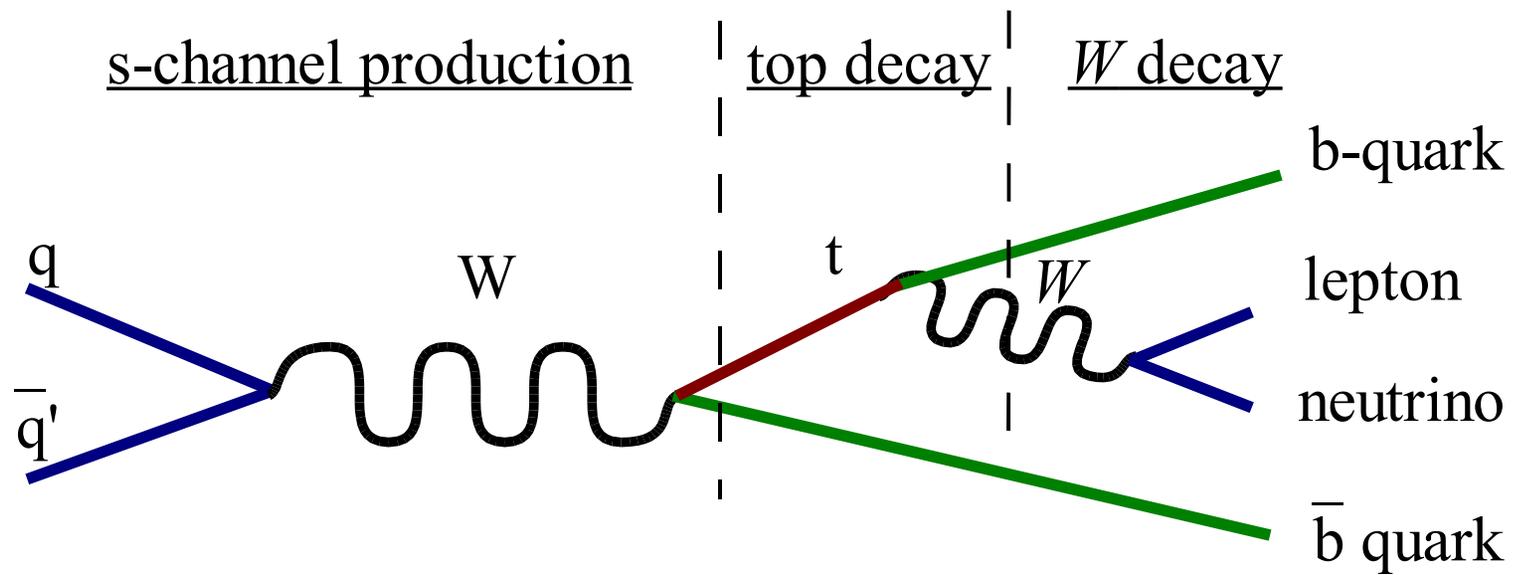
Goal:

Observe electroweak production of single top quarks

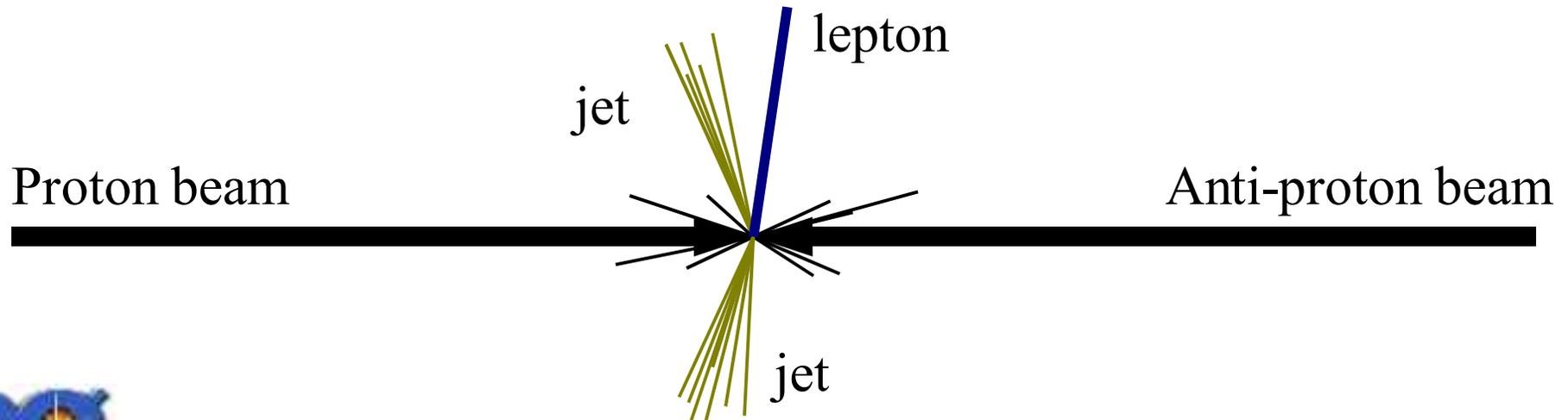
1. Select single top events out of large background
 - Loose “Pre-Selection”, reject QCD multi-jet events
 - Maximize acceptance
 - Check modeling of remaining backgrounds
2. Tight selection of single top events
 - Find (or form) sensitive variable for s-channel and t-channel
 - Separate s-channel from backgrounds
 - Separate t-channel from backgrounds
3. Determine cross section
 - Event counting, likelihood fitting, ...



Single Top Event Signature

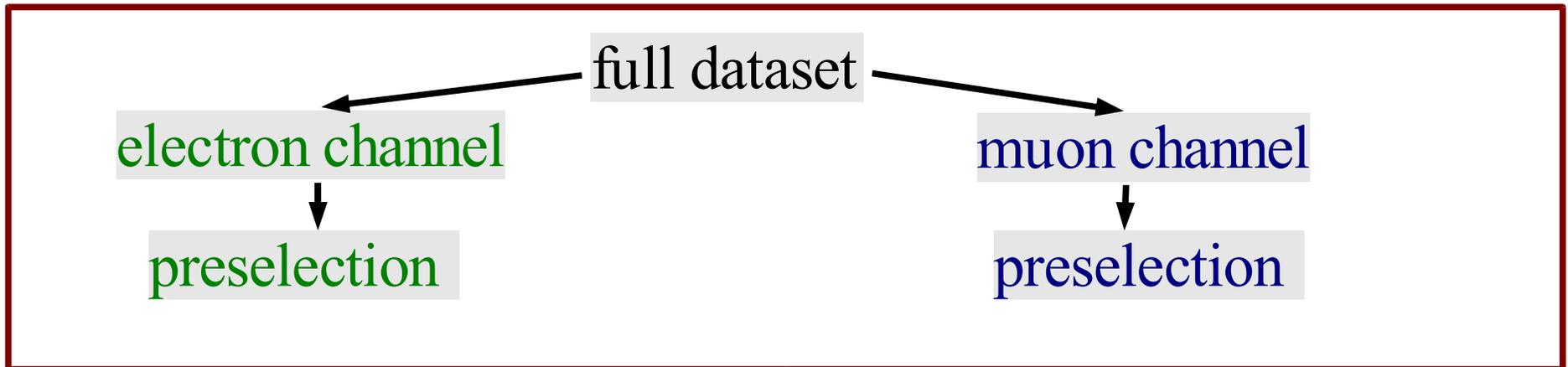


Final State Objects



DØ Single Top Search Strategy

- Split data into orthogonal channels
 - Optimize each channel, then combine
 - Separate by lepton:
 - electron channel \leftrightarrow muon channel
 - Veto on events containing the other lepton
 - Electron channel: better energy resolution
 - Muon channel: wider η coverage
- Simple preselection cuts



Single Top Event Selection

- Lepton: 1 electron or muon, $p_T > 15\text{GeV}$
- Neutrino: missing transverse energy $> 15\text{GeV}$
- Jets:

– $p_T > 15\text{GeV}$, $|\eta| < 3.4$, $p_T(\text{jet } 1) > 25\text{GeV}$

– $2 \leq n_{\text{jets}} \leq 4$

– At least one b-tag

- Trigger:

– L1: Lepton: ≥ 1 EM object, $p_T > 10\text{GeV}$ or ≥ 1 muon hit

Jets: ≥ 1 jet

– L2: Lepton: ≥ 1 EM object, $p_T > 12\text{GeV}$ or ≥ 1 muon

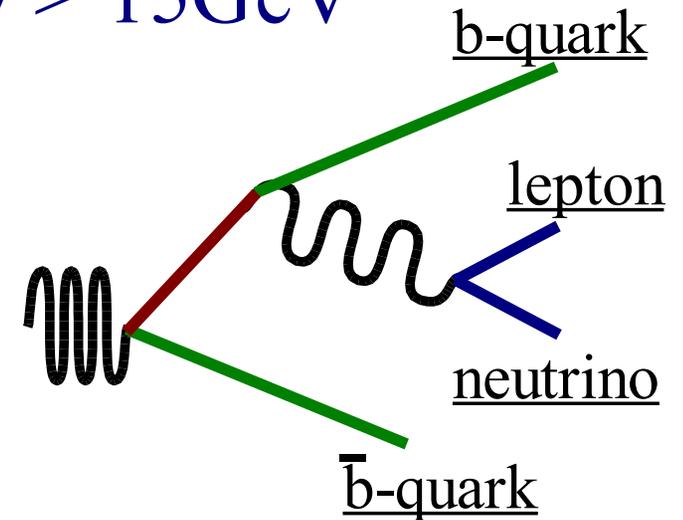
Jets: ≥ 1 jet

– L3: Lepton: ≥ 1 EM object, $p_T > 15\text{GeV}$

Jets: ≥ 1 jet

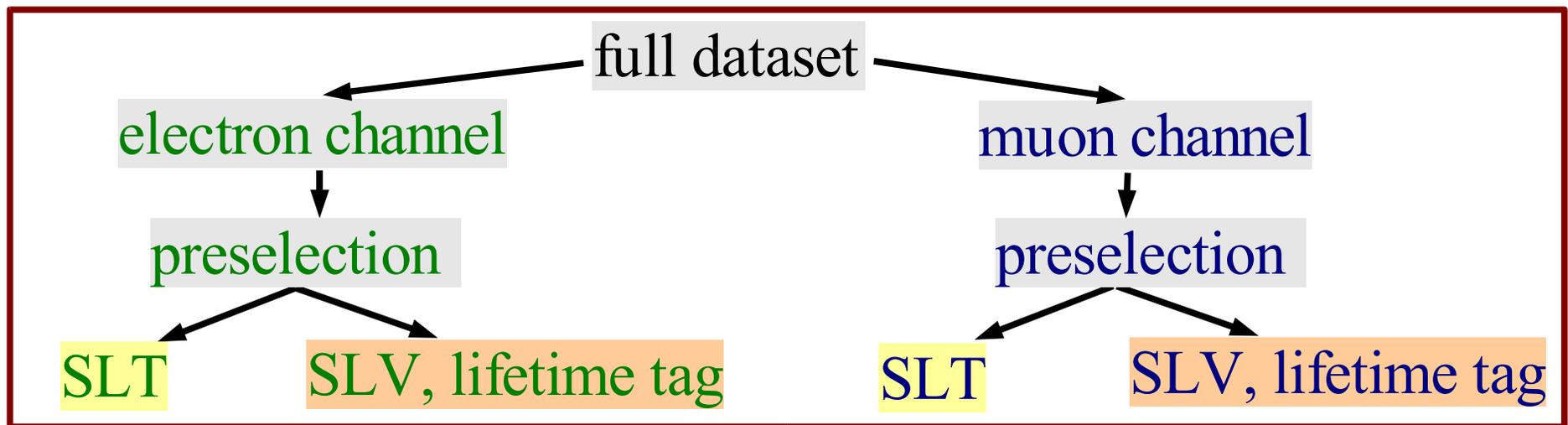
– Efficiency: $\sim 85\%$ (electron channel), $\sim 89\%$ (muon channel)

- Reject mis-reconstructed events



DØ Single Top Search Strategy

- Split data into orthogonal channels
 - Separate by lepton
 - Separate by b-tagging:
 - Soft-lepton-tagging (SLT) \Leftrightarrow lifetime tagging
 - Lifetime tagging analyses apply SLT Veto
 - Use several lifetime tagging methods for cross-check
 - Not orthogonal, cannot combine
- Background estimation and model check

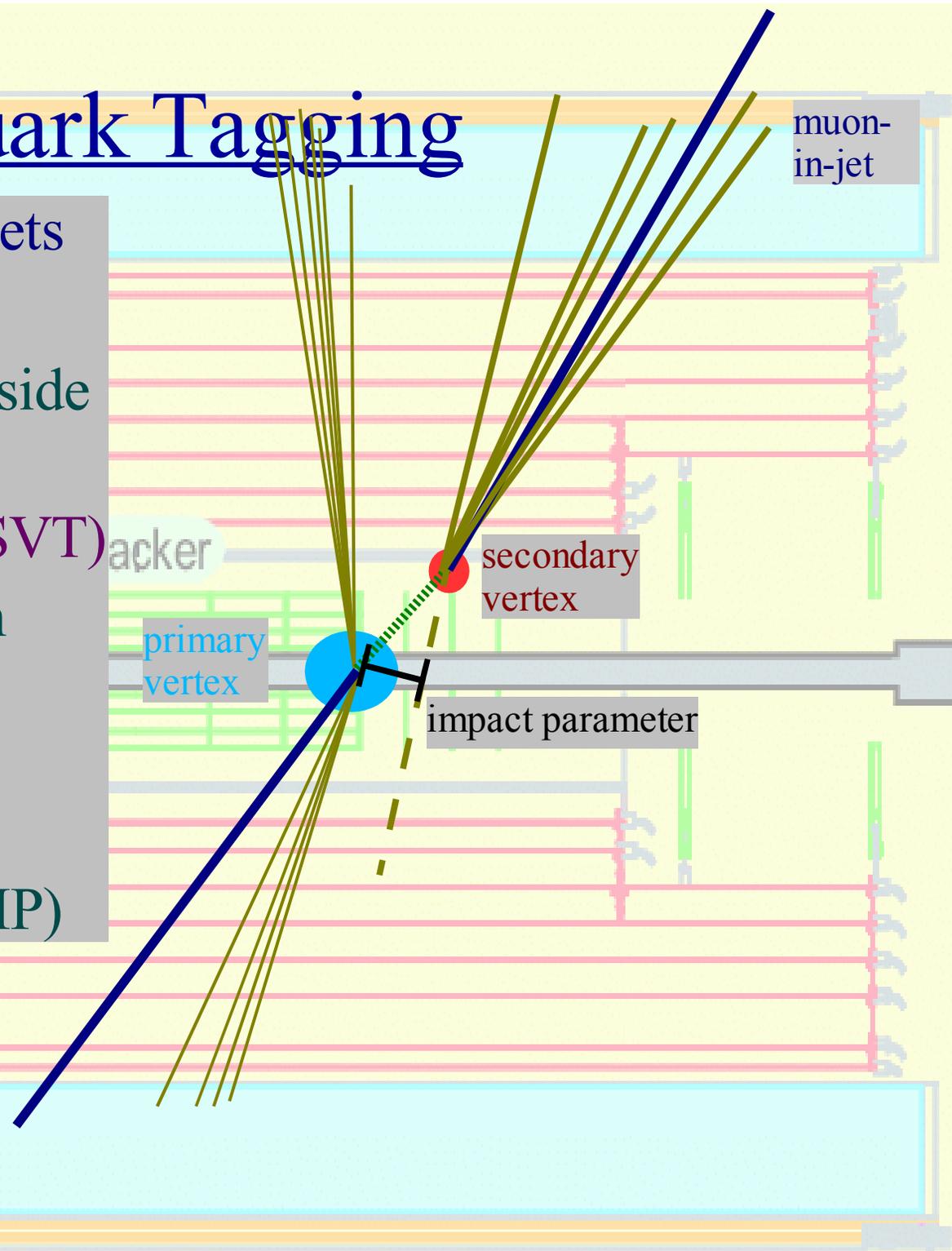


Preshower

B-Quark Tagging

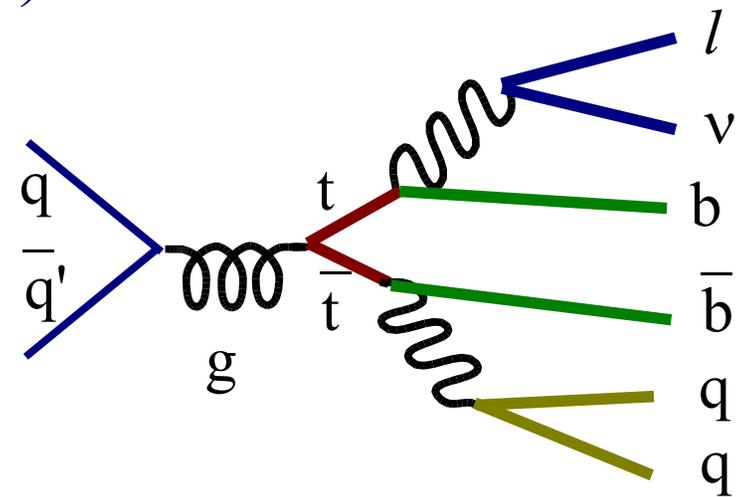
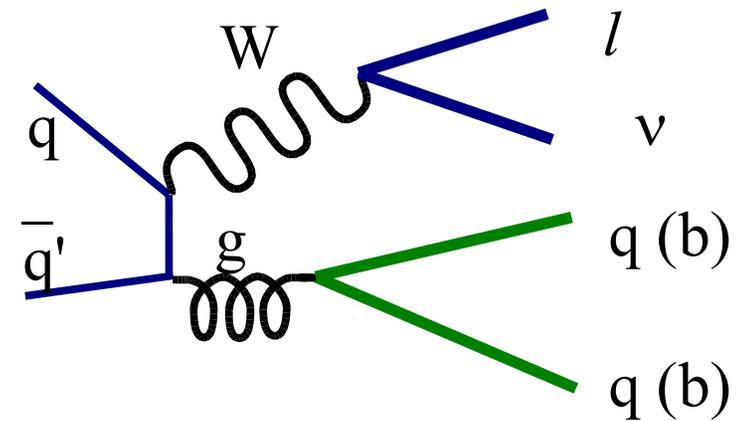
muon-in-jet

- Identification of B-meson jets
 - Soft-lepton-tag (SLT)
 - Reconstruct muon inside jet
 - Secondary-vertex-tag (SVT)
 - Reconstruct b-meson decay vertex
 - Jet-lifetime probability
 - Find high impact-parameter tracks (JLIP)

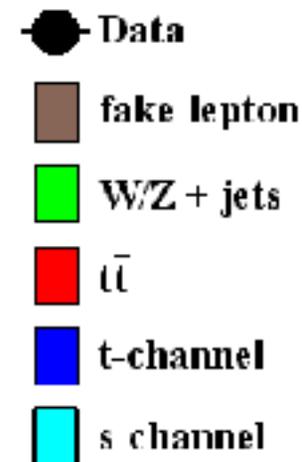
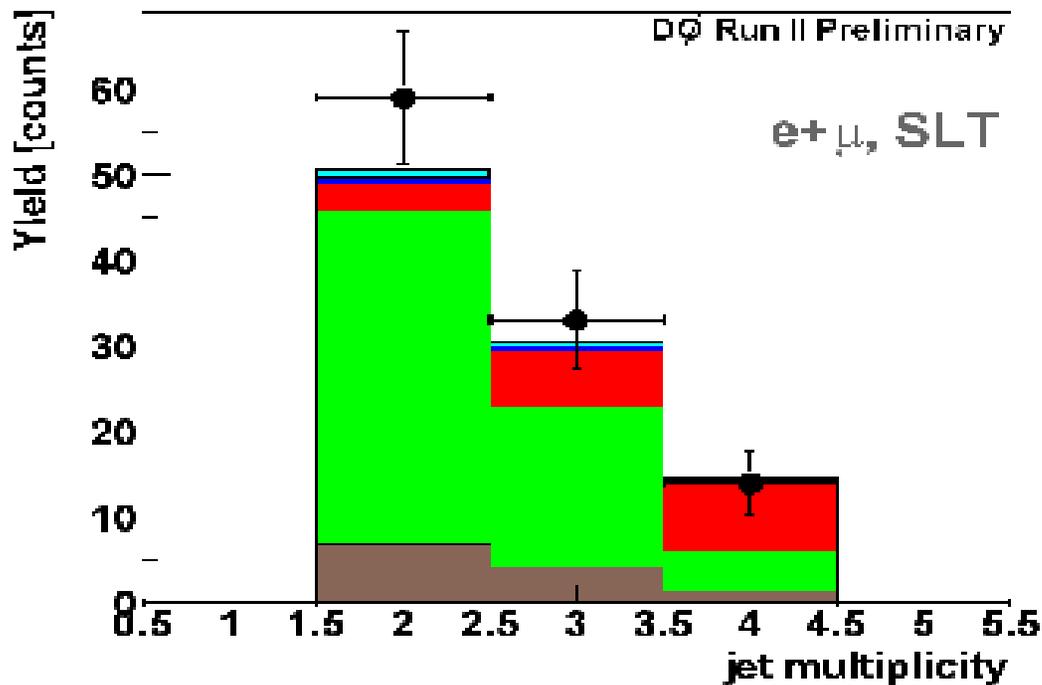
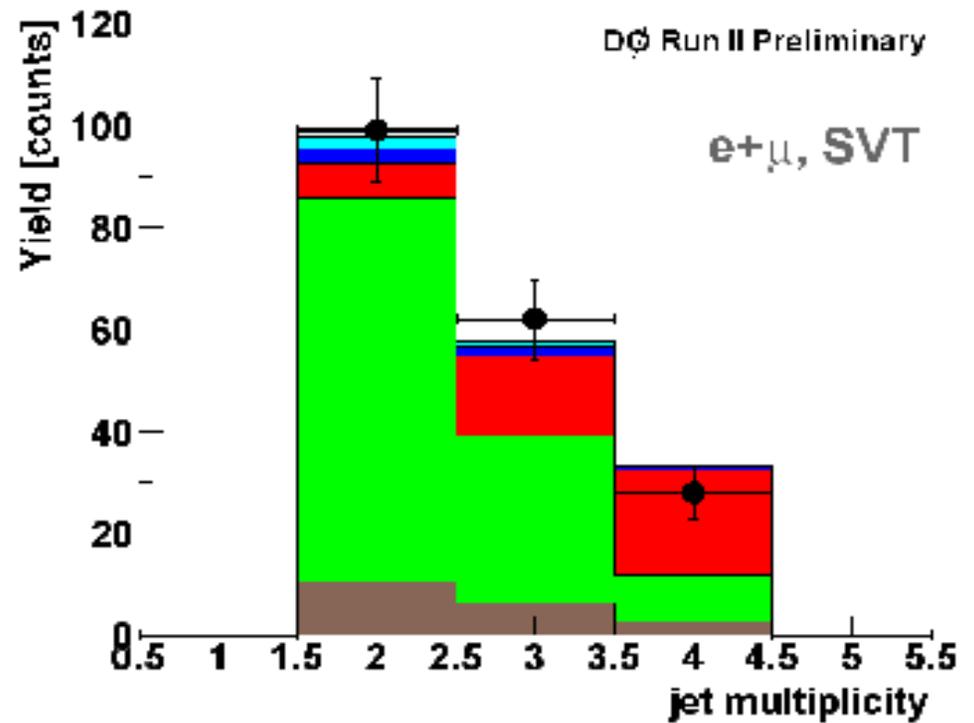
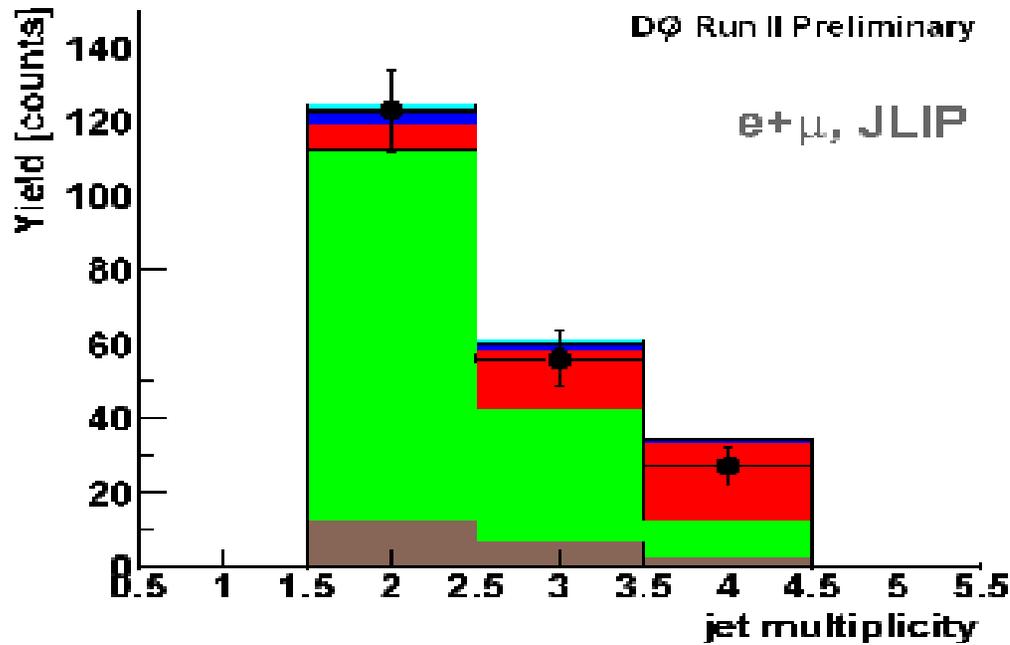


Standard Model Background Estimation

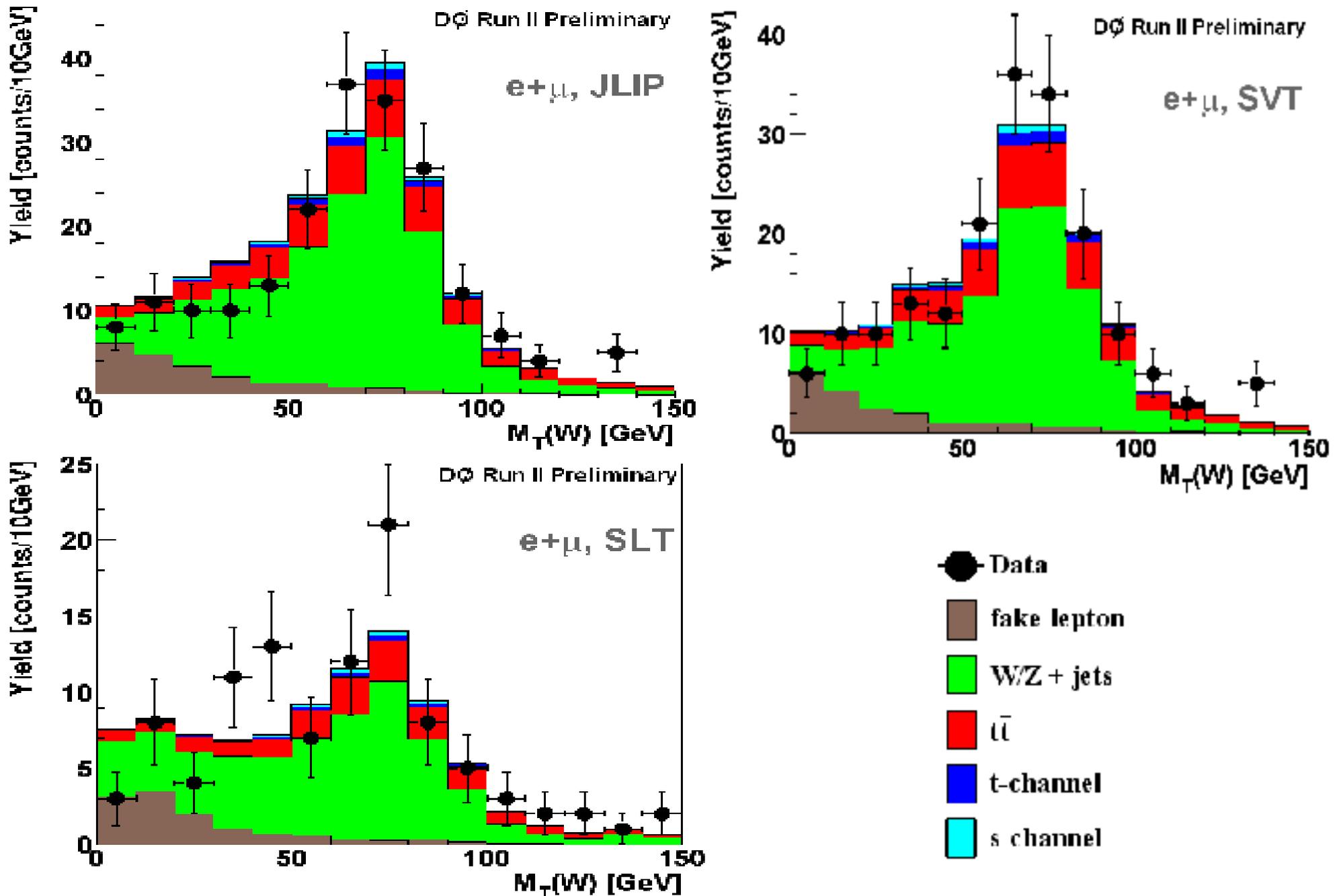
- Based on data as much as possible
- W/Z+jets production (real- l)
 - Estimated from data (DØ)
 - Distributions from untagged sample
 - Normalization from preselected sample
 - Tag probability from QCD sample
- Mis-reconstructed multi-jet events (fake- l)
 - Estimated from data
- Top-pair production
 - Estimated from MC
- Other (WZ, WW, $Z\tau\tau$, cosmic rays,...)
 - Included in data W/Z+jets estimate (DØ)



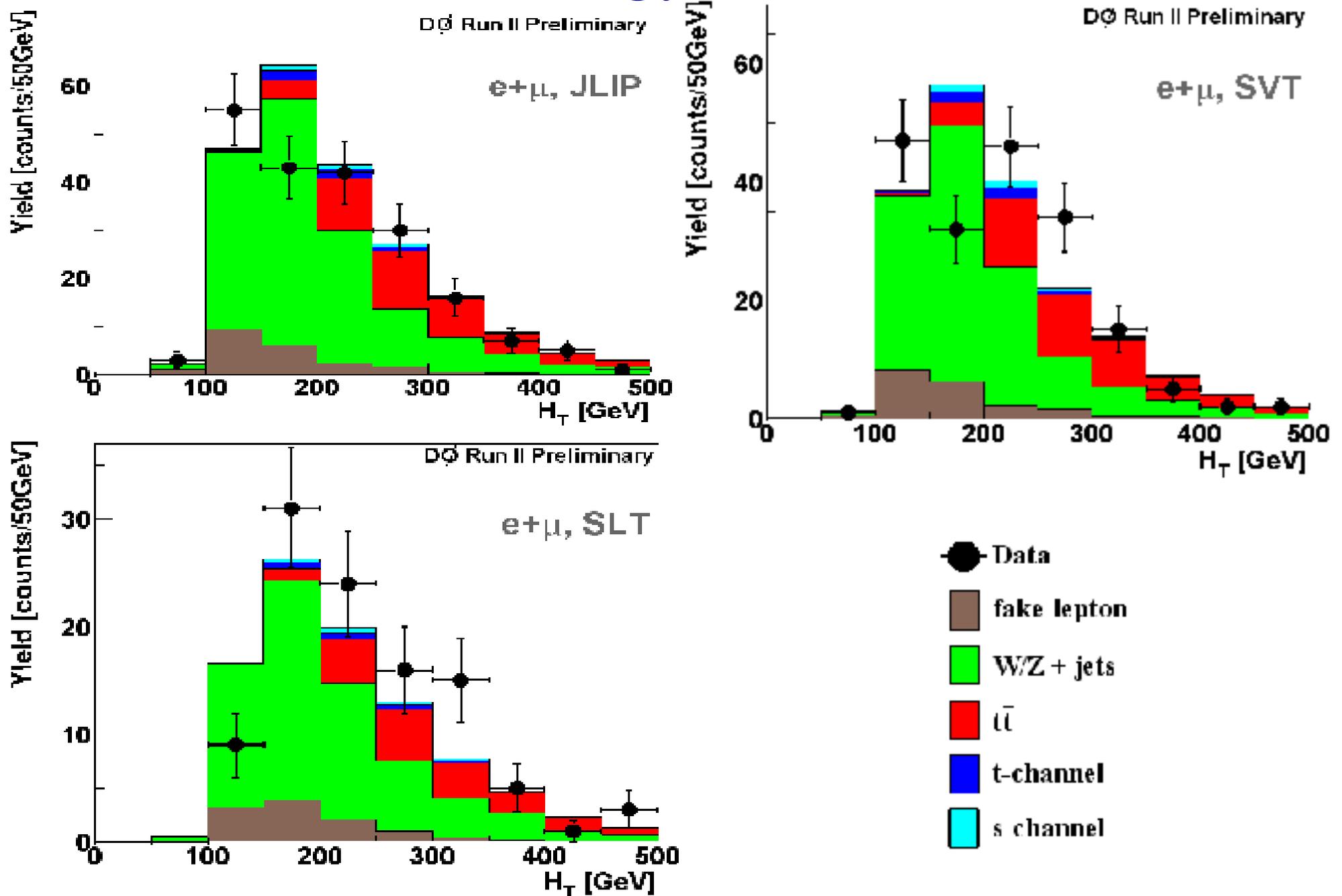
Event Yields after Preselection



W Reconstruction after Preselection

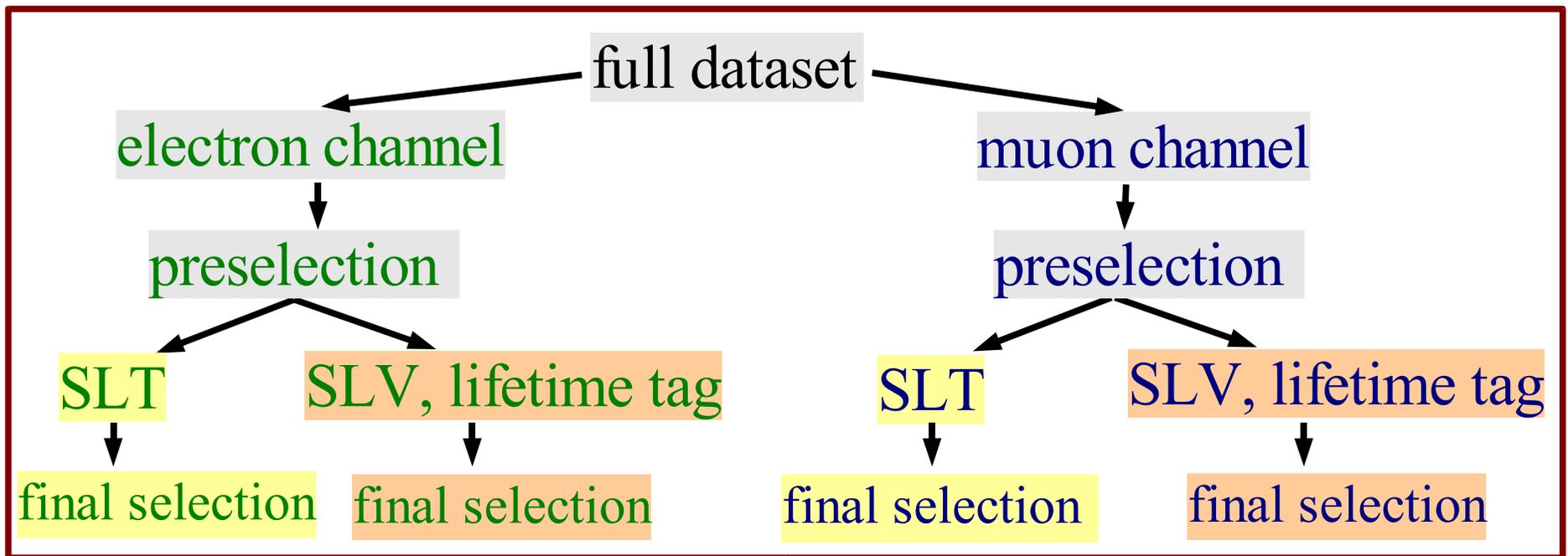


Transverse Energy after Preselection



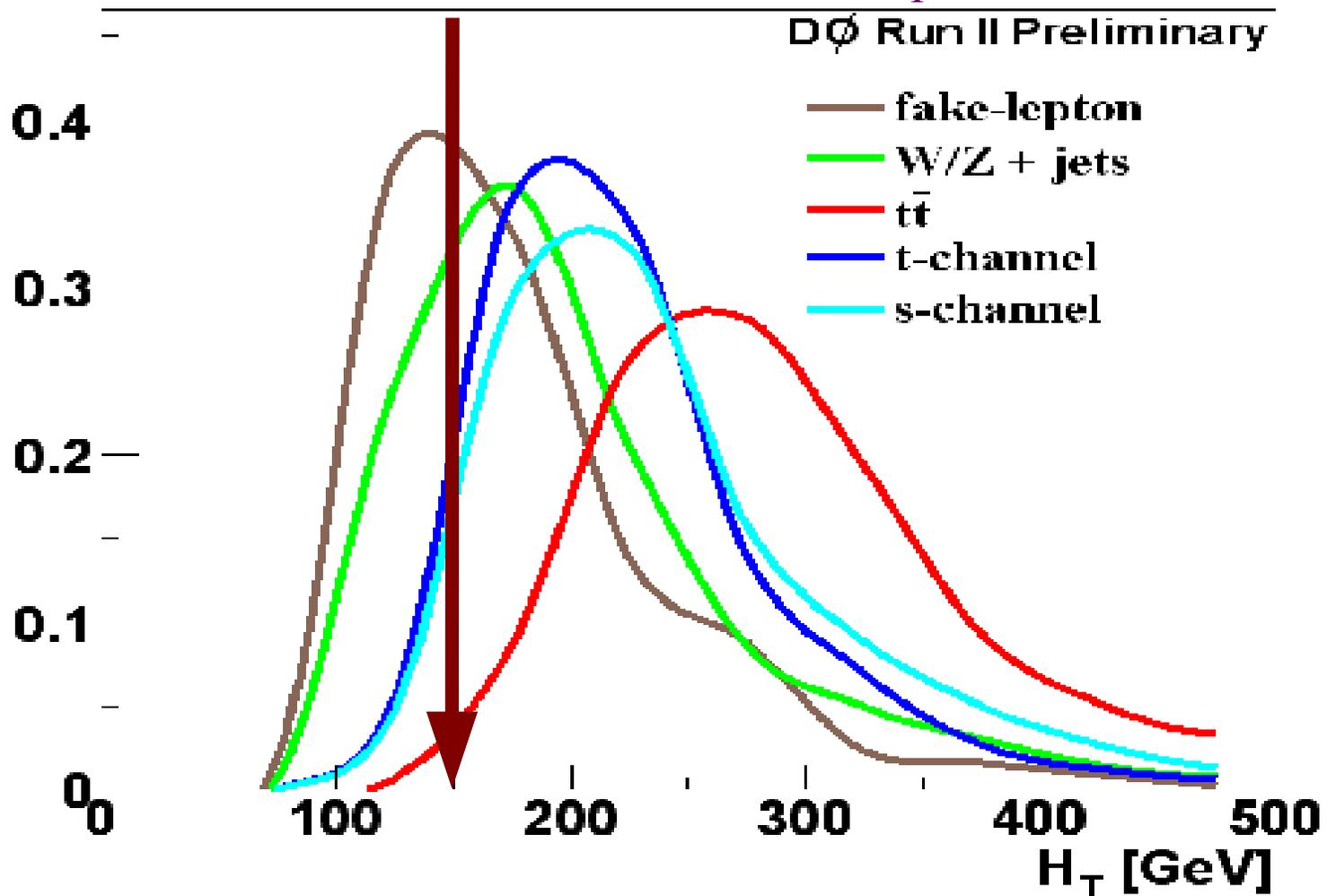
DØ Single Top Search Strategy

- Split data into orthogonal channels
 - Separate by lepton
 - Separate by b-tagging
- Background estimation
- Final event selection



Sensitive Variable: Transverse Energy

- Select simple final variable that shows good signal-background separation
 - Reject main background from W +jets: $H_T > 150 \text{ GeV}$



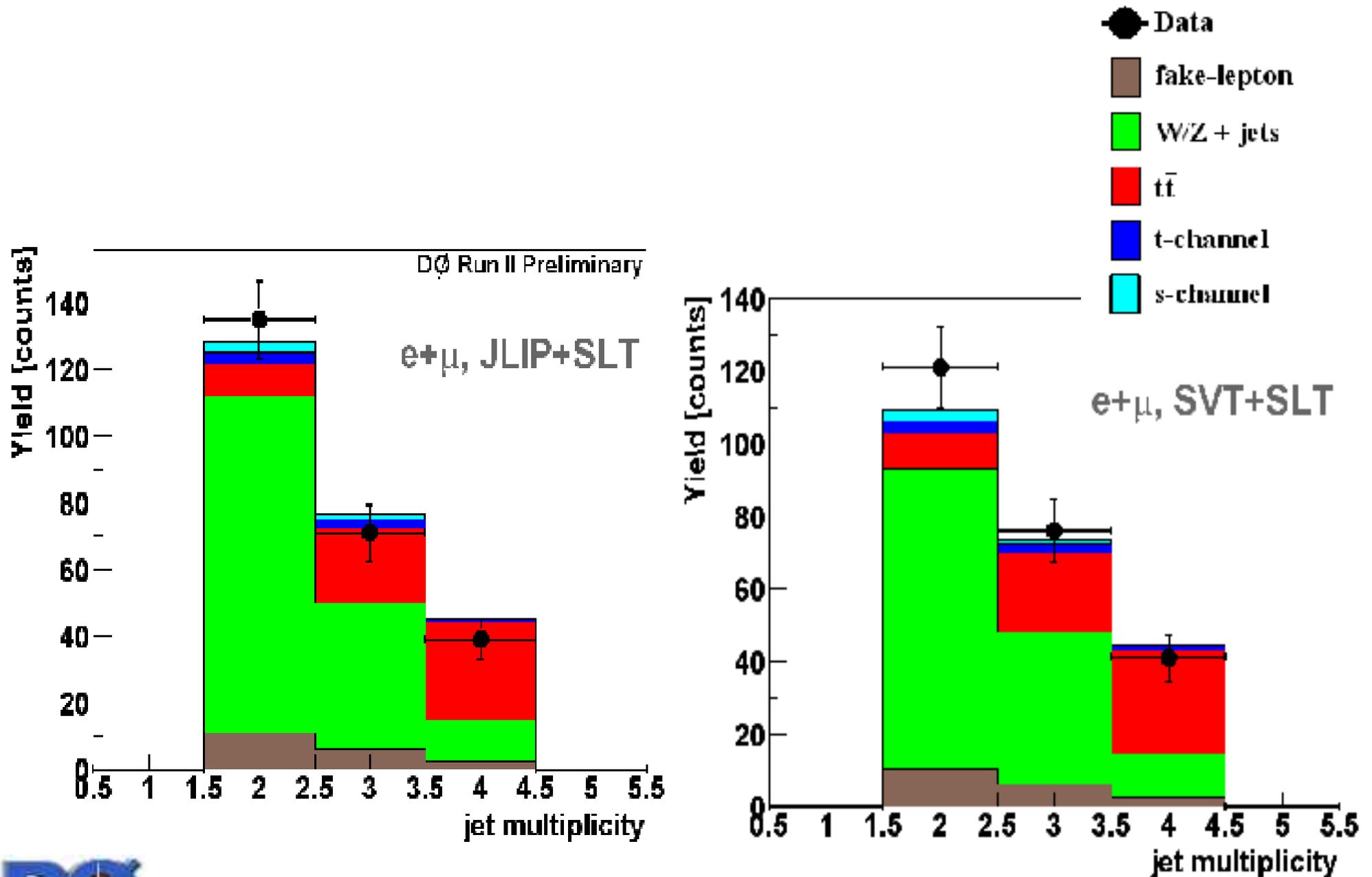
Final Event Yields

combined Electron and Muon Event Yields

	SLT	SVT	JLIP
Signal			
s-channel	1.3 ± 0.2	3.1 ± 0.5	3.1 ± 0.5
t-channel	1.7 ± 0.3	5.2 ± 0.9	5.3 ± 0.7
s+t combined	3.0 ± 0.4	8.3 ± 1.4	8.4 ± 1.3
Backgrounds			
W/Z+jets+fake-l	59 ± 7	94 ± 13	122 ± 17
top pairs	18 ± 3	43 ± 6	44 ± 7
Sum of Backgrounds	76 ± 8	137 ± 15	166 ± 19
Observed event yield	97	138	148

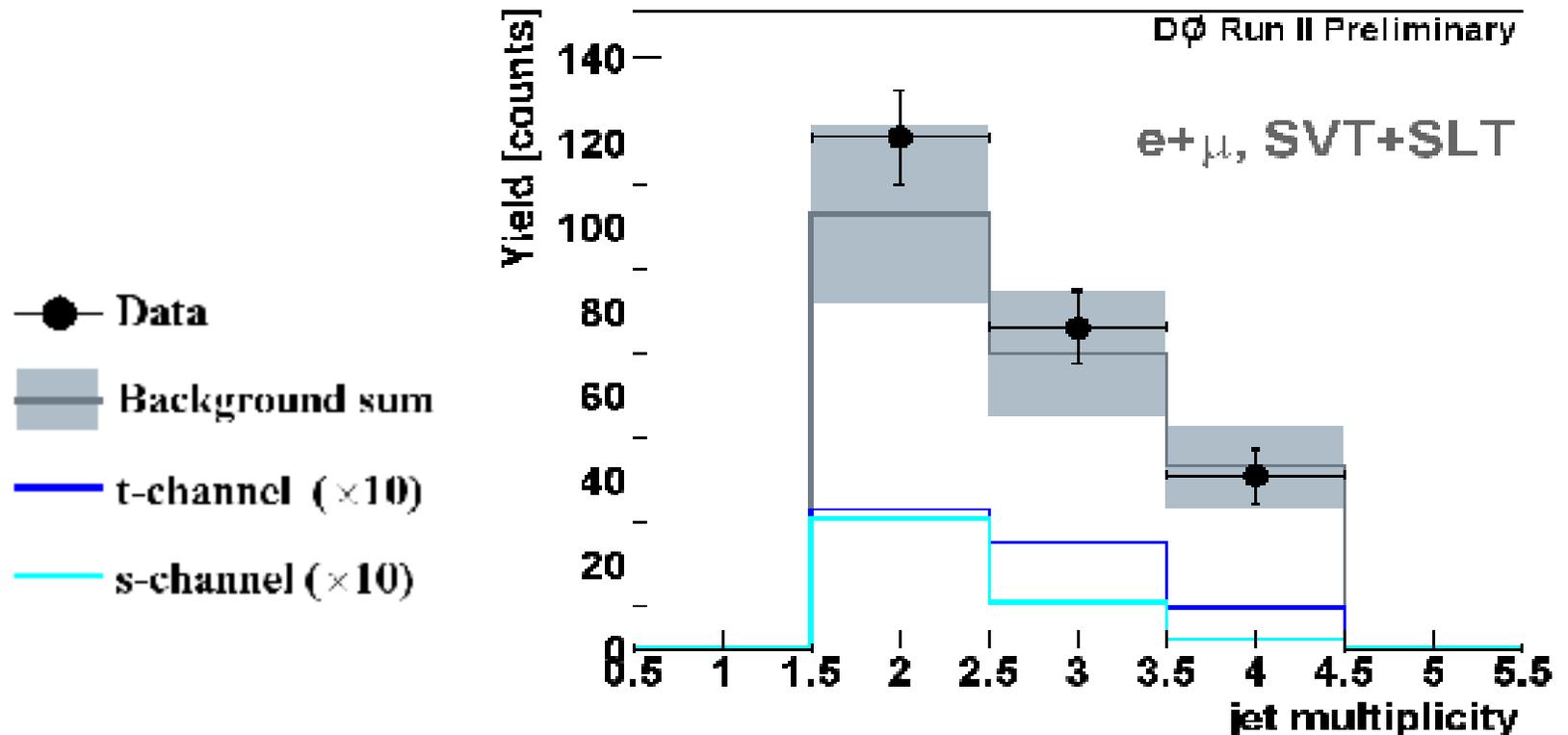


Event Yield after Final Selection



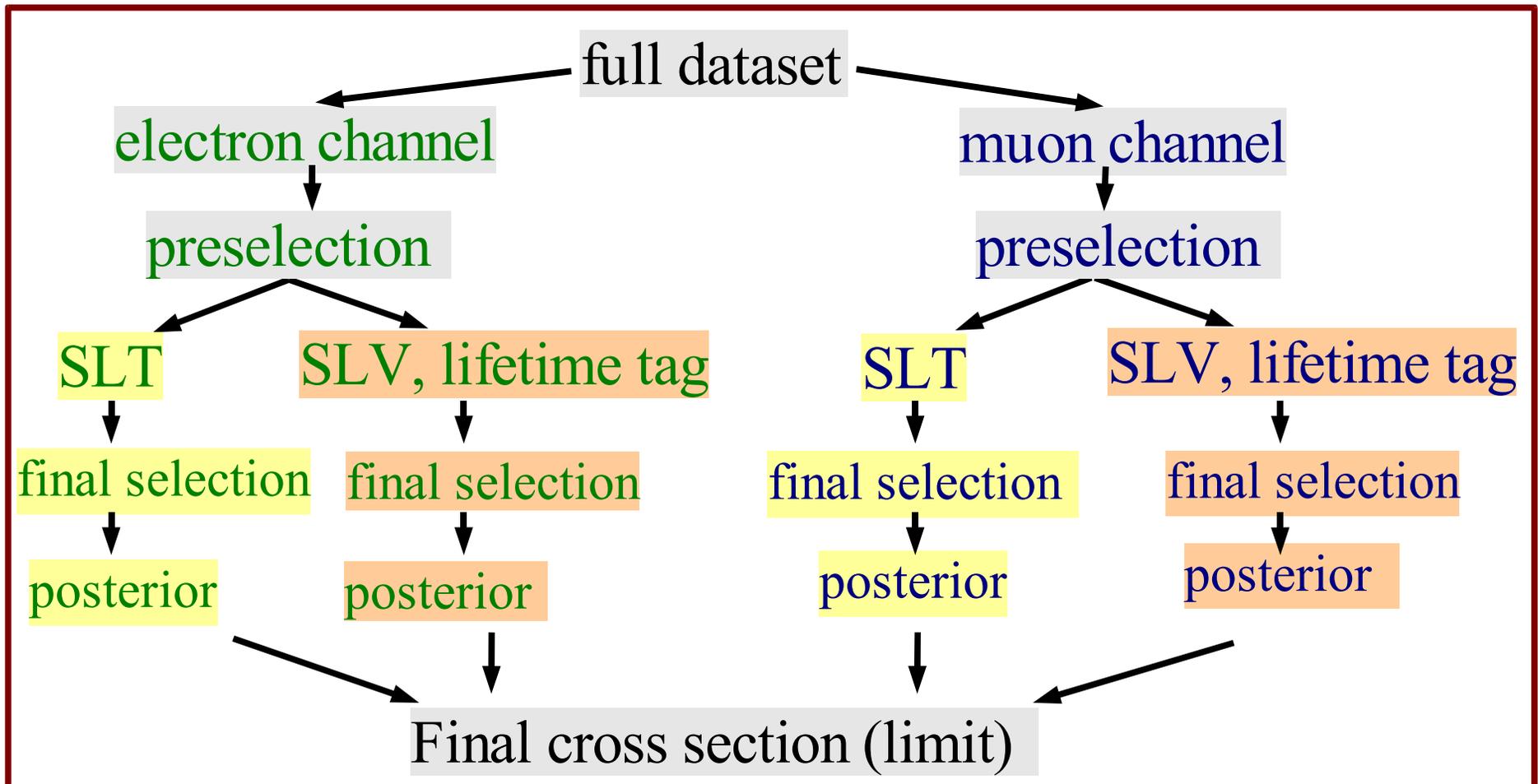
Systematic Uncertainties

- Signal acceptance and Monte Carlo Backgrounds
 - Jet Energy Scale $\sim 10\%$
 - Trigger Modeling $\sim 10\%$
 - Tagger Modeling $\sim 10\%$
 - Object ID $\sim 5\%$
 - Background normalization $\sim 20\%$



DØ Single Top Search Strategy

- Split data into orthogonal channels
- Final event selection
- Derive likelihood in each channel, then combine for result



Final Result

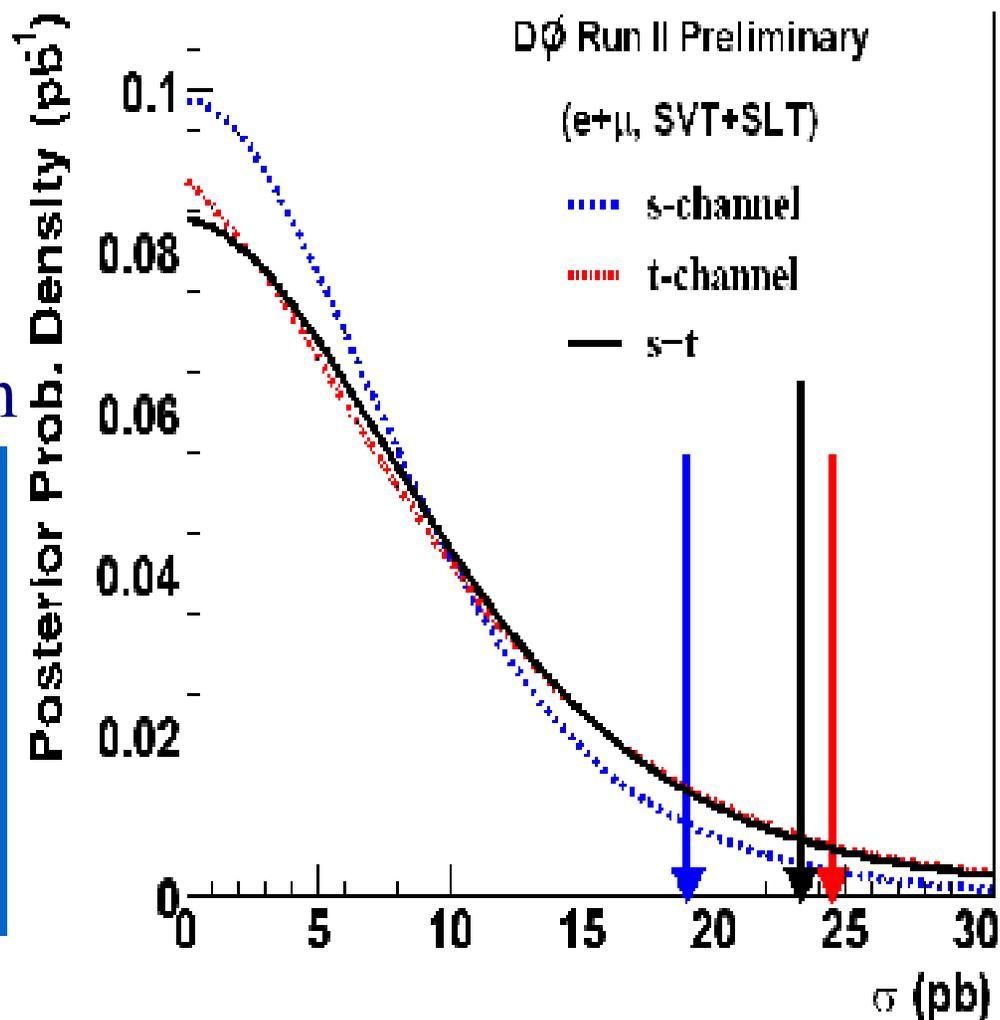
- No evidence for single top production
- Set 95% CL limit on production cross section
 - Using event counting
- Include systematic uncertainties
 - And all correlations
- Bayesian limit setting approach

observed/expected limit:

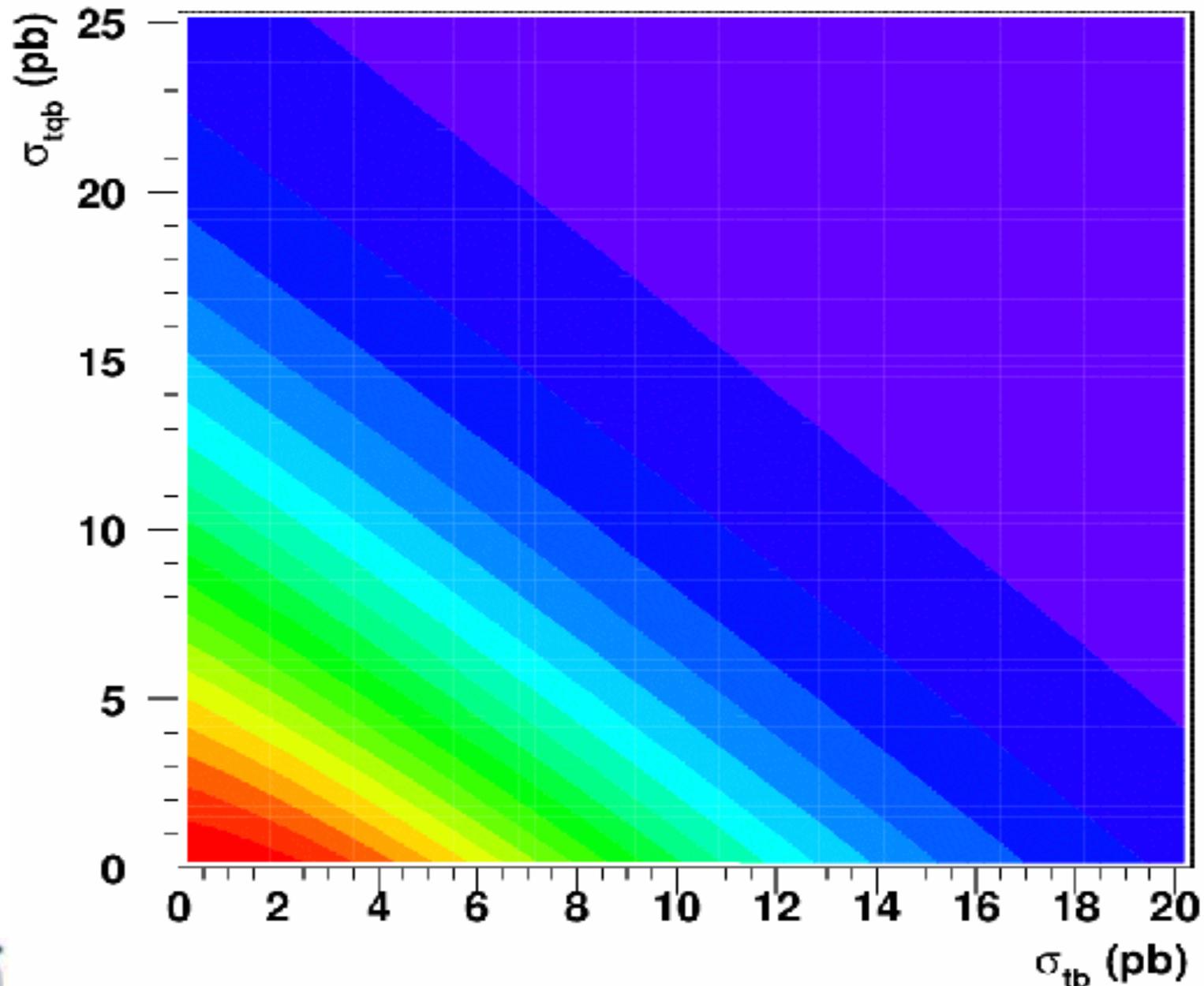
$$\sigma_s < 19 / 16 \text{ pb}$$

$$\sigma_t < 25 / 23 \text{ pb}$$

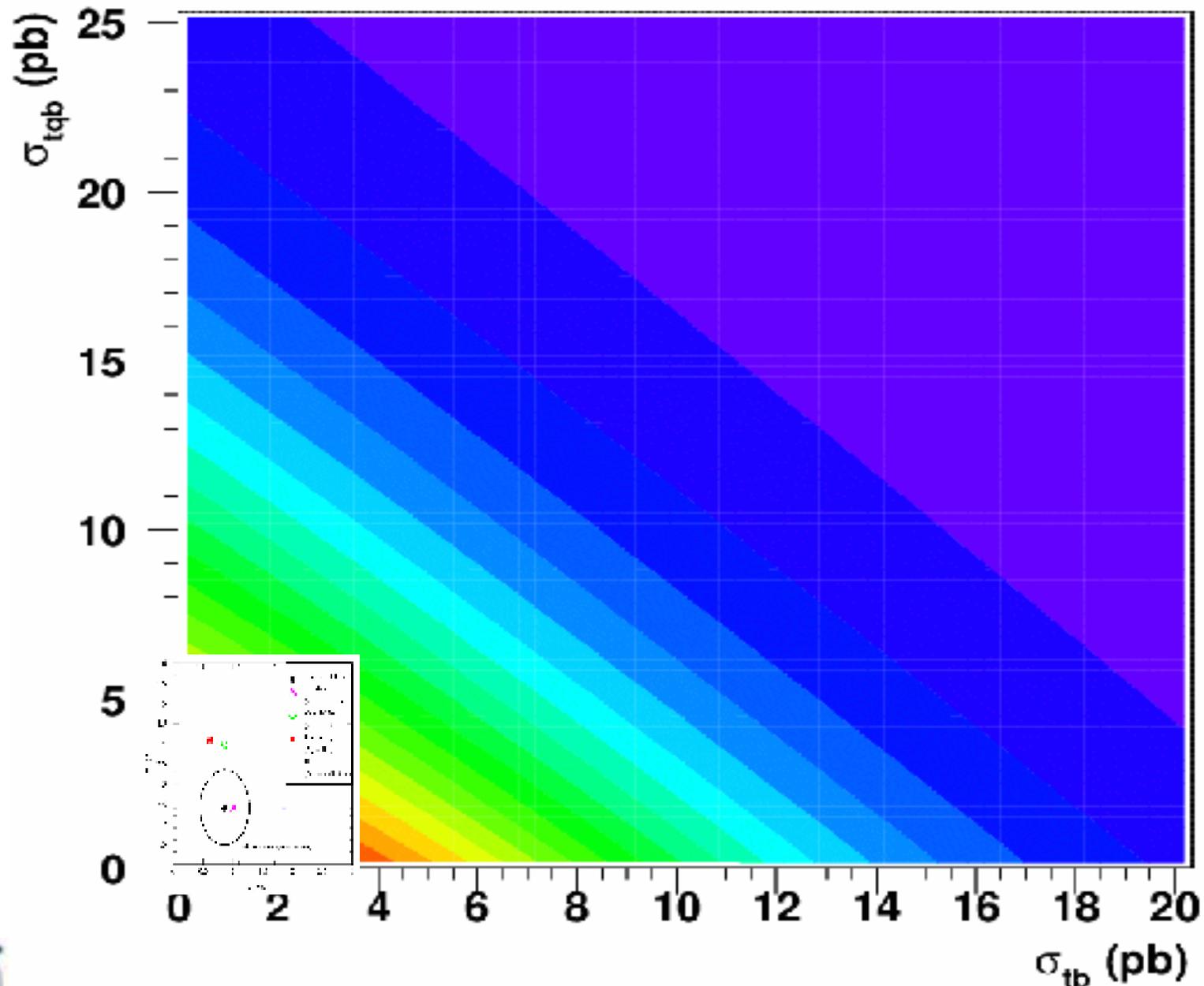
$$\sigma_{s+t} < 23/20 \text{ pb}$$



s-channel vs t-channel



s-channel vs t-channel



Questions

- Do we understand our backgrounds?
 - Especially W +jets
 - Normalization and flavor composition
 - Flavor composition assumption in data:
 W +jets vs QCD multi-jet
 - Uncertainty?



Questions

- Do we understand our backgrounds?
 - Especially W +jets
- Do we understand our signal?
 - LO MC generators vs NLO shapes



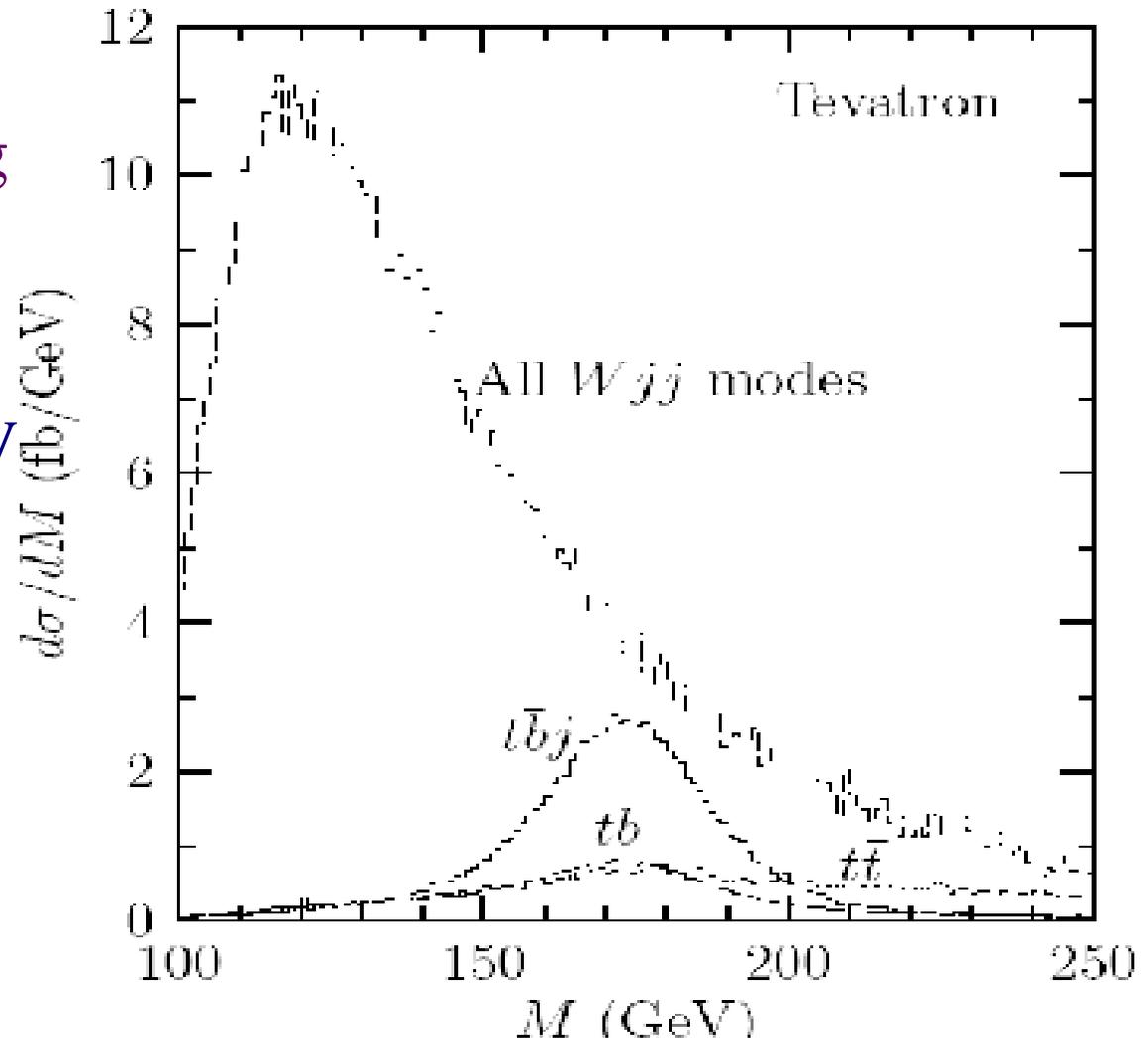
Questions

- Do we understand our backgrounds?
 - Especially W +jets
- Do we understand our signal?
 - LO MC generators vs NLO shapes
- When are we going to observe Single Top?



Single Top – Expectation

- Predictions for Run II were to be sensitive to single top production with $\sim 500\text{pb}^{-1}$ – *Where is it?*
 - Observation with 2fb^{-1}
 - Starting to be interesting much sooner
- We have recorded $>400\text{pb}$ at DØ already
 - Observation soon?



Stelzer, Sullivan, Willenbrock, PRD58 (98)

Single Top – Expectation vs Reality

- Predictions for Run II were to be sensitive to single top production with $\sim 500\text{pb}^{-1}$ – *Where is it?*

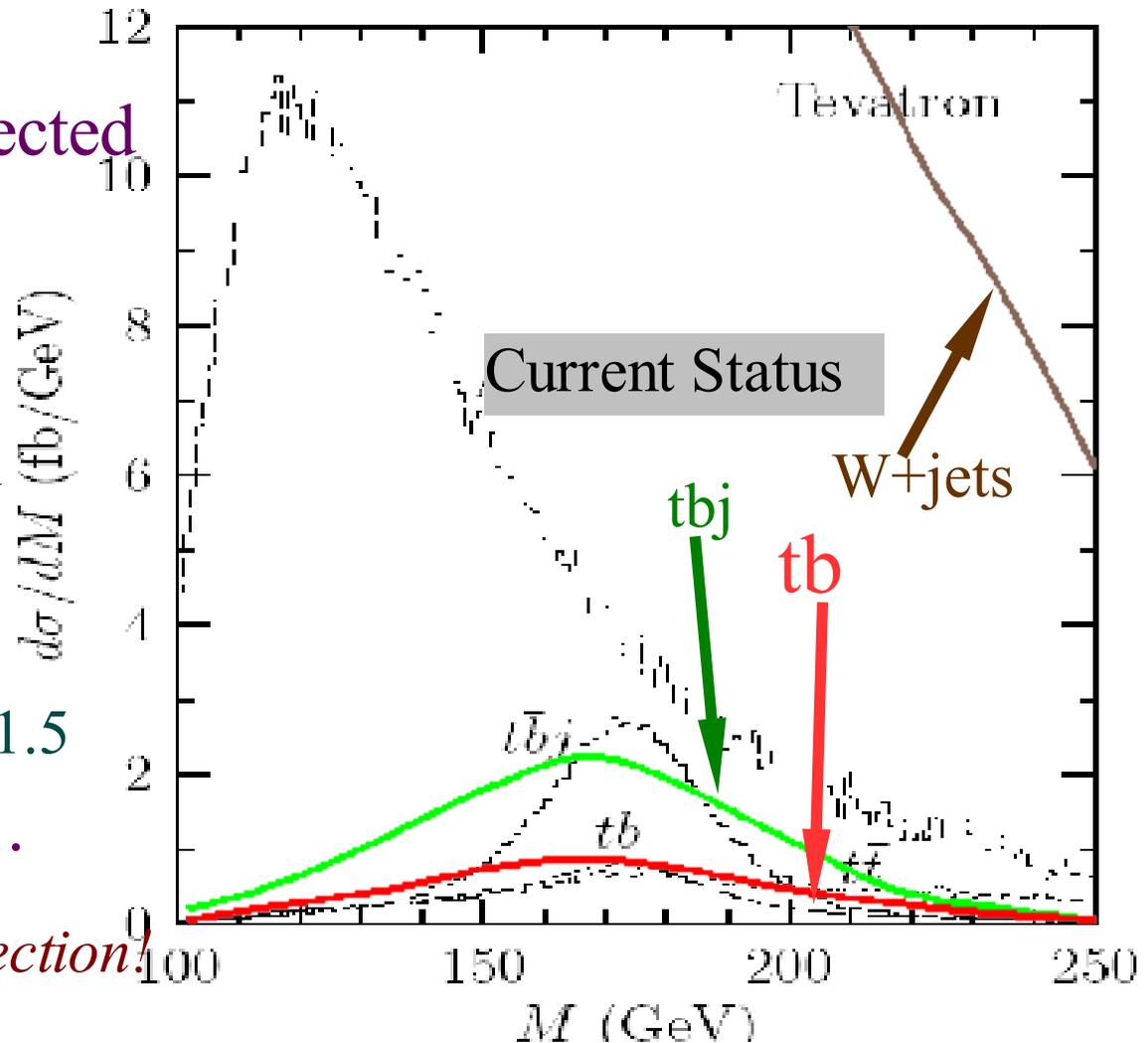
– Detector performance not (yet) as good as expected

- b-tagging $\sim 45\%$ per jet
- Trigger, ID $< 100\%$
- Jet resolution not (yet) as good as expected

– W+jets background larger than expected

- NLO calculations: $\text{LO} \times 1.5$

– Top mass, gluon PDF, ...

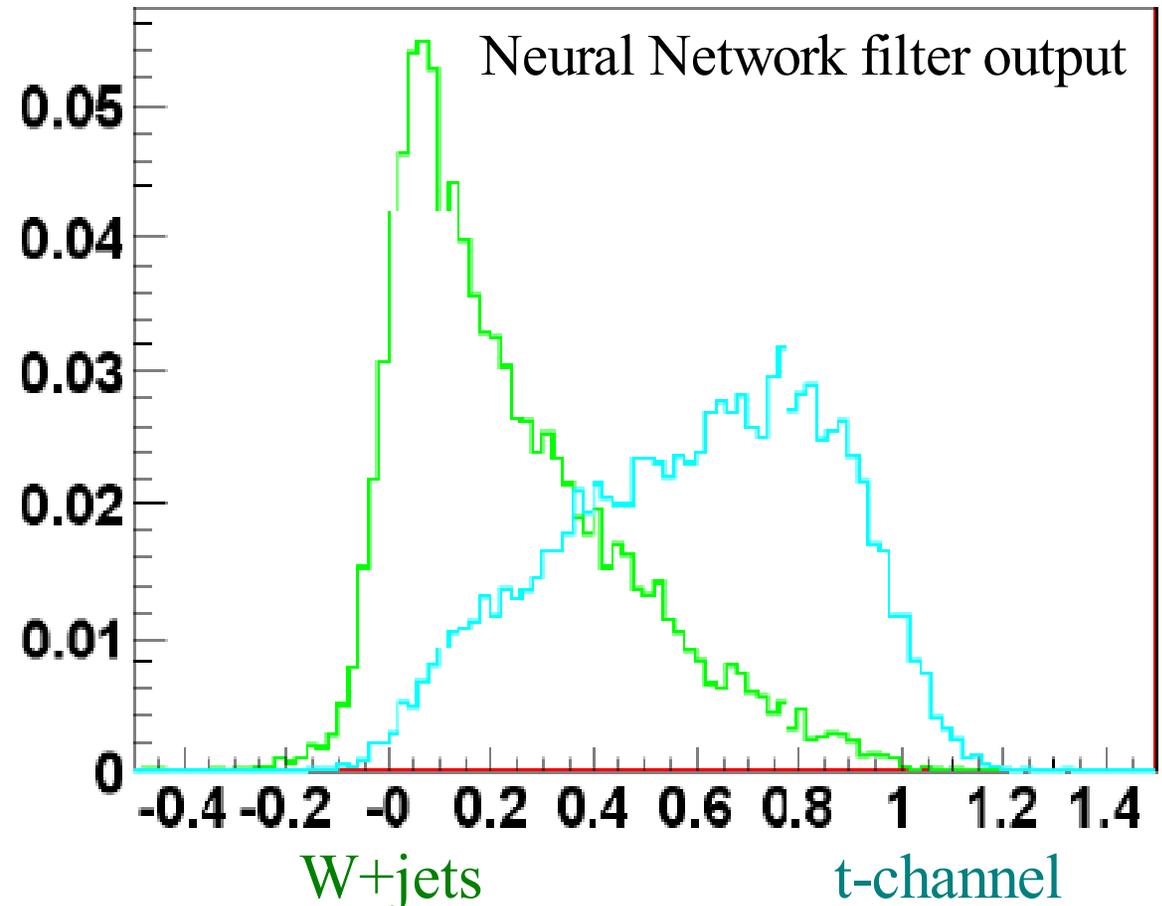


Many effects, all in the wrong direction!



Single Top – Advanced Final Analysis

- DØ currently working on significantly improving the final analysis
 - Current focus on multivariate techniques: Neural Networks
 - Reduce background by factor ~ 20 and keep $\sim 30\%$ of signal
- Other improvements in the future
 - Acceptance, efficiency
 - Resolution
 - Other multivariate techniques



Questions

- Do we understand our backgrounds?
 - Especially W +jets
- Do we understand our signal?
 - LO MC generators vs NLO shapes
- When are we going to observe Single Top?
 - Expect visible excess at about 1fb^{-1}
 - In ~ 1.5 years
 - Luminosity required for observation $> 2\text{fb}^{-1}$
 - We are continuing to work on further improvements



Conclusions/Outlook

- Single Top is an exciting opportunity for Run II
 - A lot of interest, both theoretical and experimental
- The DØ Run II Single Top Search is under way
 - Detector and trigger working, understood
 - First pass analysis with 160pb^{-1} completed
 - Not yet sensitive to single top production
- Currently working on analysis improvements
 - Improved final selection methods
 - Increased dataset and acceptance

